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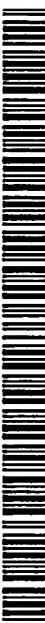
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(54) Title: PIN1-MODULATING COMPOUNDS AND METHODS OF USE THEREOF

(57) Abstract: The invention is directed to modulators, e.g., inhibitors, of Pin 1 and Pin 1-related proteins and the use of such modulators for treatment of Pin 1 associated states, e.g., for the treatment of cancer.

**PIN1-MODULATING COMPOUNDS AND  
METHODS OF USE THEREOF**

**Related Applications**

5        This application claims priority to U.S. Provisional Application No. 60/414,077 filed September 26, 2002, entitled "Pin1-Modulating Compounds and Methods of Use Thereof".

This application is related to U.S. Provisional Application No. 60/361,206 filed March 1, 2002, entitled "Pin1-Modulating Compounds and Methods of Use Thereof";

10      U.S. Provisional Application Serial No. 60/361,246, filed March 1, 2002, entitled "Pin1-Modulating Compounds and Methods of Use Thereof"; U.S. Provisional Application Serial No: 60/361,231, filed March 1, 2002, entitled "Pin1-Modulating Compounds and Methods of Use Thereof"; U.S. Provisional Application Serial No. 60/361,227, filed on March 1, 2002; entitled "Methods for Designing Specific Inhibitors for Pin1 Proline Isomerase and Pin1-

15      Related Molecules"; U.S. Provisional Application No. 60/360,799 filed March 1, 2002, entitled "Methods of Treating Pin1 Associated Disorders"; PTZ-034-2, entitled "Pin1-Modulating Compounds and Methods of Use Thereof", filed March 3, 2003; PTZ-034, entitled "Pin1-Modulating Compounds and Methods of Use Thereof", filed March 3, 2003; PTZ-035-2, , entitled "Pin1-Modulating Compounds and Methods of Use Thereof," filed

20      March 3, 2003; PTZ-035, entitled "Pin1-Modulating Compounds and Methods of Use Thereof," filed March 3, 2003; PTZ-036-2, entitled "Pin1-Modulating Compounds and Methods of Use Thereof", filed March 3, 2003; PTZ-036, entitled "Pin1-Modulating Compounds and Methods of Use Thereof", filed March 3, 2003; PTZ-037, entitled "Methods of Treating Pin1 Associated Disorders," filed March 3, 2003; PTZ-009, entitled "Methods for

25      Designing Specific Inhibitors for Pin1 Proline Isomerase and Pin1-Related Molecules," filed March 3, 2003; and PTZ-046-2, entitled "Pin1-Modulating Compounds and Methods of Use Thereof", filed March 3, 2003. The entire contents of each of the aforementioned applications are hereby expressly incorporated herein by reference in their entireties.

30      **Background of the Invention**

The peptidyl-prolyl cis-trans isomerases (PPIases), or rotamases, are a family of ubiquitous enzymes that catalyze the cis/trans isomerization of the peptide bond on the N-terminal side of proline residues in proteins (Hunter, Cell 92:141-142, 1998). PPIases are divided into three classes, cyclophilins (Cyps), FK-506 binding proteins (FKBPs) and the Pin1/pavulin class.

Cyclophilins and FKBP<sub>s</sub> are distinguished by their ability to bind the clinically immunosuppressive drugs cyclosporin and FK506, respectively (Schreiber, *Science* 251:283-7, 1991; Hunter, *supra*). Upon binding of these drugs, there are two common outcomes: inhibition of the PPIase activity and inhibition of the common target calcineurin.

- 5 The inhibition of calcineurin phosphatase activity prevents lymphocytes from responding to antigen-induced mitogenic signals, thus resulting in immunosuppression. However, the inhibition of the PPIase activity is apparently unrelated to the immunosuppressive property of the drug/PPIase complexes. Even more surprisingly, deletion of all 8 known cyclophilins and 4 FKBP<sub>s</sub> in the same cells does not result in any significant phenotype (Dolinski et al., *Proc.  
10 Natl. Acad. Sci. USA* 94:13093-131098, 1997).

In contrast, members of the Pin1/parvulin class of PPIases bind neither of these immunosuppressive drugs, and are structurally unrelated to the other two classes of PPIases. Known members of the Pin1/parvulin class include Pins1-3 (Lu et al., *Nature* 380:544-547, 1996), Pin-L (Campbell et al., *Genomics* 44:157-162, 1997), parvulin (Rahfeld, 15 et al., *Proc. Natl. Acad. Sci. USA* 93:447-451, 1996) and Ess1/Pft1 (Hanes et al., *Yeast* 5:55-72, 1989; and Hani, et al. *FEBS Letts* 365:198-202, 1995).

Pin1 is a highly conserved protein that catalyzes the isomerization of only phosphorylated Ser/Thr-Pro bonds (Rananathan, R. et al. (1997) *Cell* 89:875-86; Yaffe, et al. 1997, *Science* 278:1957-1960; Shen, et al. 1998, *Genes Dev.* 12:706-720; Lu, et al. 1999, 20 *Science* 283:1325-1328; Crenshaw, et al. 1998, *Embo J.* 17:1315-1327; Lu, et al. 1999, *Nature* 399:784-788; Zhou, et al. 1999, *Cell Mol. Life Sci.* 56:788-806). In addition, Pin1 contains an N-terminal WW domain, which functions as a phosphorylated Ser/Thre-Pro binding module (Sudol, M. (1996) *Prog. Biophys. Mol. Biol.* 65:113-32). This phosphorylation-dependent interaction targets Pin1 to a subset of phosphorylated substrates, 25 including Cdc25, Wee 1, Myt1, Tau-Rad4, and the C-terminal domain of RNA polymerase II large domain (Crenshaw, D.G., et al. (1998) *Embo. J.* 17:1315-27; Shen, M. (1998) *Genes Dev.* 12:706-20; Wells, N.J. (1999) *J. Cell. Sci.* 112: 3861-71).

The specificity of Pin1 activity is essential for cell growth; depletion or mutations of Pin1 cause growth arrest, affect cell cycle checkpoints and induce premature 30 mitotic entry, mitotic arrest and apoptosis in human tumor cells, yeast or Xenopus extracts (Lu, et al. 1996, *Nature* 380:544-547; Winkler, et al. 200, *Science* 287:1644-1647; Hani, et al. 1999. *J. Biol. Chem.* 274:108-116). In addition, Pin1 is dramatically overexpressed in human cancer samples and the levels of Pin1 are correlated with the aggressiveness of tumors. Moreover, inhibition of Pin1 by various approaches, including Pin1 antisense 35 polynucleotides or genetic depletion, kills human and yeast dividing cells by inducing premature mitotic entry and apoptosis.

phosphoprotein function on or off with high efficiency and specificity during temporally regulated events, including the cell cycle (Lu *et al.*, *supra*).

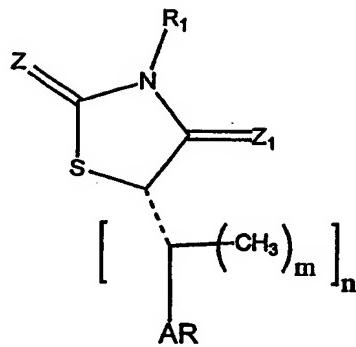
### Summary of the Invention

5 A need exists for new diagnostic and therapeutic compounds for diseases characterized by uncontrolled cell proliferation and primarily malignancies associated with the Pin-1 subfamily of enzymes.

10 Accordingly, the invention is directed to modulators, *e.g.*, inhibitors, of Pin1 and Pin1-related proteins and the use of such modulators for treatment of Pin1 associated states, *e.g.*, for the treatment of cancer.

In one embodiment, the invention pertains, at least in part, to a method for treating a Pin1-associated state in a subject. The method includes administering to the subject an effective amount of a Pin1-modulating compound of formula (I):

15



(I)

20 wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or

25 S;

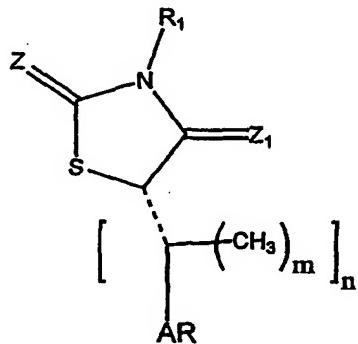
AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-,

wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of  $\text{CH}_3$ ,  $\text{OEt}$ ,  $\text{NO}_2$ ,  $\text{CO}_2\text{H}$ ,  $\text{Cl}$ ,  $\text{OH}$ ,  $\text{F}$ ,  $\text{Br}$ ,  $\text{OCH}_3$ ,  $\text{CF}_3$ ,  $\text{OCF}_3$ , and  $-\text{SO}_2\text{CF}_3$ ,  $\text{OAc}$ ,  $-\text{O}-\text{iBu}$ ,  $-\text{S}(\text{O})_2\text{NH}_2$ ,  $-\text{CHO}$ ,  $\text{C}(\text{O})\text{CH}_3$ ,  $-\text{CN}$ ,  $\text{CO}_2(\text{CH}_2)_q\text{CH}_3$ , wherein  $q$  is an integer ranging from about 0 to 4, and any combination thereof;

- 5       $\text{R}_1$  is selected from the group consisting of  $\text{H}$ ;  $-(\text{X})_p\text{C}(\text{O})\text{R}_2$ , wherein  $p$  is selected from the group consisting of 1 through 4, wherein  $\text{X}$  is  $\text{CH}_2$  or  $\text{NH}$  and which may be substituted with benzyl, wherein  $\text{R}_2$  is selected from the group consisting of  $\text{OH}$ ,  $\text{NR}_3$  and phenyl, and wherein  $\text{R}_3$  is  $\text{N}$ -thiazol-2-yl-benzenesulfonamide;  $\text{CH}_3$ ; a carbocycle substituted or unsubstituted with  $\text{OH}$  or  $\text{OEt}$ ; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-  
10     benzylidene)-imine; and 4-methyl-benzenesulfonamide;  
such that the  $\text{Pin}1$ -associated state is treated.

In a second embodiment, the invention pertains, at least in part, to a method for treating cyclin D1 overexpression in a subject. This method includes administering to the subject an effective amount of a  $\text{Pin}1$ -modulating compound of formula (I):

15



(I)

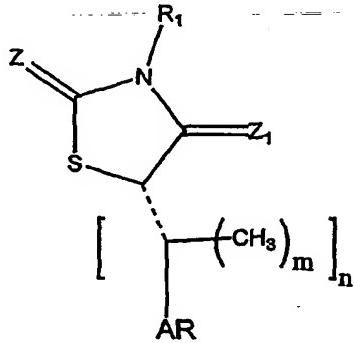
wherein

- 20     the dashed line indicates a single or a double bond;  
 $n$  is selected from the group consisting of 0 through 10;  
 $m$  is 0 or 1;  
 $Z$  and  $Z_1$  are independently selected from the group consisting of  $\text{O}$  or  $\text{S}$ ;  
25      $\text{AR}$  is  $\text{H}$  or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene,  $-\text{S}(\text{O})_2\text{O}-$ ,  $-\text{S}-$ , or  $-\text{OCH}_2-$ , wherein the aromatic groups may be substituted with one or more substituents selected from

the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

- R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p  
 5 is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;  
 10 packaged with instructions for using an effective amount of the Pin1-modulating compound to treat a Pin1 associated state.

The invention also includes a packaged cyclin D1 overexpression treatment. This packaged treatment include a Pin1-modulating compound of formula (I):



15

(I)

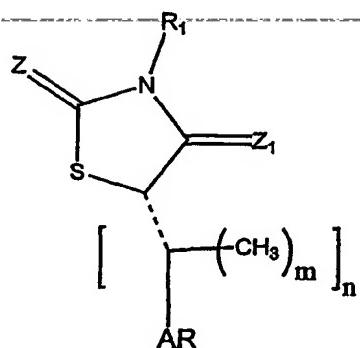
wherein

- 20 the dashed line indicates a single or a double bond;  
 n is selected from the group consisting of 0 through 10;  
 m is 0 or 1;  
 Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;  
 AR is H or is selected from one or a combination of aromatic groups  
 25 which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -

$\text{SO}_2\text{CF}_3$ ,  $\text{OAc}$ ,  $-\text{O}-\text{iBu}$ ,  $-\text{S}(\text{O})_2\text{NH}_2$ ,  $-\text{CHO}$ ,  $\text{C}(\text{O})\text{CH}_3$ ,  $-\text{CN}$ ,  $\text{CO}_2(\text{CH}_2)_q\text{CH}_3$ , wherein  $q$  is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H;  $-(\text{X})_p\text{C}(\text{O})\text{R}_2$ , wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;  
5 packaged with instructions for using an effective amount of the Pin1-modulating compound  
10 to treat cancer.

In another embodiment, the invention pertains, at least in part, to a method for treating a Pin1-associated state in a subject. The method includes administering to a subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



15

(I)

wherein

the dashed line indicates a single or a double bond;

20 n is selected from the group consisting of 0 through 10;

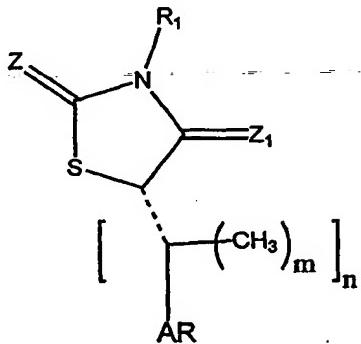
m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;25 AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene,  $-\text{S}(\text{O})_2\text{O}-$ ,  $-\text{S}-$ , or  $-\text{OCH}_2-$ , wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -

$\text{SO}_2\text{CF}_3$ ,  $\text{OAc}$ ,  $-\text{O}-\text{iBu}$ ,  $-\text{S}(\text{O})_2\text{NH}_2$ ,  $-\text{CHO}$ ,  $\text{C}(\text{O})\text{CH}_3$ ,  $-\text{CN}$ ,  $\text{CO}_2(\text{CH}_2)_q\text{CH}_3$ , wherein  $q$  is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H;  $-(\text{X})_p\text{C}(\text{O})\text{R}_2$ , wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichlorobenzylidene)-imine; and 4-methyl-benzenesulfonamide; and a hyperplastic inhibitory agent such that the Pin1 associated state is treated.

In another embodiment, the invention pertains, at least in part, to a method for treating cancer in a subject. The method includes administering to the subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



15

(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or

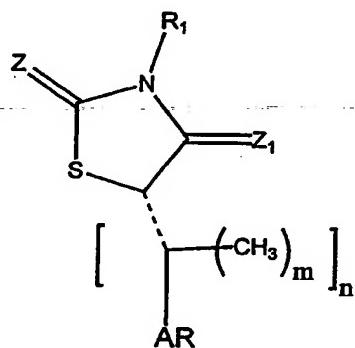
S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene,  $-\text{S}(\text{O})_2\text{O}-$ ,  $-\text{S}-$ , or  $-\text{OCH}_2-$ ,wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an

integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide; and a hyperplastic inhibitory agent such that the cancer is treated.

In an additional embodiment, the invention is a method for treating cyclin D1 overexpression in a subject. The method includes administering to the subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



15

(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

20 Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide.

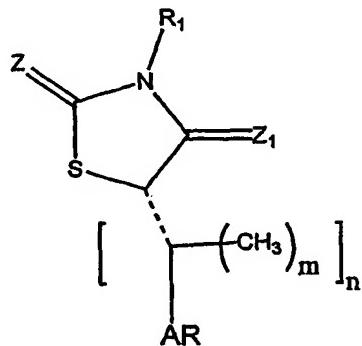
5 Another embodiment of the invention is a pharmaceutical composition comprising a Pin1-modulating compound as prepared according to the methodology of this invention, and a pharmaceutically acceptable carrier.

10

#### Detailed Description of the Invention

The invention is directed to modulators, e.g., inhibitors, of Pin1 and Pin1-related proteins and the use of such modulators for treatment of Pin1 associated states, e.g., 15 for the treatment of cancer.

In one embodiment, the invention pertains, at least in part, to a method for treating a Pin1-associated state in a subject. The method includes administering to the subject an effective amount of a Pin1-modulating compound of formula (I):



20

(I)

wherein

the dashed line indicates a single or a double bond;

25 n is selected from the group consisting of 0 through 10;

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or

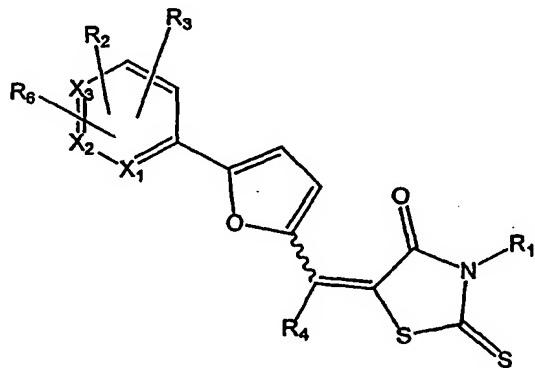
S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;

such that the Pin1-associated state is treated.

In another embodiment, the invention pertains, at least in part, to a method for treating a Pin1-associated state in a subject. The method includes administering to the subject an effective amount of a Pin1-modulating compound of formula (II):



(II)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;

R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of

-CH and N;

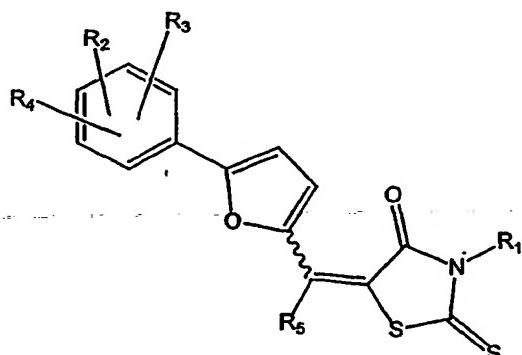
R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl,

e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging

5 from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH;

such that the Pin1-associated state is treated. In a specific embodiments, n=3, m=3 and p=0.

In one embodiment, the invention pertains, at least in part, to a method for  
treating a Pin1-associated state in a subject. The method includes administering to the  
10 subject an effective amount of a Pin1-modulating compound of formula (III):



(III)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>,  
15 OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer  
ranging from about 0 to 4;

R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

20 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl,  
e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -  
CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging  
from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with  
C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>;

25 such that the Pin1-associated state is treated. In a specific embodiments, n=3, m=3 and p=0.

The term "Pin1-associated state" or "Pin1 associated disorder" includes  
disorders and states (e.g., a disease state) which are associated with abnormal cell growth,

abnormal cell proliferation, or aberrant levels of Pin1 (*e.g.*, Pin1 protein or nucleic acid). Pin1-associated states include states resulting from an elevation in the expression of cyclin D1 and/or Pin1. Pin1-associated states also include states resulting from an elevation in the phosphorylation level of c-Jun, particularly phosphorylation of c-Jun on Ser<sup>63/73</sup>-Pro and/or from an elevation in the level of c-Jun amino terminal kinases (JNKs) present in a cell. Pin1-associated states include neoplasia, cancer, undesirable cell growth, and/or tumor growth. Pin1-associated states include states caused by DNA damage, an oncogenic protein (*i.e.* Ha-Ras), loss of or reduced expression of a tumor suppressor (*i.e.* Brca1), and/or growth factors.

5 Pin1 is an important regulator of cyclin D1 expression. Due to Pin1's role in regulating the expression of cyclin D1, many of the tumor causing effects of cyclin D1 can be regulated through Pin1. In particular, inhibitors of Pin1 can also be used to treat, inhibit, and/or prevent undesirable cell growth, *e.g.*, tumors, neoplasia, and/or cancer associated with aberrant cyclin D1 expression in a subject.

10 Other examples of Pin1 associated states include, but are not limited to, for example, those tumor types disclosed in Table 8.

15 The term "treated," "treating" or "treatment" includes the diminishment or alleviation of at least one symptom associated or caused by the state, disorder or disease being treated. In certain embodiments, the treatment comprises the induction of a Pin1 inhibited state, followed by the activation of the Pin1 modulating compound, which would in turn diminish or alleviate at least one symptom associated or caused by the Pin1 associated state, disorder or disease being treated. For example, treatment can be diminishment of one or several symptoms of a disorder or complete eradication of a disorder.

20 The term "subject" is intended to include organisms, *e.g.*, prokaryotes and eukaryotes, which are capable of suffering from or afflicted with a Pin1 associated disorder. Examples of subjects include mammals, *e.g.*, humans, dogs, cows, horses, pigs, sheep, goats, cats, mice, rabbits, rats, and transgenic non-human animals. In certain embodiments, the subject is a human, *e.g.*, a human suffering from, at risk of suffering from, or potentially capable of suffering from a Pin1 associated disorder.

25 The language "Pin1 modulating compound" refers to compounds that modulate, *e.g.*, inhibit, promote, or otherwise alter, the activity of Pin1. Pin1 modulating compounds include both Pin1 agonists and antagonists. In certain embodiments, the Pin1 modulating compound induces a Pin1 inhibited-state. Examples of Pin1 modulating compounds include compounds of formula (I), formula (II), and formula (III). Additional examples of Pin1 modulating compounds include compounds of Table 1, Table 2, Table 3, Table 4, Table 5, Table 6, or derivatives thereof. In certain embodiments, the Pin1 modulating compounds include compounds that interact with the PPI or the WW domain of

Pin1. In certain embodiments, the Pin1 modulating compound is substantially specific to Pin1. The phrase "substantially specific for Pin1" is intended to include inhibitors of the invention that have a  $K_i$  or  $K_d$  that is at least 2, 3, 4, 5, 10, 15, or 20 times less than the  $K_i$  or  $K_d$  for other peptidyl prolyl isomerases, e.g., hCyP-A, hCyP-B, hCyP-C, NKCA, hFKBP-12, 5 hFKBP-13, and hFKBP-25.

In one embodiment of the invention, the Pin1 modulating compound of the invention is capable of chemically interacting with Cys113 of Pin1. The language "chemical interaction" is intended to include, but is not limited to reversible interactions such as hydrophobic/hydrophilic, ionic (e.g., coulombic attraction/repulsion, ion-dipole, charge-transfer), covalent bonding, Van der Waals, and hydrogen bonding. In certain embodiments, 10 the chemical interaction is a reversible Michael addition. In a specific embodiment, the Michael addition involves, at least in part, the formation of a covalent bond.

The language "Pin1 inhibiting compound" includes compounds that reduce or inhibit the activity of Pin1. Examples of Pin1 inhibiting compounds include compounds of formula (I), formula (II), and formula (III). Additional examples of Pin1 inhibiting compounds include compounds of Table 1, Table 2, Table 3, Table 4, Table 5, Table 6, or derivatives thereof. In certain embodiments, the Pin1 inhibiting compounds include 15 compounds that interact with the PPI or the WW domain of Pin1.

In certain embodiments the inhibitors have a  $K_i$  for Pin1 of less than 0.2mM, 20 less than 0.1mM, less than 750  $\mu$ M, less than 500  $\mu$ M, less than 250  $\mu$ M, less than 100  $\mu$ M, less than 50  $\mu$ M, less than 500 nM, less than 250nM, less than 50 nM, less than 10 nM, less than 5 nM, or or less than 2 nM.

The language "Pin1 inhibited-state" is intended to include states in which one activity of Pin1 is inhibited in cells, e.g., cells in a subject, that have been treated with a Pin1 25 modulating compound. "Pin1 inbited-state is also intended to include states wherein the Pin1 modulating compound is administered to a subject, allowed to remain in a preactivated state, and subsequently activated by a stimulus. The stimulus may be selected from a natural event, artificial event, or the combination thereof. For example, the natural event may be the action of an enzyme and/or the artificial event may be the addition of a hyperplastic inhibitory agent 30 or the addition of energy to the subjects system in any manner that achieves activation, e.g., by radiation, e.g., by light with a wavelength greater than about 400 nm, e.g., greater than about 600 nm, e.g., greater than about 620 nm, e.g., greater than about 630 nm, e.g., greater than about 640 nm, e.g., greater than about 650 nm. In one embodiment, the cells enter a Pin1 inhibited-state for a designated period of time prior to activation of the modulating 35 compound sufficient to allow the modulation the activity of Pin1 by the activated modulating compound. In certain embodiments of the invention, the designated period of time prior to

activation is greater than about 1 hour, *e.g.*, greater than about 2 hours, *e.g.*, greater than about 3 hours, *e.g.*, greater than about 6 hours, *e.g.*, greater than about 12 hours, *e.g.*, greater than about 24 hours, *e.g.*, greater than about 36 hours, *e.g.*, greater than about 48 hours, *e.g.*, greater than about 72 hours. In a specific embodiment, the designated period of time prior to activation is 3 days. In one embodiment, the Pin1 modulating compound is preactivated prior to administration to a subject followed by the introduction of at least one stimulus sufficient to allow the modulation the activity of Pin1 by the modulating compound. In certain embodiment of the invention, the activity of the modulating compound is enhanced by the entrance of the cells, *e.g.*, cells of a subject, into a Pin1 inhibited state.

In one embodiment of the invention, the Pin1 modulating compounds of the invention have a characteristic inhibition profile (CIP) and have an effective cytotoxicity, *e.g.*, effective to treat a Pin1 associated state. The Pin1-modulating compounds described herein may be substituted with any substituent that allows the Pin1-modulating compound to perform its intended function. In certain embodiments the Pin1-modulating compounds described herein may be substituted with any substituent which allows the Pin1-modulating compound to perform its intended function, possess a CIP, and/or be effectively cytotoxic, as defined herein. The cytotoxicity of the compounds can be determined by using the CPCA given in Example 1. The measurement of the activity of the Pin1-modulating compounds in the determination the inhibition constant at 50% inhibition of enzyme activity ( $IC_{50}$ ), which is used to characterize the CIP, may be performed by using the analysis described in Example 2. An ordinarily skilled artisan would be able to use data generated by the assays to modify substituents on the Pin1 modulating compounds to obtain effectively cytotoxic Pin1 modulating compounds with characteristic inhibition profiles.

The term "characteristic inhibition profile (CIP)" is a characterization of the modulating compound of the invention such that the Pin1-associated state is inhibited. Characterization of the modulating compounds includes measurement of the inhibition constant at 50% inhibition of enzyme activity ( $IC_{50}$ ). Compounds that demonstrate a CIP include modulating compounds with an  $IC_{50}$  of less than about 40  $\mu\text{M}$ . In certain embodiments of the invention, the  $IC_{50}$  is between about 10-40  $\mu\text{M}$ . In additional embodiments, the  $IC_{50}$  is between about 1-10  $\mu\text{M}$ . In certain embodiments, the  $IC_{50}$  is less than about 1  $\mu\text{M}$ .

The term "effective cytotoxicity" or "effectively cytotoxic" includes cytotoxicities of Pin1-modulating compounds which allow the Pin1-modulating compound to perform its intended function, *e.g.*, treat Pin1 associated states. Cytotoxicities can be measured, for example, by using the Cell Based Cytotoxicity Assay (CBCA) method described in Example 1. In one embodiment, the Pin1-modulating compound has a

cytotoxicity (as measured by the CBCA in Example 1) of 50  $\mu\text{M}$  or less, 45  $\mu\text{M}$  or less, 40  $\mu\text{M}$  or less, 35  $\mu\text{M}$  or less, 30  $\mu\text{M}$  or less, 25  $\mu\text{M}$  or less, 20  $\mu\text{M}$  or less, 15  $\mu\text{M}$  or less, 10  $\mu\text{M}$  or less, 9  $\mu\text{M}$  or less, 8  $\mu\text{M}$  or less, 7  $\mu\text{M}$  or less, 6  $\mu\text{M}$  or less, 5  $\mu\text{M}$  or less, 4  $\mu\text{M}$  or less, 3  $\mu\text{M}$  or less, 2  $\mu\text{M}$  or less, 1  $\mu\text{M}$  or less, 0.9  $\mu\text{M}$  or less, 0.8  $\mu\text{M}$  or less, 0.7  $\mu\text{M}$  or less,  
5 0.6  $\mu\text{M}$  or less, 0.5  $\mu\text{M}$  or less, 0.4  $\mu\text{M}$  or less, or, preferably, 0.3  $\mu\text{M}$  or less, or 0.05  $\mu\text{M}$  or less. Values and ranges included and/or intermediate of the values set forth herein are also intended to be within the scope of the present invention.

In one embodiment, the Pin1 modulating compounds of the invention are substantially soluble, e.g., water soluble, and have an effective cytotoxicity, e.g., effective to treat a Pin1 associated state. Methods for altering the solubility of organic compounds are known in the art. For example, one of ordinary skill in the art will be able to modify the Pin1 modulating compounds of the invention such that they have a desirable logP. Ordinarily skilled artisans will be able to modify the compounds by adding and removing hydrophilic and hydrophobic moieties, such that a Pin1-modulating compound with a desired solubility is obtained. The Pin1-modulating compounds described herein may be substituted with any substituent which allows the Pin1-modulating compound to perform its intended function, be substantially soluble, and/or be effectively cytotoxic, as defined herein. For example, an ordinarily skilled artisan would understand that the addition of heteroatoms (hydroxy, amino, nitro, carboxylic acid groups, etc.) or other polar moieties would generally increase the solubility of the Pin1 modulating compound in water, while addition of non-polar moieties such as aryl or alkyl groups would generally decrease the solubility of the compound in water. The Pin1 modulating compound can then be tested for substantial solubility by determining the logP value (e.g., by using a log octanol-water partition coefficient program such as "KOWWIN" (Meylan, W.M. and P.H. Howard. 1995. Atom/fragment contribution method for estimating octanol-water partition coefficients. *J. Pharm. Sci.* 84: 83-92, incorporated herein by reference in its entirety). An ordinarily skilled artisan would be able to use data generated by these programs and assays to modify substituents on the Pin1 modulating compounds to obtain substantially soluble and effectively cytotoxic Pin1 modulating compounds.  
20  
25  
30

The term "substantially soluble" includes solubilities (e.g., aqueous solubilities) of Pin1-modulating compounds that allow the Pin1-modulating compounds to perform their intended function, e.g., treat Pin1 associated states. The solubility of a particular Pin1-modulating compound can be measured by any method known in the art, e.g., experimentally, computationally, etc. For example, one method for determining the solubility of a compound computationally is by calculating logP values using a log octanol-water partition coefficient program (KOWWIN). In one embodiment, the Pin1-modulating compounds of the invention

have logP values less than Pin1-modulating, e.g., less than 6.6. In a further embodiment, the Pin1-modulating compounds of the invention may have a logP value between about 1 to about 6, between about 1 to about 5, between about 1.5 to about 5, between about 2 to about 5, between about 2.5 to about 4.5, between about 2.75 to about 4.25, between about 3.0 to about 4.0, between about 3.25 to about 4.0, between about 3.5 to about 4.0, and between about 3.5 to about 3.75. Values and ranges included and/or intermediate of the values set forth herein are also intended to be within the scope of the present invention. In another embodiment, the aqueous solubility of the compound is about 0.01 mg/L or greater, about 0.1 mg/L or greater, about 1 mg/L or greater, or about 2 mg/L or greater.

10       In certain embodiments of the invention, Z is S. In certain embodiments, Z<sub>1</sub> is O. In addition, in certain embodiments of the invention, n is selected from the group consisting of 0 through 5. Additionally, in particular embodiments of the invention, the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.

15       The term "derivative" is intended to include isomers, modification, e.g., addition or removal, of substituents on the Pin1-modulating compound, and pharmaceutically acceptable salts thereof; as well as formulation, such that the Pin1-modulating compound treats the Pin1-associated state.

20       The term "alkyl" includes saturated aliphatic groups, including straight-chain alkyl groups (e.g., methyl, ethyl, propyl, butyl, pentyl, hexyl, heptyl, octyl, nonyl, decyl, etc.), branched-chain alkyl groups (isopropyl, tert-butyl, isobutyl, etc.), cycloalkyl (alicyclic) groups (cyclopropyl, cyclopentyl, cyclohexyl, cycloheptyl, cyclooctyl), alkyl substituted cycloalkyl groups, and cycloalkyl substituted alkyl groups. The term alkyl further includes alkyl groups, which can further include oxygen, nitrogen, sulfur or phosphorous atoms replacing one or more carbons of the hydrocarbon backbone. In an embodiment, a straight chain or branched chain alkyl has 10 or fewer carbon atoms in its backbone (e.g., C<sub>1</sub>-C<sub>10</sub> for straight chain, C<sub>3</sub>-C<sub>10</sub> for branched chain), and more preferably 6 or fewer. Likewise, preferred cycloalkyls have from 4-7 carbon atoms in their ring structure, and more preferably have 5 or 6 carbons in the ring structure.

25       Moreover, the term alkyl includes both "unsubstituted alkyls" and "substituted alkyls", the latter of which refers to alkyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, alkenyl, alkynyl, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, arylcarbonyl, alkoxy carbonyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl,

alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or an aromatic or heteroaromatic moiety. Cycloalkyls can be further substituted, e.g., with the substituents described above. An "alkylaryl" or an "aralkyl" moiety is an alkyl substituted with an aryl (e.g., phenylmethyl (benzyl)). The term "alkyl" also includes the side chains of natural and unnatural amino acids. Examples of halogenated alkyl groups include fluoromethyl, difluoromethyl, trifluoromethyl, chloromethyl, dichloromethyl, trichloromethyl, perfluoromethyl, perchloromethyl, perfluoroethyl, perchloroethyl, etc.

The term "aryl" includes groups, including 5- and 6-membered single-ring aromatic groups that may include from zero to four heteroatoms, for example, benzene, phenyl, pyrrole, furan, thiophene, thiazole, isothiazole, imidazole, triazole, tetrazole, pyrazole, oxazole, isooxazole, pyridine, pyrazine, pyridazine, and pyrimidine, and the like. Furthermore, the term "aryl" includes multicyclic aryl groups, e.g., tricyclic, bicyclic, e.g., naphthalene, benzoxazole, benzodioxazole, benzothiazole, benzoimidazole, benzothiophene, methylenedioxyphenyl, quinoline, isoquinoline, napthridine, indole, benzofuran, purine, benzofuran, deazapurine, or indolizine. Those aryl groups having heteroatoms in the ring structure may also be referred to as "aryl heterocycles", "heterocycles," "heteroaryls" or "heteroaromatics". The aromatic ring can be substituted at one or more ring positions with such substituents as described above, as for example, halogen, hydroxyl, alkoxy, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, alkylaminoacarbonyl, aralkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyl, arylcarbonyl, aralkylcarbonyl, alkenylcarbonyl, alkoxy carbonyl, aminocarbonyl, alkylthiocarbonyl, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or an aromatic or heteroaromatic moiety. Aryl groups can also be fused or bridged with alicyclic or heterocyclic rings which are not aromatic so as to form a polycycle (e.g., tetralin).

The term "alkenyl" includes unsaturated aliphatic groups analogous in length and possible substitution to the alkyls described above, but which contain at least one double bond.

For example, the term "alkenyl" includes straight-chain alkenyl groups (e.g., ethenyl, propenyl, butenyl, pentenyl, hexenyl, heptenyl, octenyl, nonenyl, decenyl, etc.), branched-chain alkenyl groups, cycloalkenyl (alicyclic) groups (cyclopropenyl, cyclopentenyl, cyclohexenyl, cycloheptenyl, cyclooctenyl), alkyl or alkenyl substituted cycloalkenyl groups, and cycloalkyl or cycloalkenyl substituted alkenyl groups. The term alkenyl further includes alkenyl groups that include oxygen, nitrogen, sulfur or phosphorous atoms replacing one or more carbons of the hydrocarbon backbone. In certain embodiments, a straight chain or branched chain alkenyl group has 6 or fewer carbon atoms in its backbone (e.g., C<sub>2</sub>-C<sub>6</sub> for straight chain, C<sub>3</sub>-C<sub>6</sub> for branched chain). Likewise, cycloalkenyl groups may have from 3-8 carbon atoms in their ring structure, and more preferably have 5 or 6 carbons in the ring structure. The term C<sub>2</sub>-C<sub>6</sub> includes alkenyl groups containing 2 to 6 carbon atoms.

Moreover, the term alkenyl includes both "unsubstituted alkenyls" and "substituted alkenyls", the latter of which refers to alkenyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, alkyl groups, alkynyl groups, halogens, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, arylcarbonyl, alkoxy carbonyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, alkylthiocarbonyl, alkoxyl, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and 20 alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or an aromatic or heteroaromatic moiety.

The term "alkynyl" includes unsaturated aliphatic groups analogous in length and possible substitution to the alkyls described above, but which contain at least one triple bond.

For example, the term "alkynyl" includes straight-chain alkynyl groups (e.g., ethynyl, propynyl, butynyl, pentynyl, hexynyl, heptynyl, octynyl, nonynyl, decynyl, etc.), branched-chain alkynyl groups, and cycloalkyl or cycloalkenyl substituted alkynyl groups. The term alkynyl further includes alkynyl groups that include oxygen, nitrogen, sulfur or phosphorous atoms replacing one or more carbons of the hydrocarbon backbone. In certain embodiments, a straight chain or branched chain alkynyl group has 6 or fewer carbon atoms in its backbone (e.g., C<sub>2</sub>-C<sub>6</sub> for straight chain, C<sub>3</sub>-C<sub>6</sub> for branched chain). The term C<sub>2</sub>-C<sub>6</sub> includes alkynyl groups containing 2 to 6 carbon atoms.

Moreover, the term alkynyl includes both "unsubstituted alkynyls" and "substituted alkynyls", the latter of which refers to alkynyl moieties having substituents

replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, alkyl groups, alkynyl groups, halogens, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, arylcarbonyl, alkoxy carbonyl, aminocarbonyl, alkylaminocarbonyl,  
5 dialkylaminocarbonyl, alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulphydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido,  
10 heterocycl, alkylaryl, or an aromatic or heteroaromatic moiety.

Unless the number of carbons is otherwise specified, "lower alkyl" as used herein means an alkyl group, as defined above, but having from one to five carbon atoms in its backbone structure. "Lower alkenyl" and "lower alkynyl" have chain lengths of, for example, 2-5 carbon atoms.

15 The term "acyl" includes compounds and moieties which contain the acyl radical ( $\text{CH}_3\text{CO}-$ ) or a carbonyl group. The term "substituted acyl" includes acyl groups where one or more of the hydrogen atoms are replaced by for example, alkyl groups, alkynyl groups, halogens, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, arylcarbonyl, alkoxy carbonyl,  
20 aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulphydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro,  
25 trifluoromethyl, cyano, azido, heterocycl, alkylaryl, or an aromatic or heteroaromatic moiety.

The term "acylamino" includes moieties wherein an acyl moiety is bonded to an amino group. For example, the term includes alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido groups.

30 The term "aroyl" includes compounds and moieties with an aryl or heteroaromatic moiety bound to a carbonyl group. Examples of aroyl groups include phenylcarboxy, naphthyl carboxy, etc.

The terms "alkoxyalkyl", "alkylaminoalkyl" and "thioalkoxyalkyl" include alkyl groups, as described above, which further include oxygen, nitrogen or sulfur atoms  
35 replacing one or more carbons of the hydrocarbon backbone, e.g., oxygen, nitrogen or sulfur atoms.

The term "alkoxy" includes substituted and unsubstituted alkyl, alkenyl, and alkynyl groups covalently linked to an oxygen atom. Examples of alkoxy groups include methoxy, ethoxy, isopropoxy, propoxy, butoxy, and pentoxy groups and may include cyclic groups such as cyclopentoxy. Examples of substituted alkoxy groups include halogenated alkoxy groups. The alkoxy groups can be substituted with groups such as alkenyl, alkynyl, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxycarbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, arylcarbonyl, alkoxycarbonyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, 10 arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or an aromatic or heteroaromatic moieties. Examples of halogen substituted alkoxy groups include, but are not limited to, 15 fluoromethoxy, difluoromethoxy, trifluoromethoxy, chloromethoxy, dichloromethoxy, trichloromethoxy, etc.

The term "amine" or "amino" includes compounds where a nitrogen atom is covalently bonded to at least one carbon or heteroatom. The term "alkyl amino" includes groups and compounds wherein the nitrogen is bound to at least one additional alkyl group. 20 The term "dialkyl amino" includes groups wherein the nitrogen atom is bound to at least two additional alkyl groups. The term "aryl amino" and "diaryl amino" include groups wherein the nitrogen is bound to at least one or two aryl groups, respectively. The term "alkylarylamino," "alkylaminoaryl" or "arylaminooalkyl" refers to an amino group that is bound to at least one alkyl group and at least one aryl group. The term "alkaminoalkyl" refers to an alkyl, alkenyl, 25 or alkynyl group bound to a nitrogen atom that is also bound to an alkyl group.

The term "amide" or "aminocarboxy" includes compounds or moieties that contain a nitrogen atom that is bound to the carbon of a carbonyl or a thiocarbonyl group. The term includes "alkaminocarboxy" groups that include alkyl, alkenyl, or alkynyl groups bound to an amino group bound to a carboxy group. It includes arylaminocarboxy groups 30 that include aryl or heteroaryl moieties bound to an amino group which is bound to the carbon of a carbonyl or thiocarbonyl group. The terms "alkylaminocarboxy," "alkenylaminocarboxy," "alkynylaminocarboxy," and "arylaminocarboxy" include moieties wherein alkyl, alkenyl, alkynyl and aryl moieties, respectively, are bound to a nitrogen atom which is in turn bound to the carbon of a carbonyl group.

The term "carbonyl" or "carboxy" includes compounds and moieties which contain a carbon connected with a double bond to an oxygen atom, and tautomeric forms thereof. Examples of moieties that contain a carbonyl include aldehydes, ketones, carboxylic acids, amides, esters, anhydrides, etc. The term "carboxy moiety" or "carbonyl moiety" refers to groups such as "alkylcarbonyl" groups wherein an alkyl group is covalently bound to a carbonyl group, "alkenylcarbonyl" groups wherein an alkenyl group is covalently bound to a carbonyl group, "alkynylcarbonyl" groups wherein an alkynyl group is covalently bound to a carbonyl group, "arylcarbonyl" groups wherein an aryl group is covalently attached to the carbonyl group. Furthermore, the term also refers to groups wherein one or more heteroatoms are covalently bonded to the carbonyl moiety. For example, the term includes moieties such as, for example, aminocarbonyl moieties, (wherein a nitrogen atom is bound to the carbon of the carbonyl group, *e.g.*, an amide), aminocarbonyloxy moieties, wherein an oxygen and a nitrogen atom are both bond to the carbon of the carbonyl group (*e.g.*, also referred to as a "carbamate"). Furthermore, aminocarbonylamino groups (*e.g.*, ureas) are also included as well as other combinations of carbonyl groups bound to heteroatoms (*e.g.*, nitrogen, oxygen, sulfur, etc. as well as carbon atoms). Furthermore, the heteroatom can be further substituted with one or more alkyl, alkenyl, alkynyl, aryl, aralkyl, acyl, etc. moieties.

The term "thiocarbonyl" or "thiocarboxy" includes compounds and moieties which contain a carbon connected with a double bond to a sulfur atom. The term "thiocarbonyl moiety" includes moieties that are analogous to carbonyl moieties. For example, "thiocarbonyl" moieties include aminothiocarbonyl, wherein an amino group is bound to the carbon atom of the thiocarbonyl group, furthermore other thiocarbonyl moieties include, oxythiocarbonyls (oxygen bound to the carbon atom), aminothiocarbonylamino groups, etc.

The term "ether" includes compounds or moieties that contain an oxygen bonded to two different carbon atoms or heteroatoms. For example, the term includes "alkoxyalkyl" which refers to an alkyl, alkenyl, or alkynyl group covalently bonded to an oxygen atom that is covalently bonded to another alkyl group.

The term "ester" includes compounds and moieties that contain a carbon or a heteroatom bound to an oxygen atom that is bonded to the carbon of a carbonyl group. The term "ester" includes alkoxy carboxy groups such as methoxycarbonyl, ethoxycarbonyl, propoxycarbonyl, butoxycarbonyl, pentoxy carbonyl, etc. The alkyl, alkenyl, or alkynyl groups are as defined above.

The term "thioether" includes compounds and moieties which contain a sulfur atom bonded to two different carbon or hetero atoms. Examples of thioethers include, but are not limited to alkthioalkyls, alkthioalkenyls, and alkthioalkynyls. The term "alkthioalkyls"

include compounds with an alkyl, alkenyl, or alkynyl group bonded to a sulfur atom that is bonded to an alkyl group. Similarly, the term "alkthioalkenyls" and alkthioalkynyls" refer to compounds or moieties wherein an alkyl, alkenyl, or alkynyl group is bonded to a sulfur atom which is covalently bonded to an alkynyl group.

5 The term "hydroxy" or "hydroxyl" includes groups with an -OH or -O<sup>-</sup>.

The term "halogen" includes fluorine, bromine, chlorine, iodine, etc. The term "perhalogenated" generally refers to a moiety wherein all hydrogens are replaced by halogen atoms.

The terms "polycyclyl" or "polycyclic radical" include moieties with two or 10 more rings (e.g., cycloalkyls, cycloalkenyls, cycloalkynyls, aryls and/or heterocyclyls) in which two or more carbons are common to two adjoining rings, e.g., the rings are "fused rings". Rings that are joined through non-adjacent atoms are termed "bridged" rings. Each of the rings of the polycycle can be substituted with such substituents as described above, as for example, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, 15 aryloxycarbonyloxy, carboxylate, alkylcarbonyl, alkoxy carbonyl, alkylaminoacarbonyl, aralkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyl, arylcarbonyl, aralkylcarbonyl, alkenylcarbonyl, aminocarbonyl, alkylthiocarbonyl, alkoxyl, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkyl, alkylaryl, or an aromatic or heteroaromatic moiety.

20

The term "heteroatom" includes atoms of any element other than carbon or hydrogen. Preferred heteroatoms are nitrogen, oxygen, sulfur and phosphorus.

25 The term "heterocycle" or "heterocyclic" includes saturated, unsaturated, aromatic ("heteroaryls" or "heteroaromatic") and polycyclic rings which contain one or more heteroatoms. Examples of heterocycles include, for example, benzodioxazole, benzofuran, benzoimidazole, benzothiazole, benzothiophene, benzoxazole, deazapurine, furan, indole, indolizine, imidazole, isooxazole, isoquinoline, isothiaozole, methylenedioxophenyl, 30 napthridine, oxazole, purine, pyrazine, pyrazole, pyridazine, pyridine, pyrimidine, pyrrole, quinoline, tetrazole, thiazole, thiophene, and triazole. Other heterocycles include morpholine, piprazine, piperidine, thiomorpholine, and thioazolidine. The heterocycles may be substituted or unsubstituted. Examples of substituents include, for example, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxycarbonyloxy, carboxylate, alkylcarbonyl, alkoxy carbonyl, alkylaminoacarbonyl, aralkylaminocarbonyl, alkenylaminocarbonyl, alkylcarbonyl, arylcarbonyl, aralkylcarbonyl, alkenylcarbonyl,

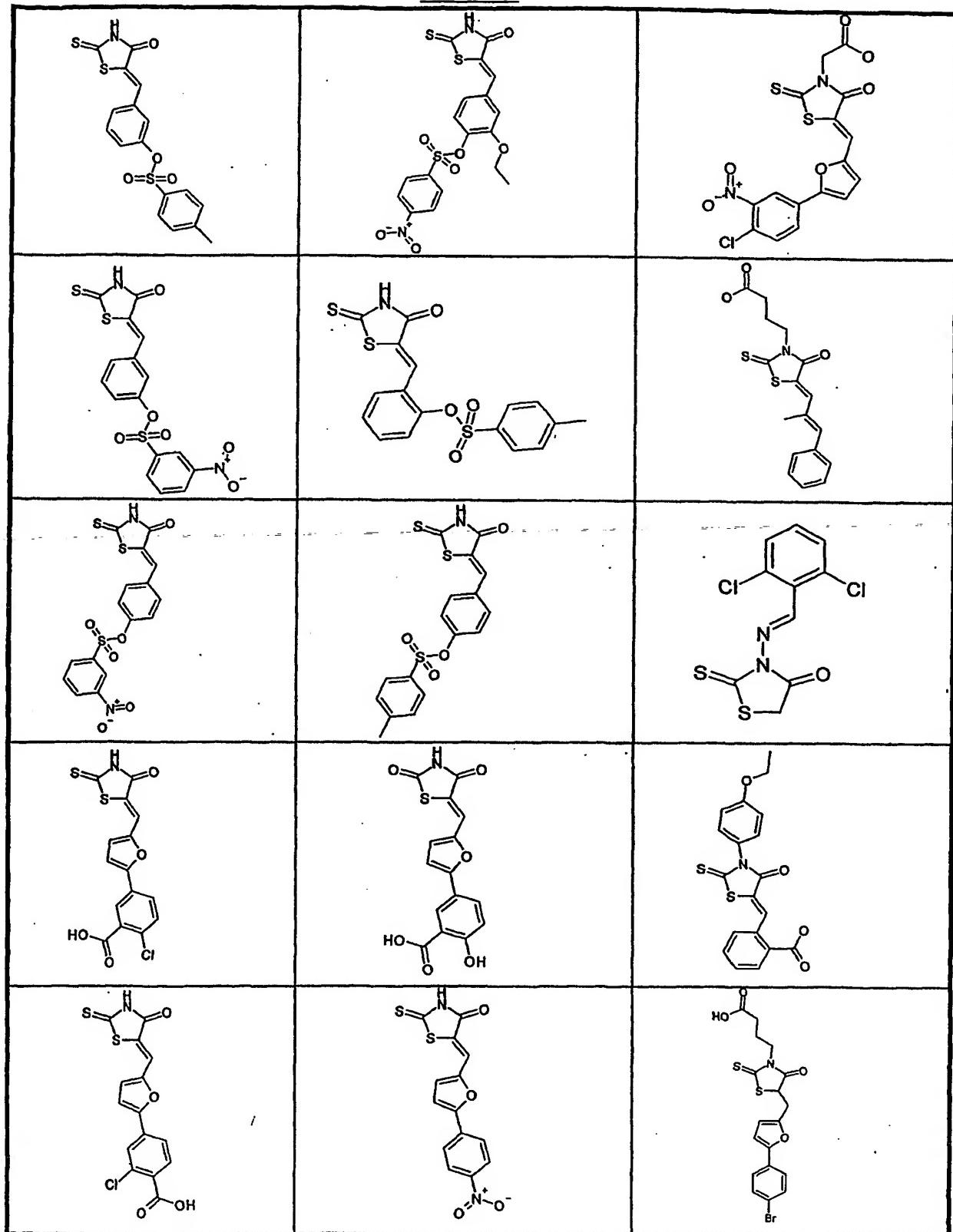
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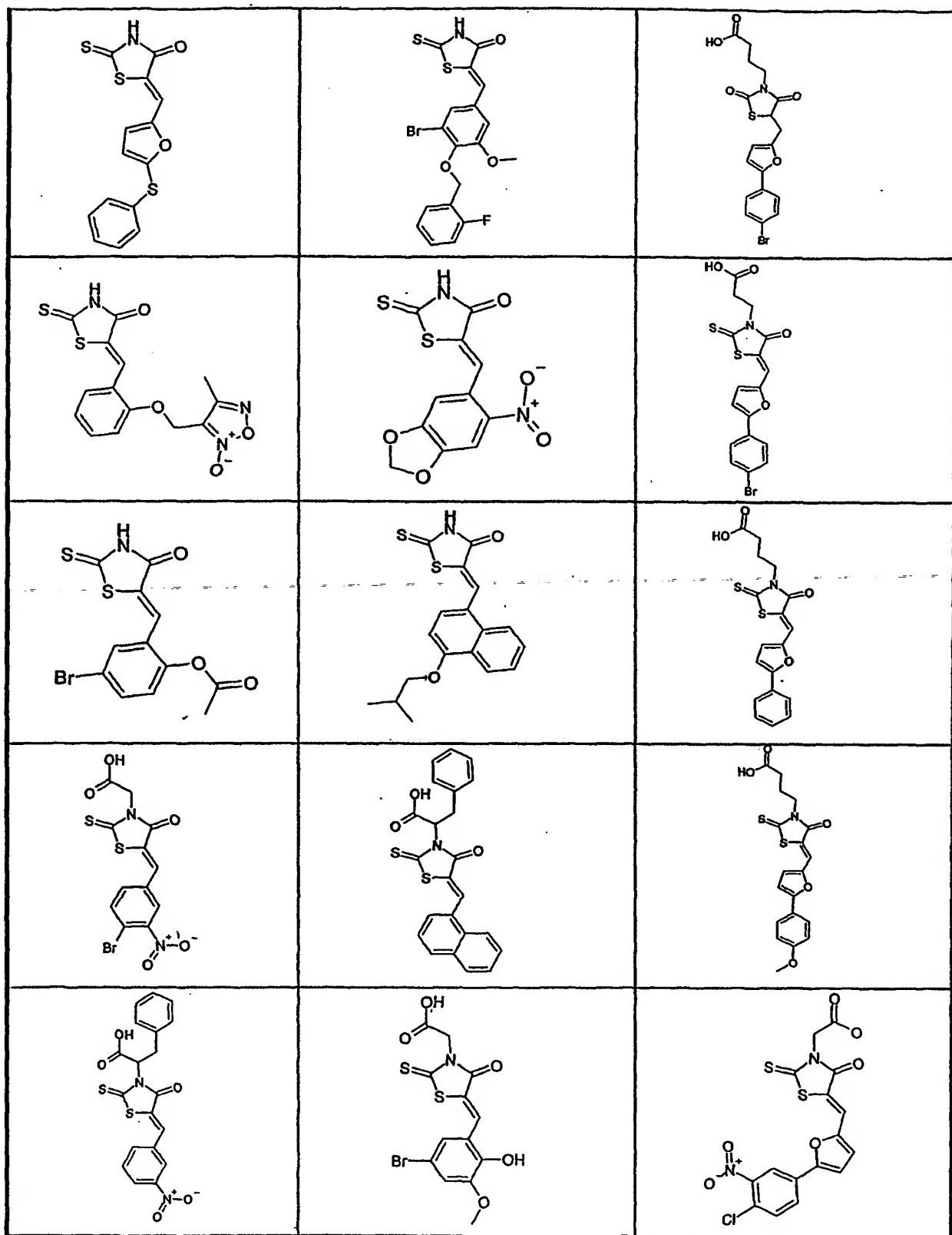
aminocarbonyl, alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, alkylsulfinyl, 5 sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocycl, alkyl, alkylaryl, or an aromatic or heteroaromatic moiety.

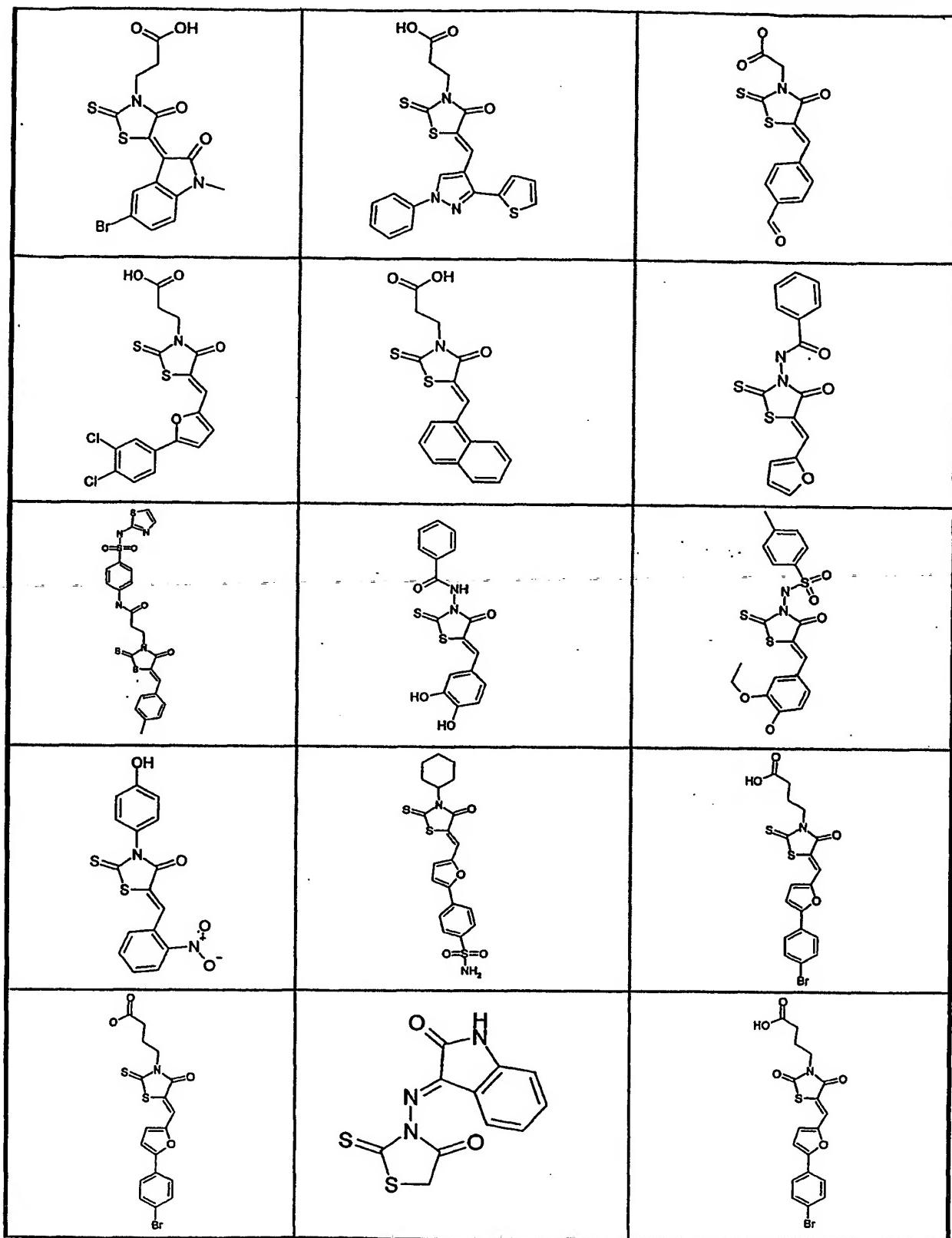
It will be noted that the structures of some of the compounds of this invention include asymmetric carbon atoms. It is to be understood accordingly that the isomers arising from such asymmetry (e.g., all enantiomers and diastereomers) are included within the scope 10 of this invention, unless indicated otherwise. Such isomers can be obtained in substantially pure form by classical separation techniques and by stereochemically controlled synthesis. Furthermore, the structures and other compounds and moieties discussed in this application also include all tautomers thereof. Compounds described herein may be obtained through art recognized synthesis strategies.

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TABLE 1

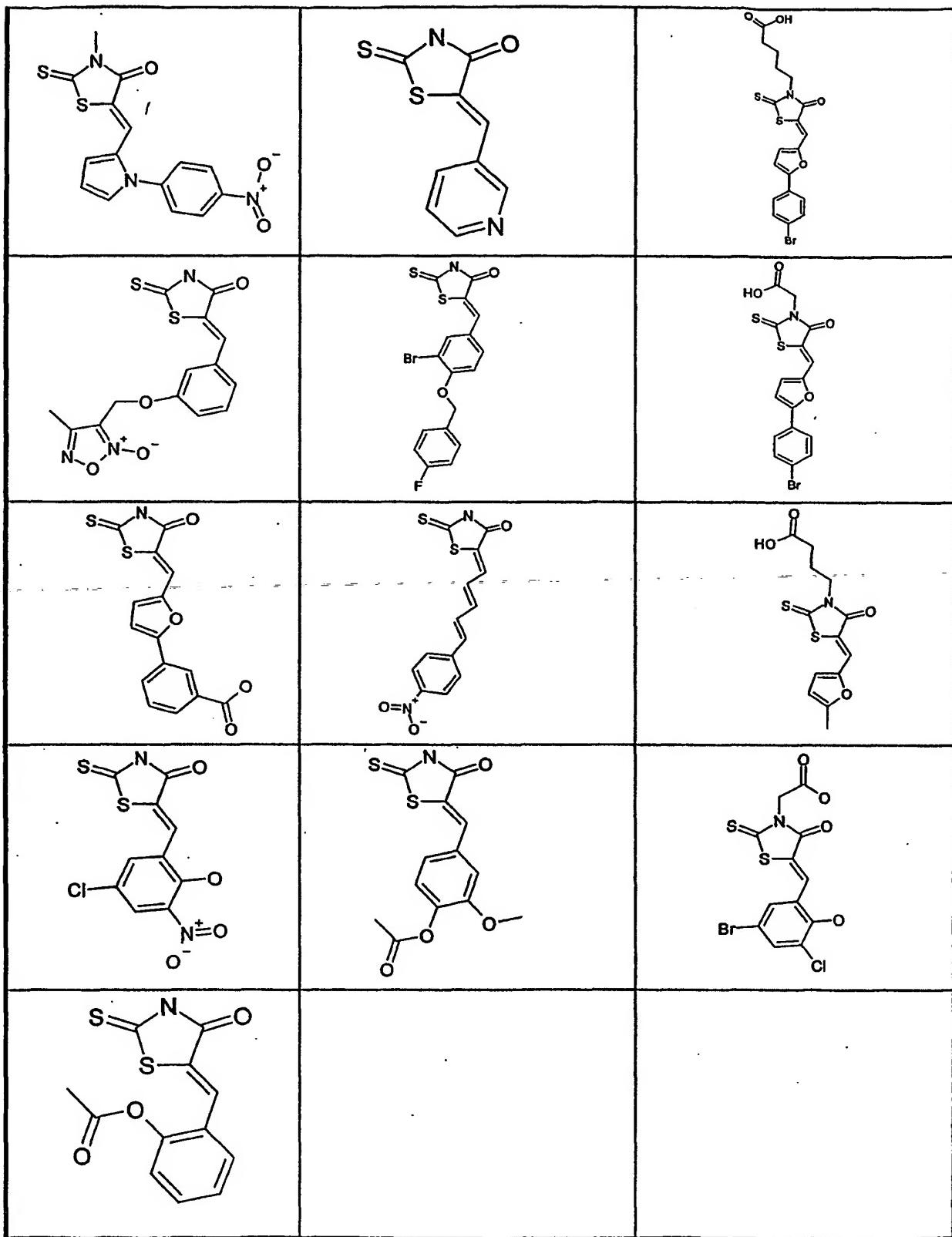




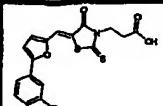
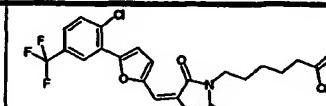
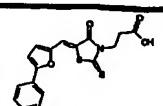
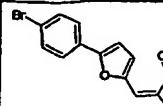
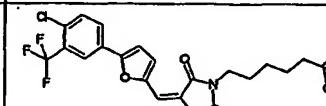
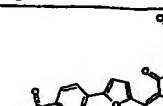
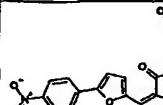
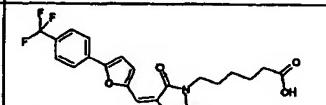
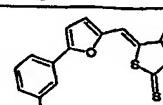
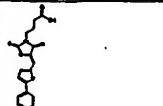
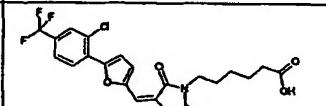
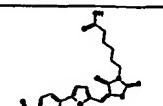
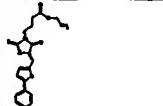
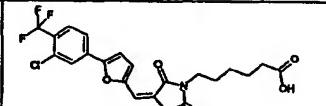
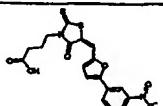
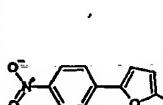
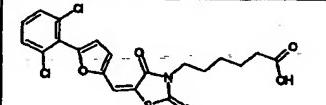
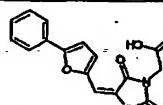
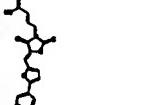
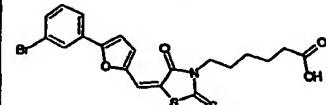
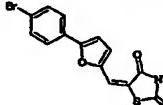
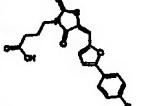
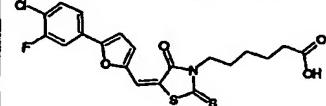
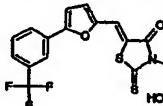
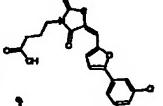
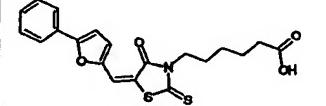
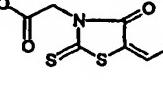
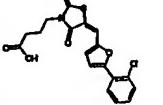
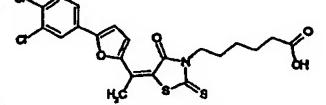
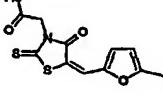
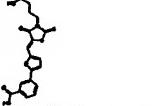
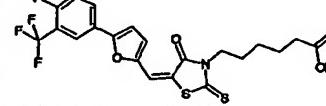
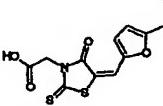
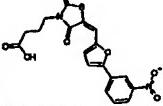
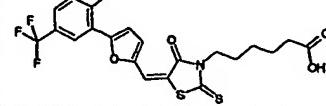
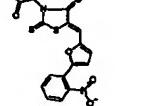
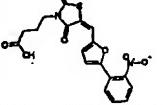
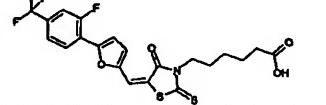
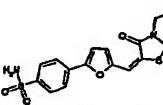


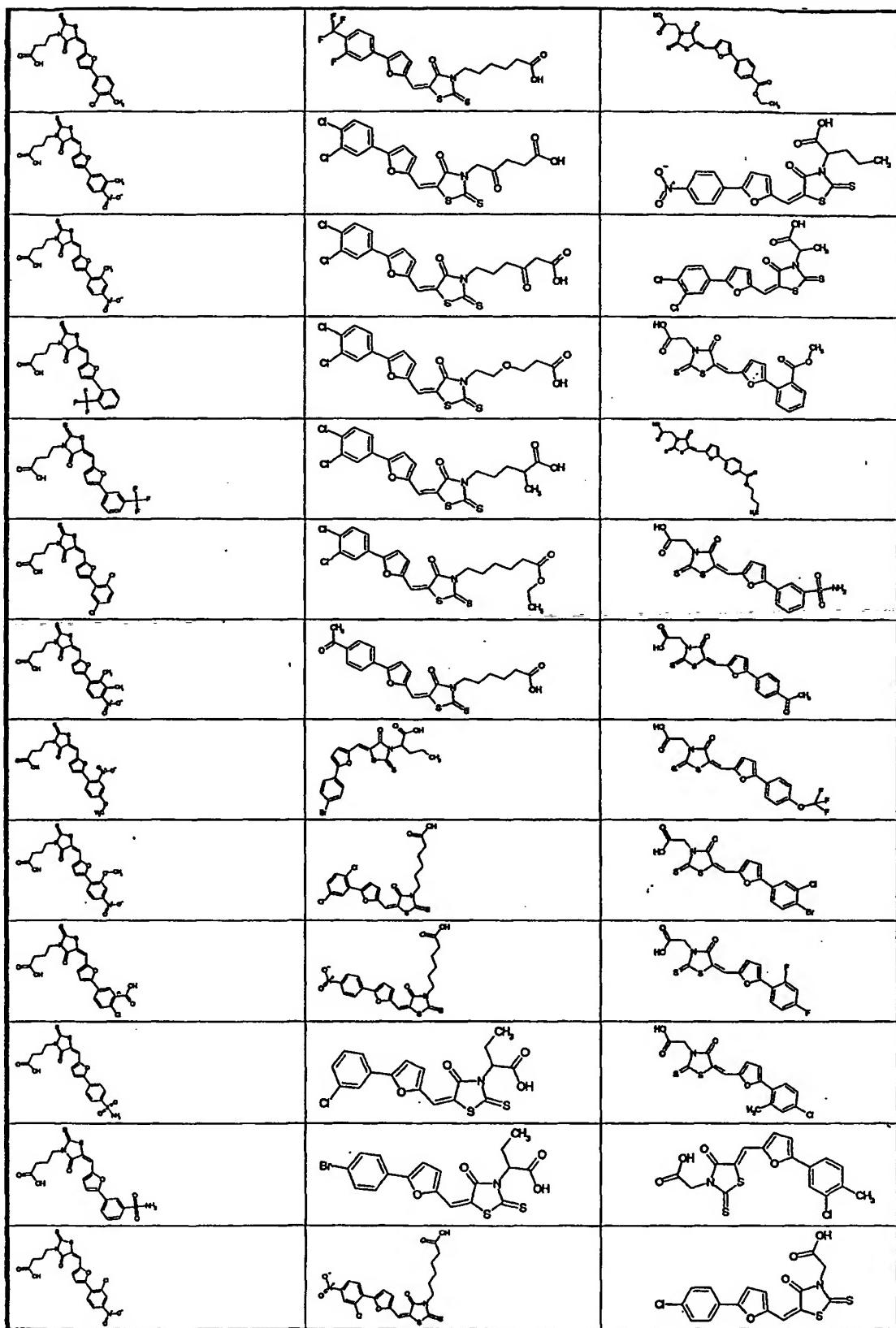
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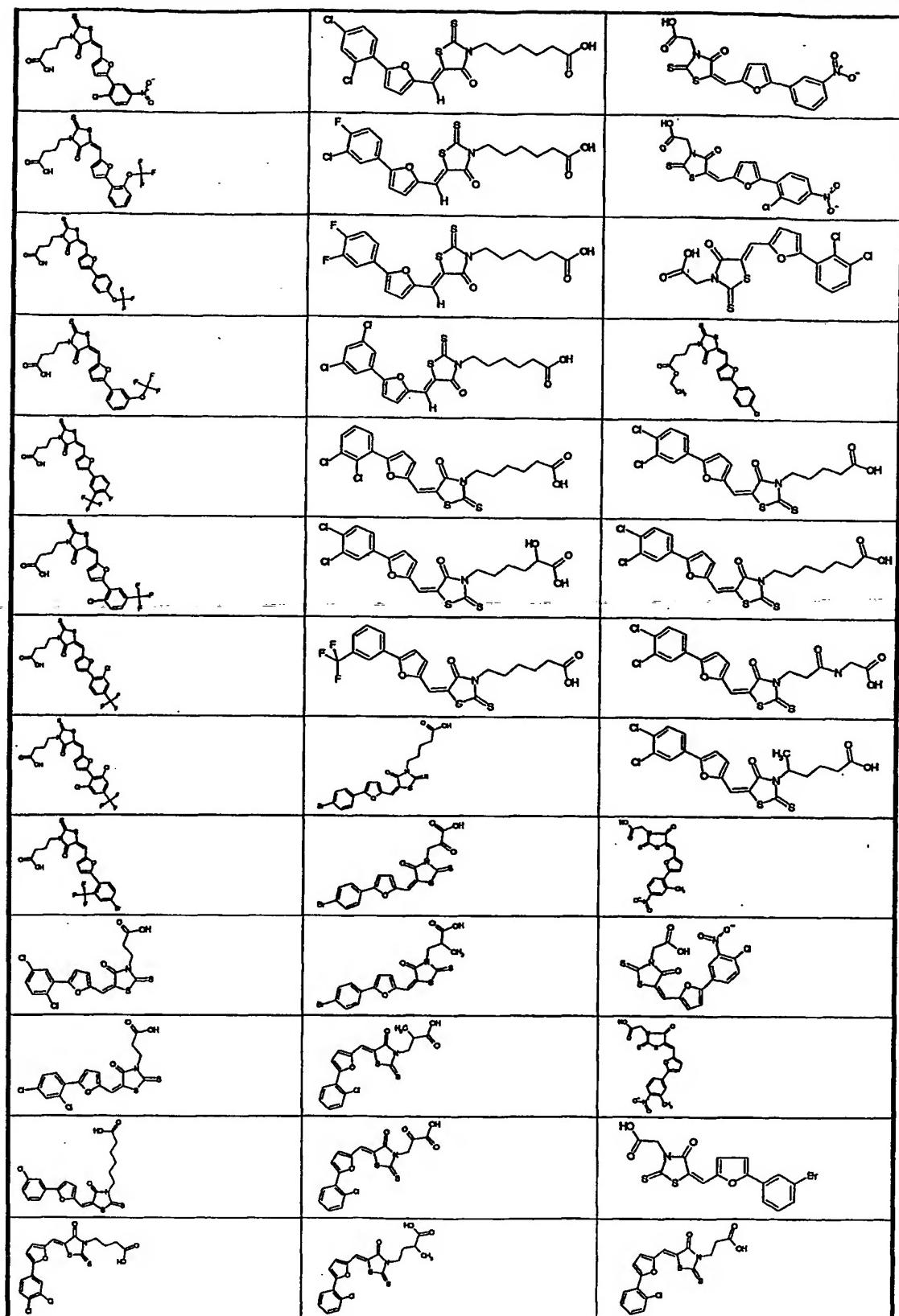
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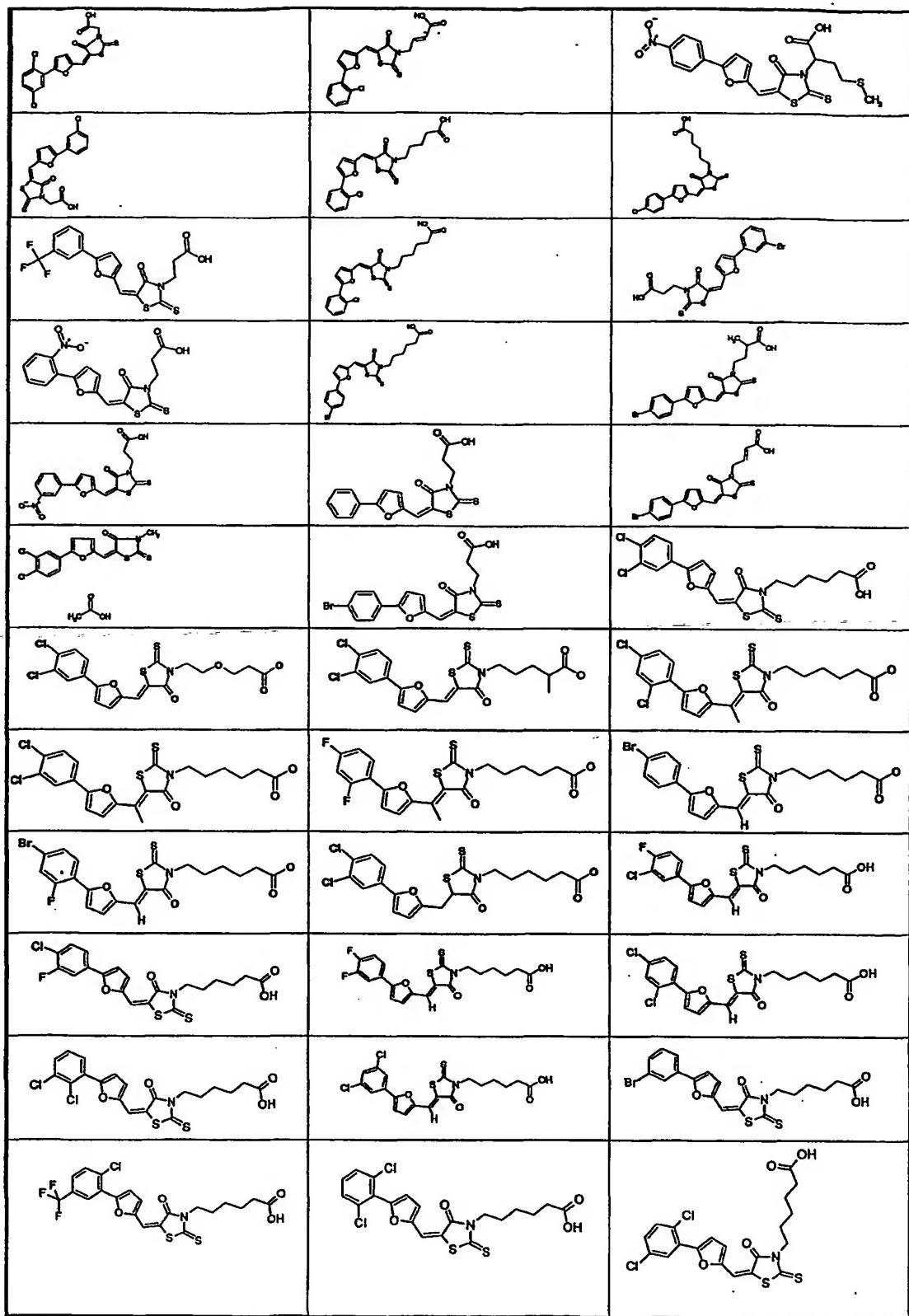


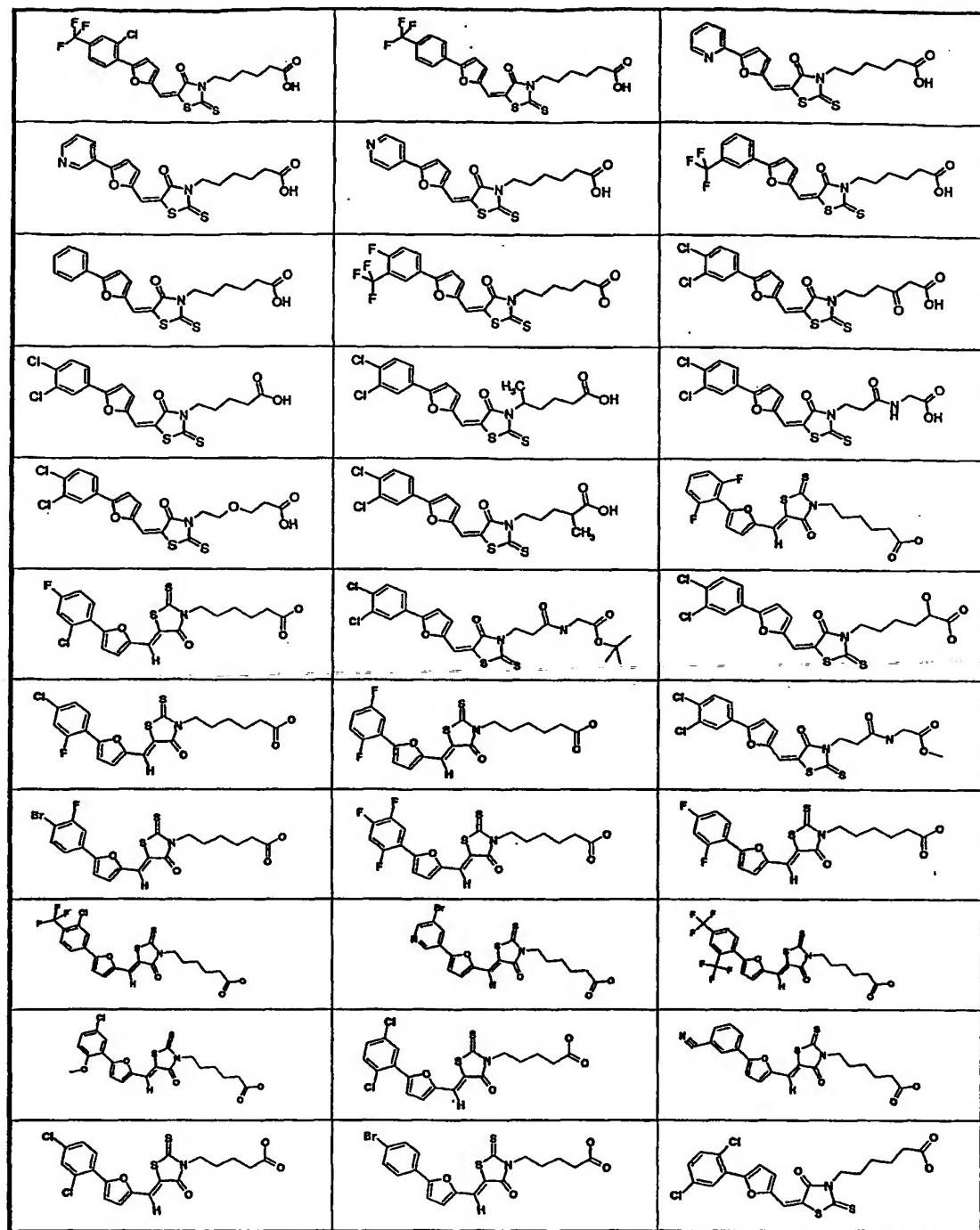
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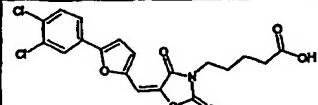
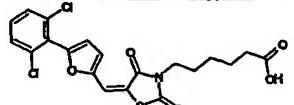
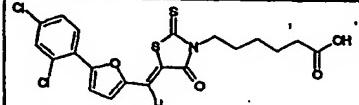
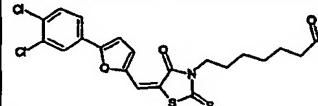
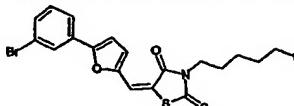
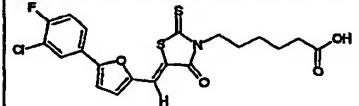
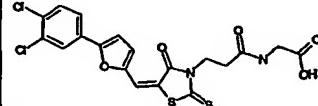
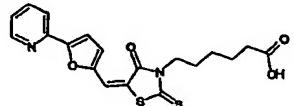
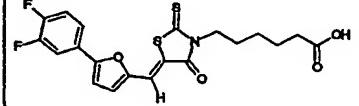
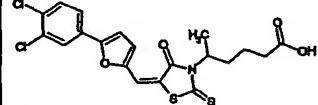
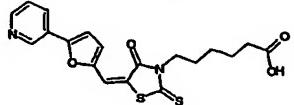
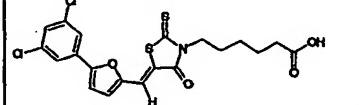
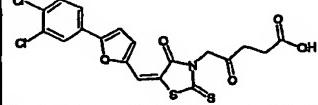
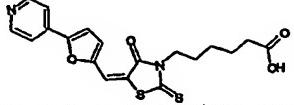
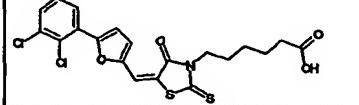
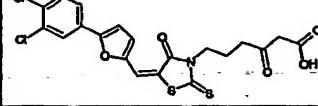
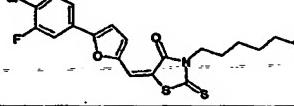
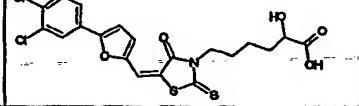
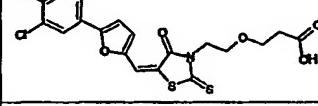
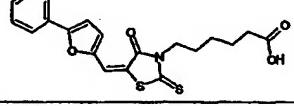
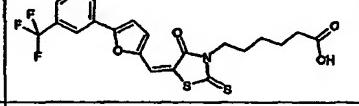
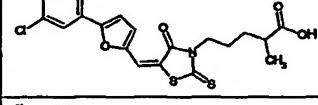
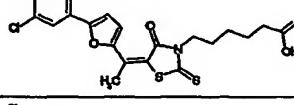
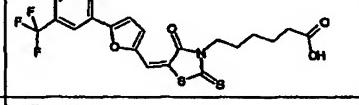
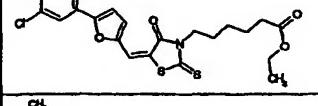
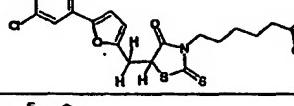
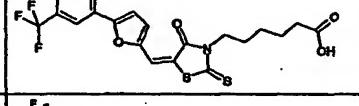
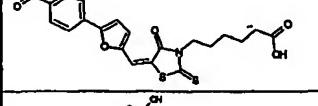
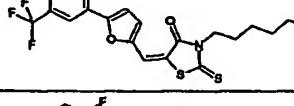
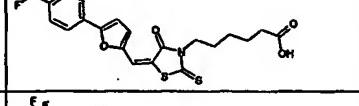
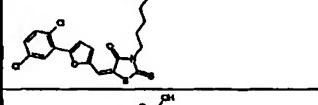
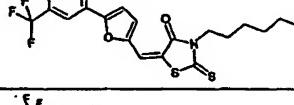
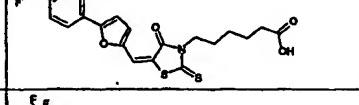
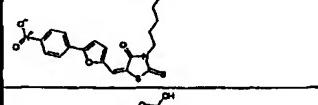
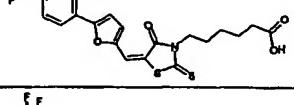
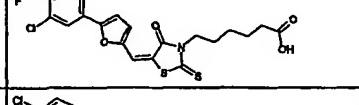
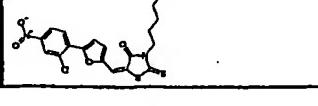
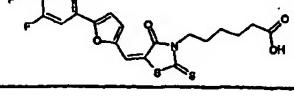
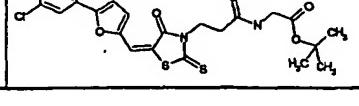


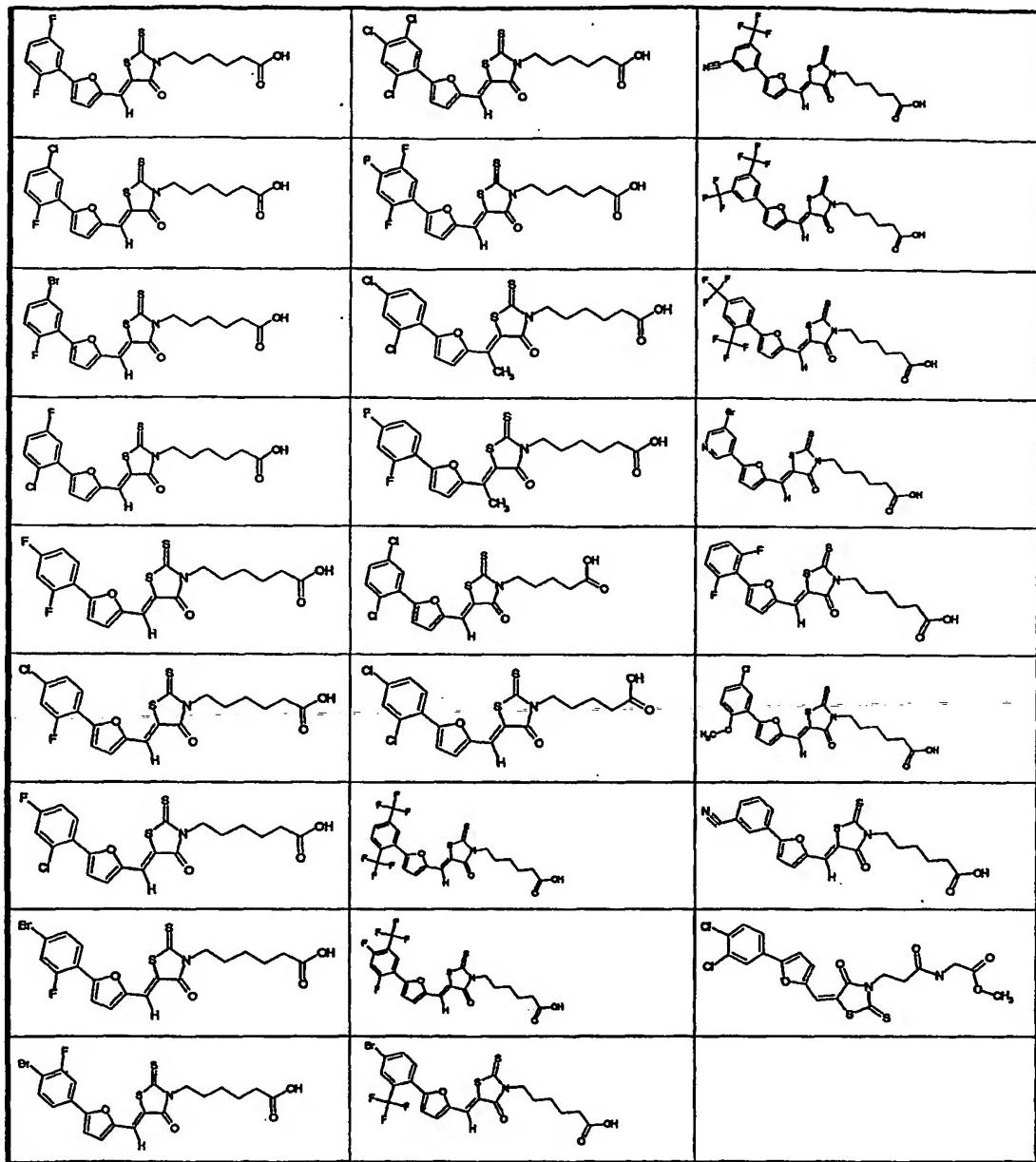




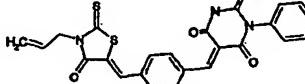
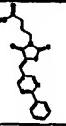
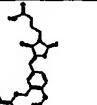
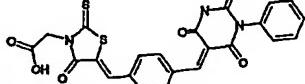
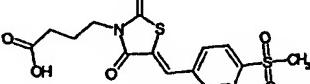
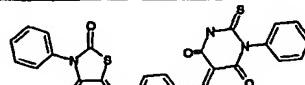
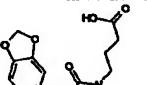
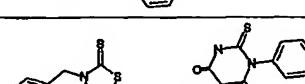
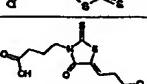
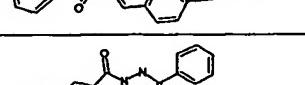
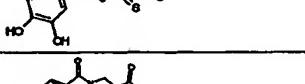
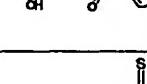
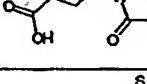
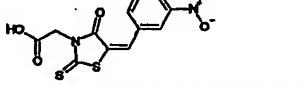
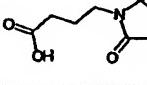
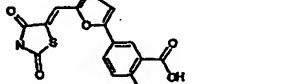
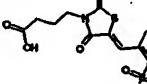
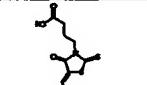
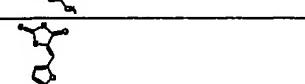
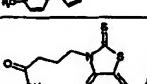
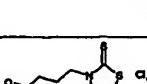
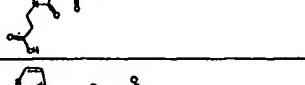
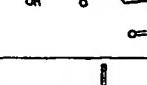
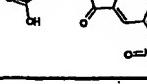


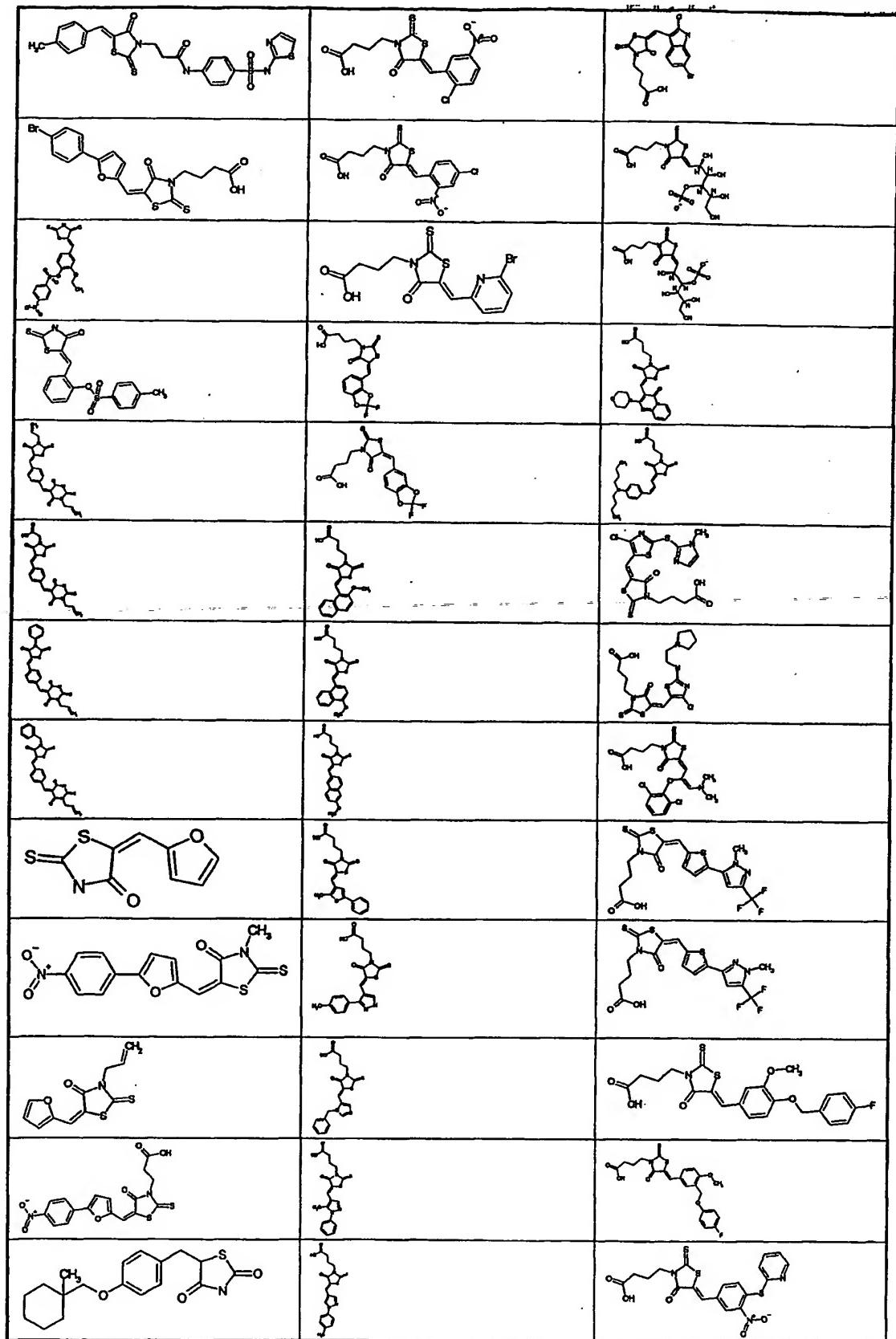
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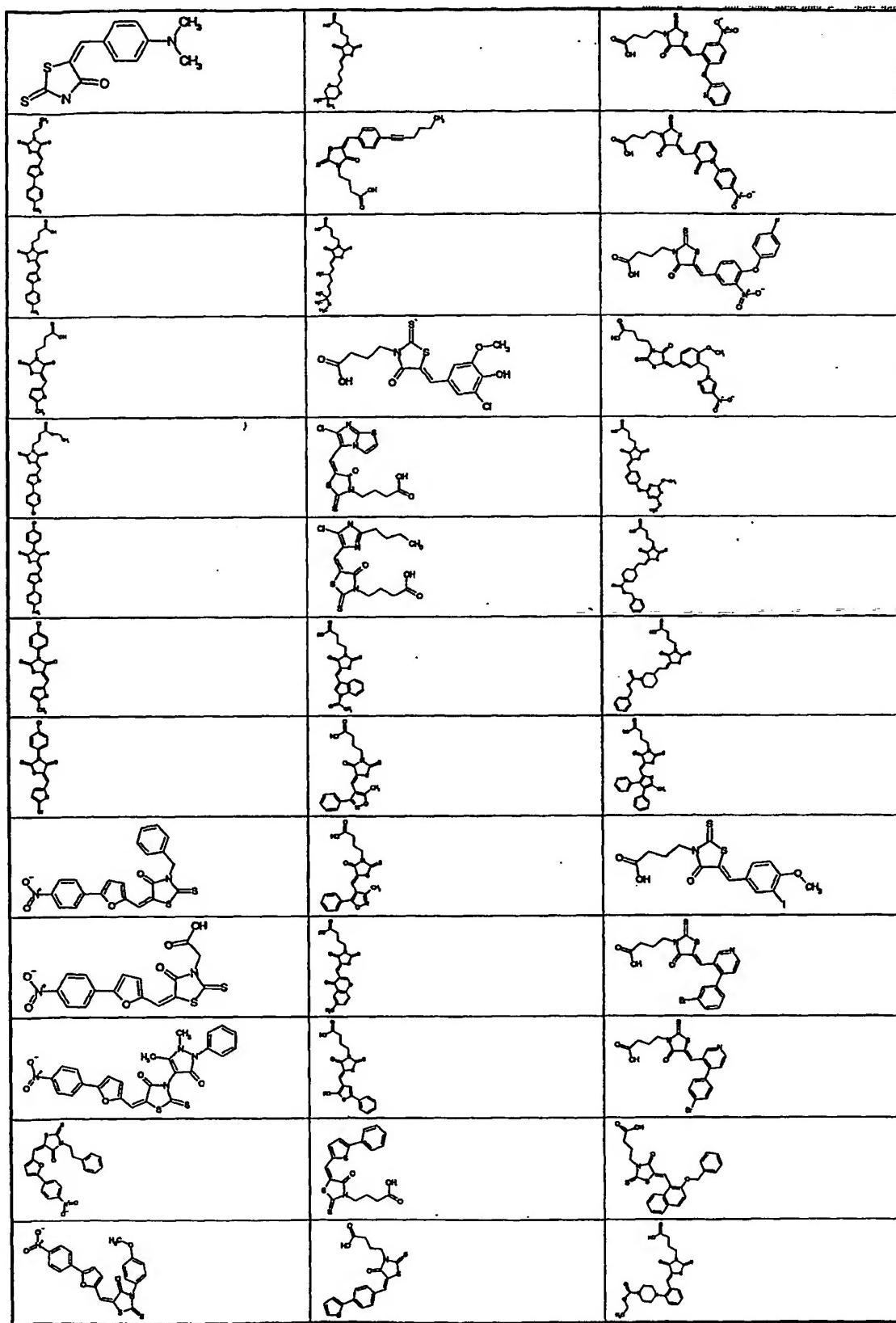
		
		
		
		
		
		
		
		
		
		
		
		
		
		

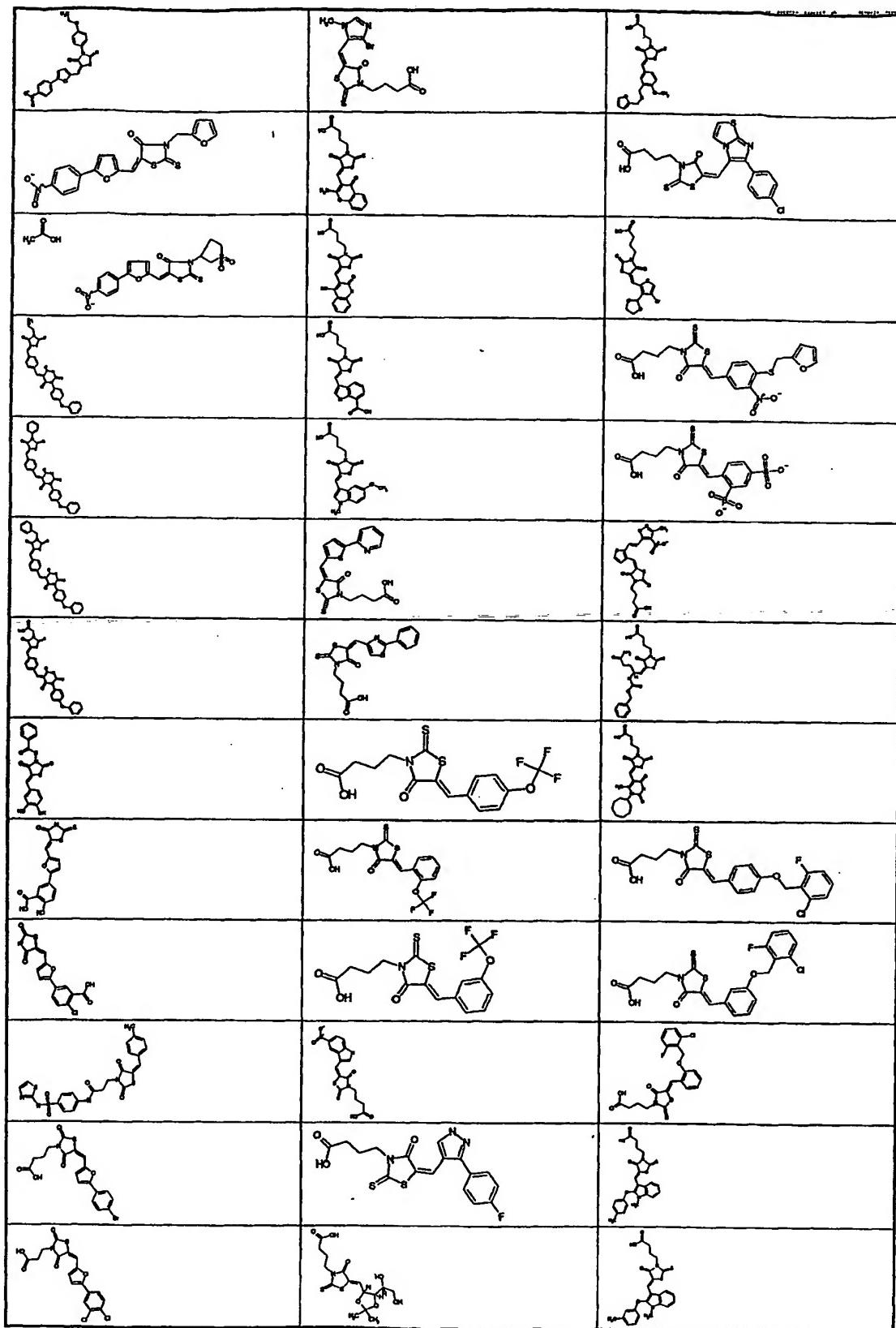


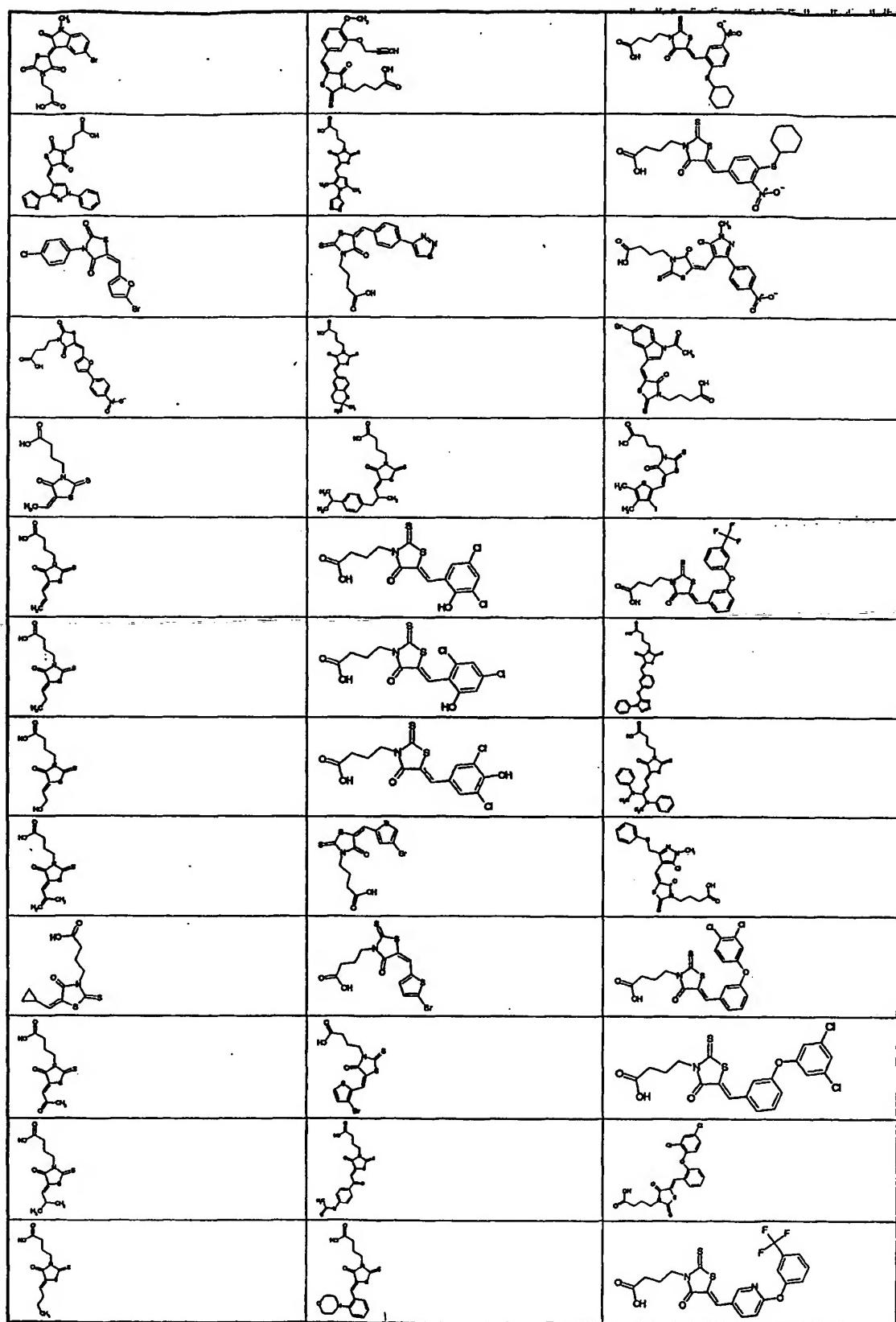
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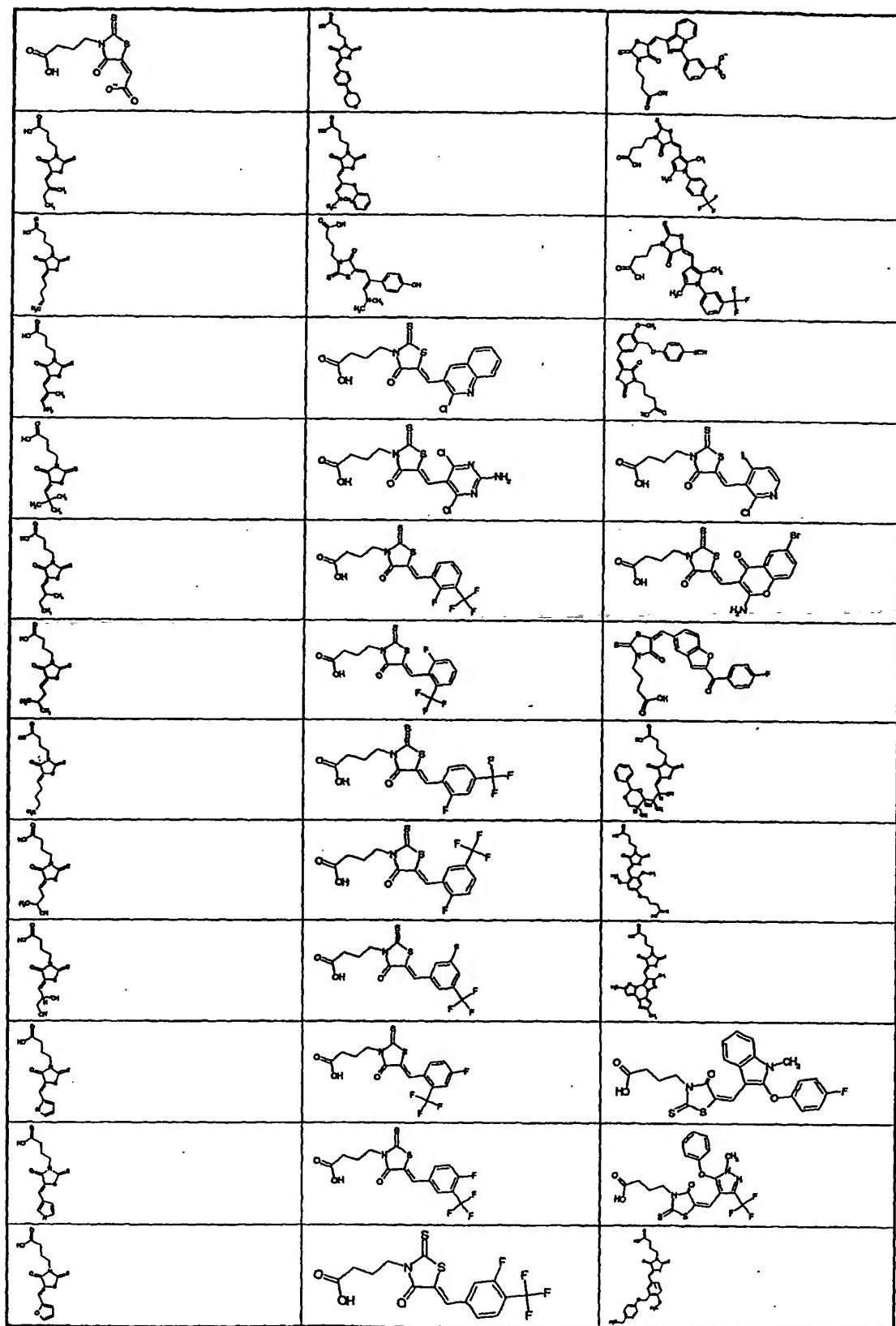
			
			
			
			
			
			
			
			
			
			
			
			
			
			

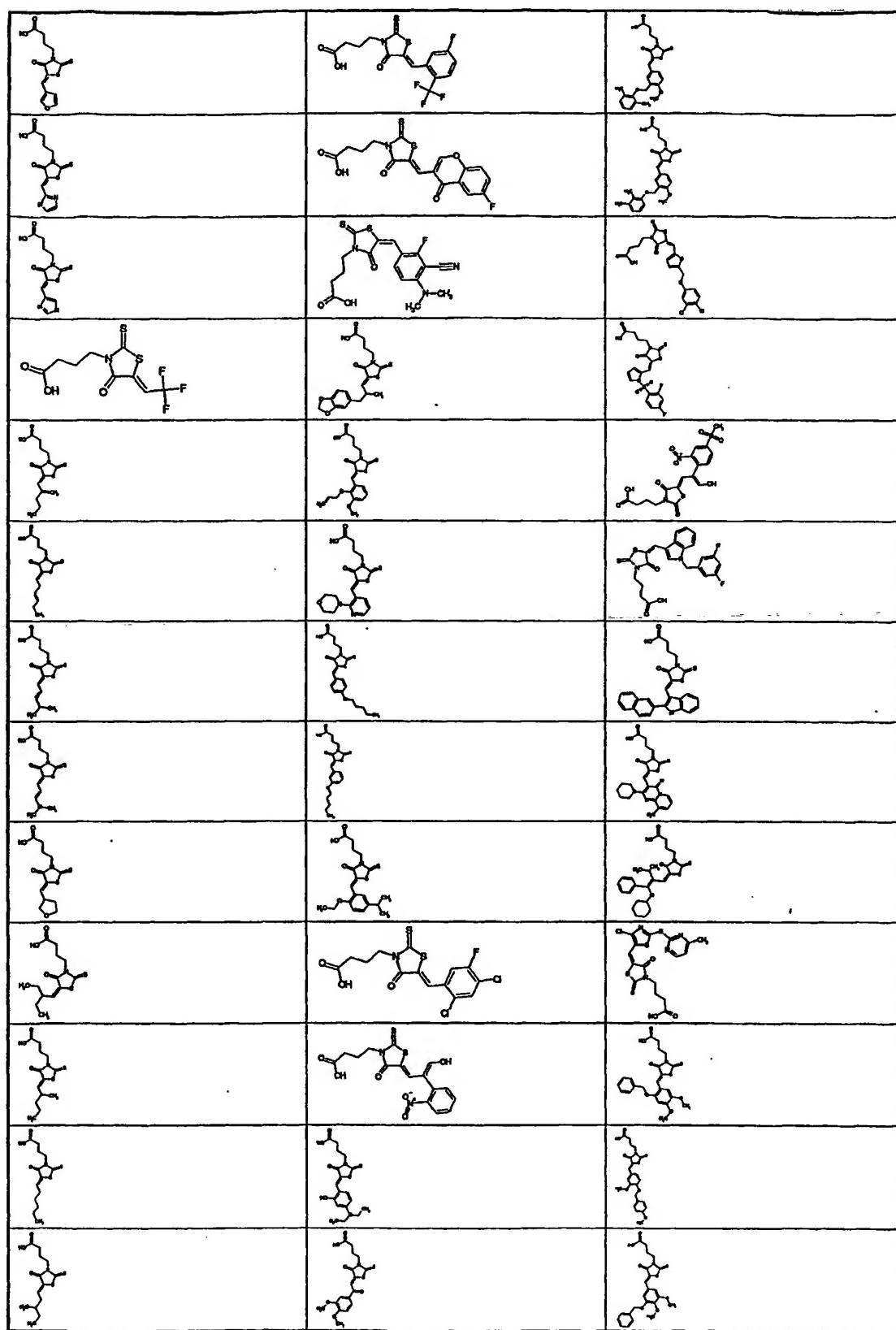


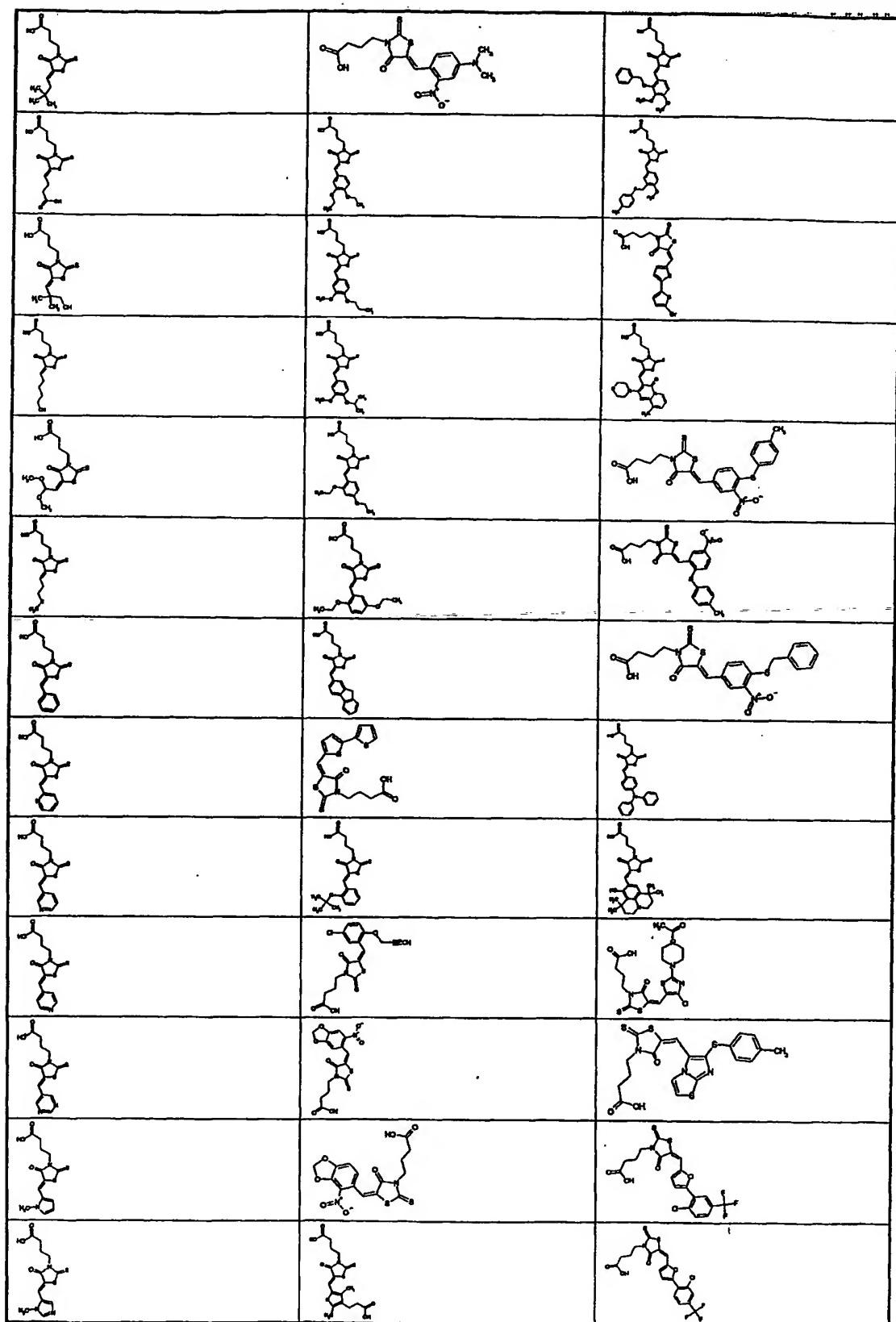


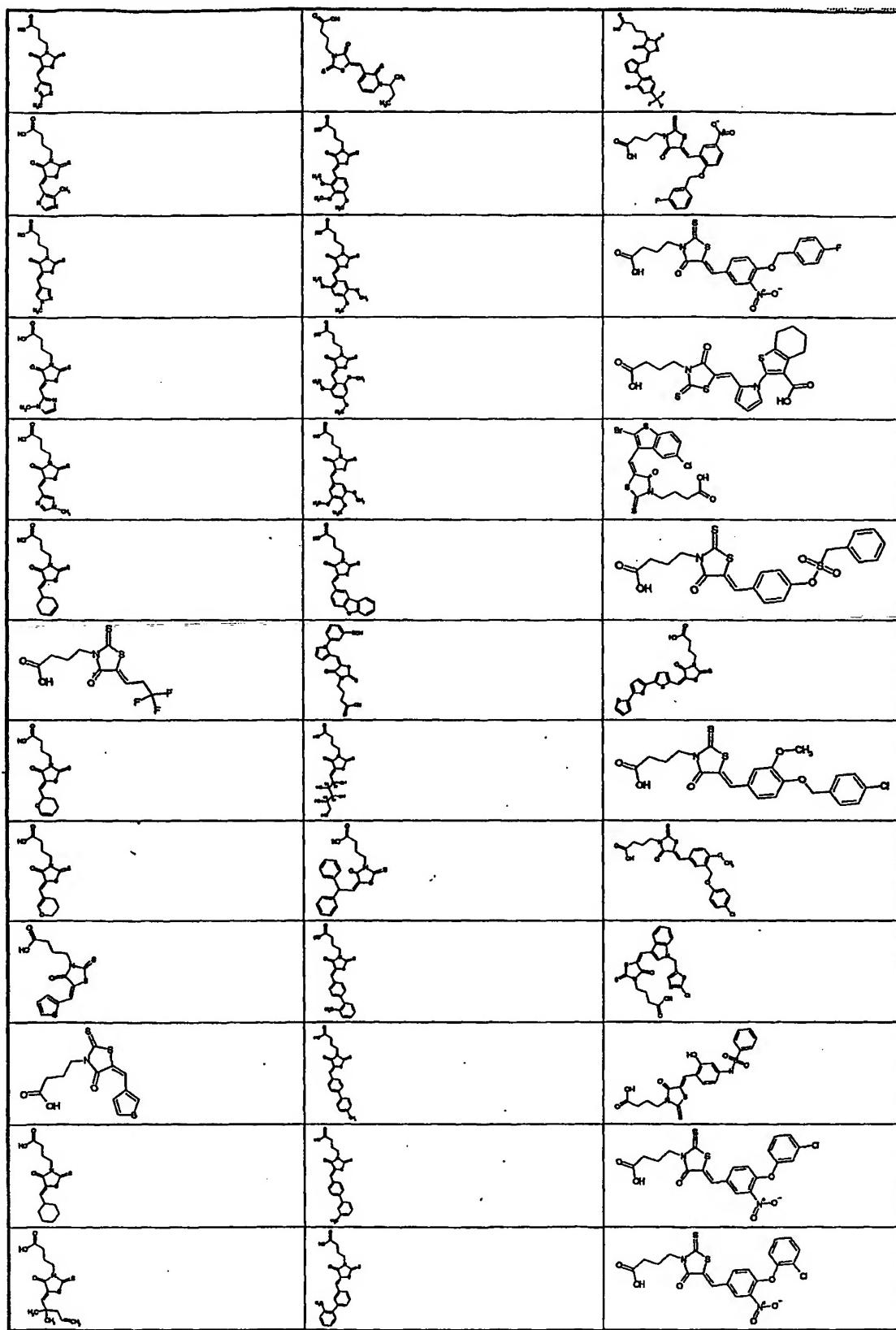


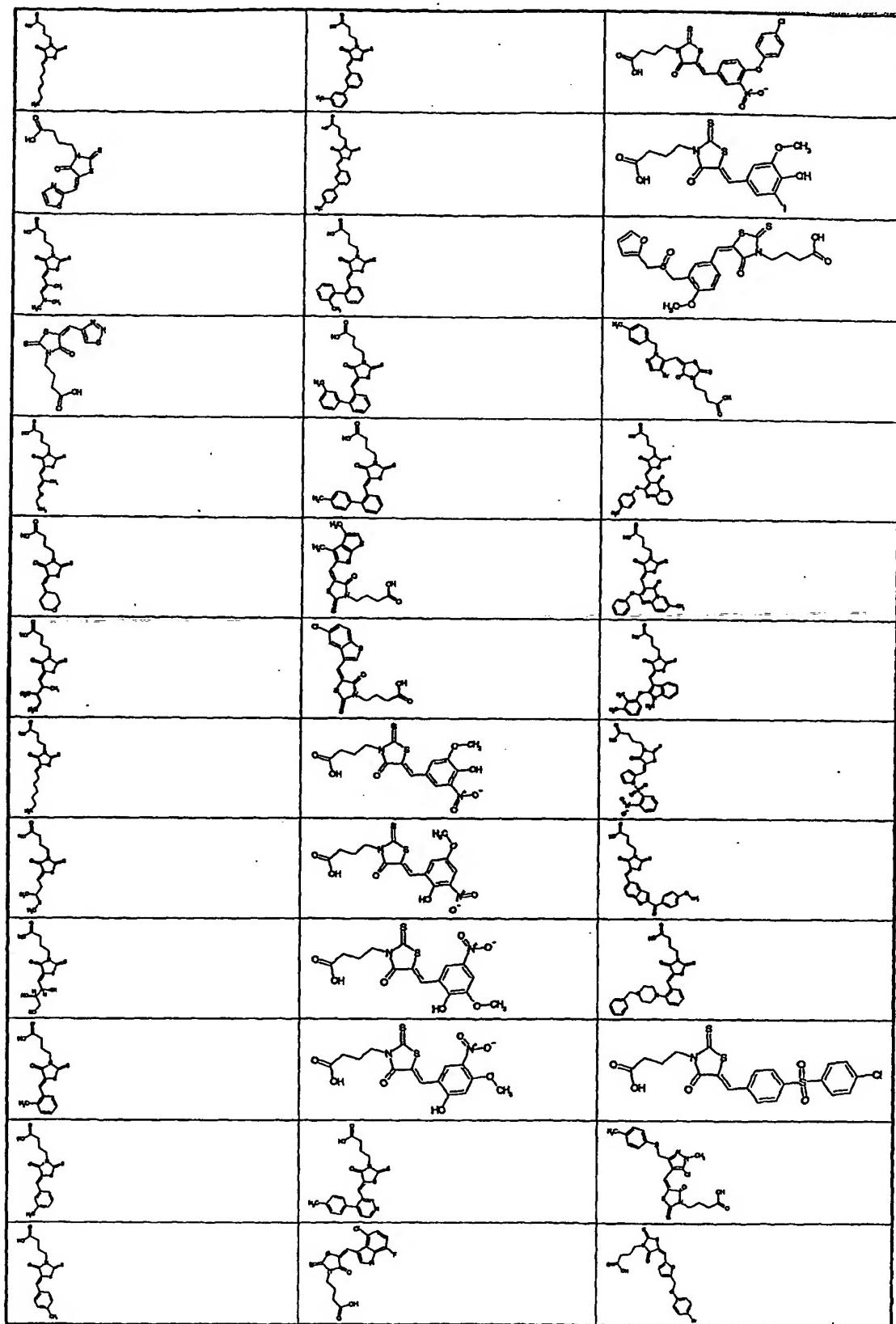


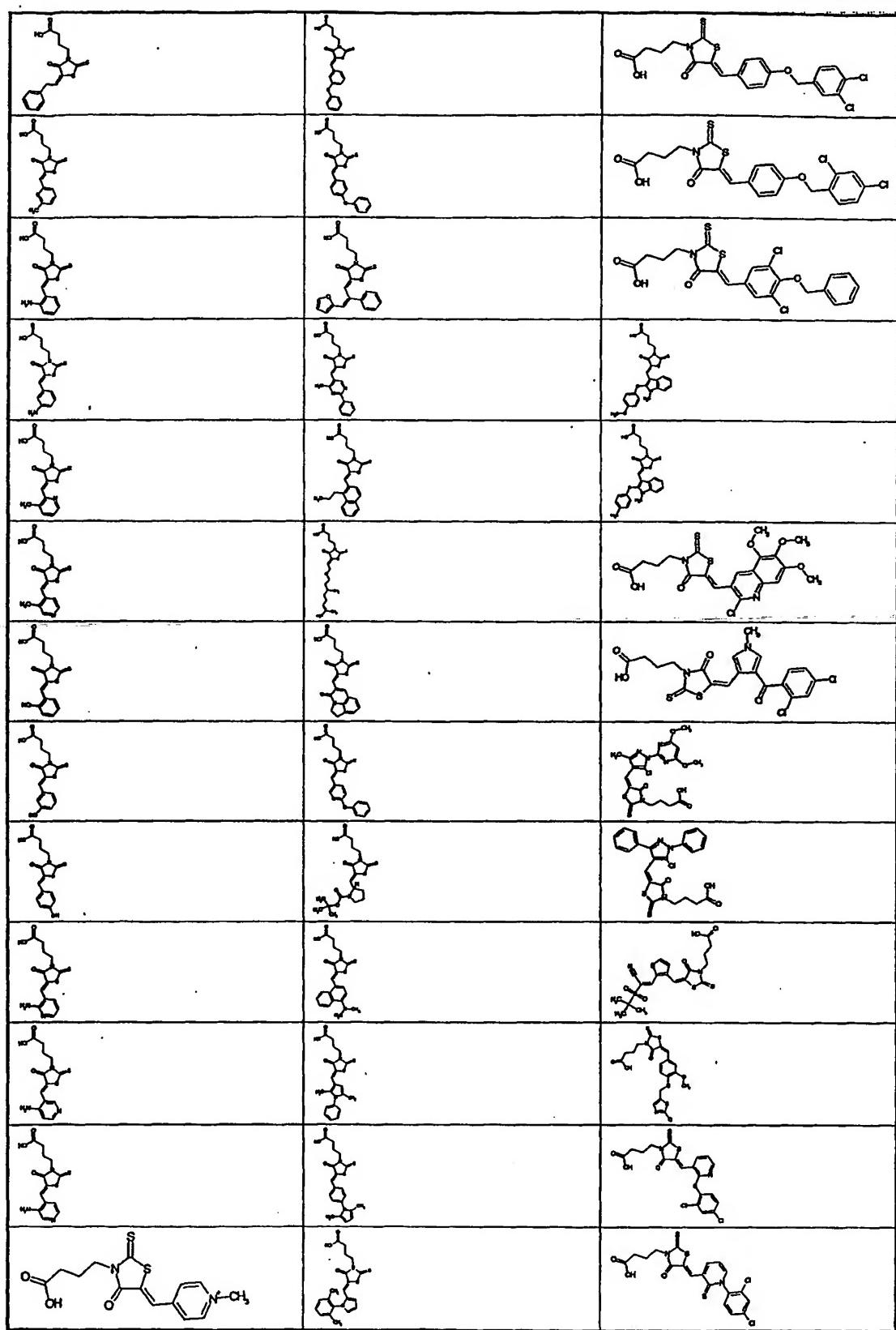


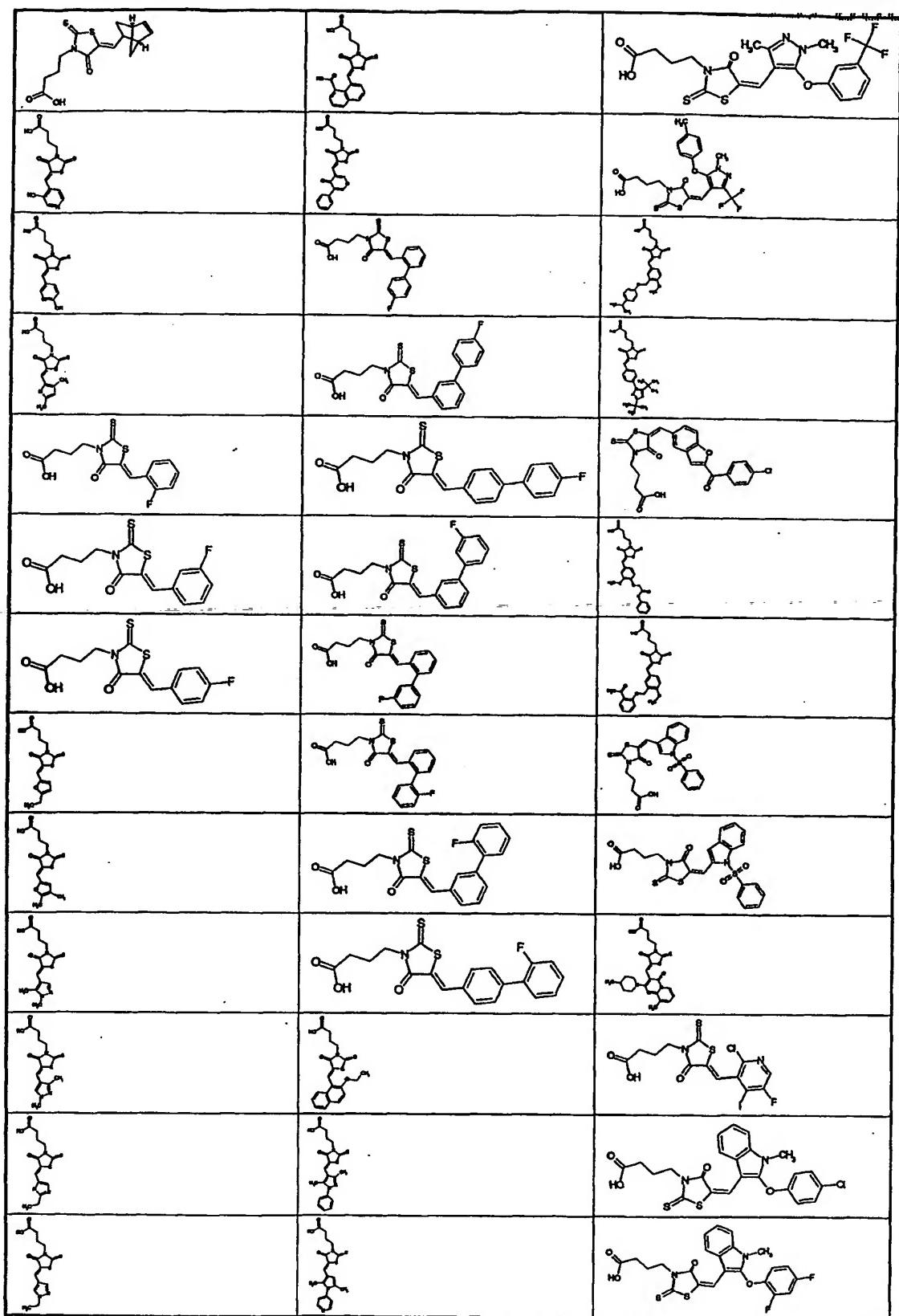


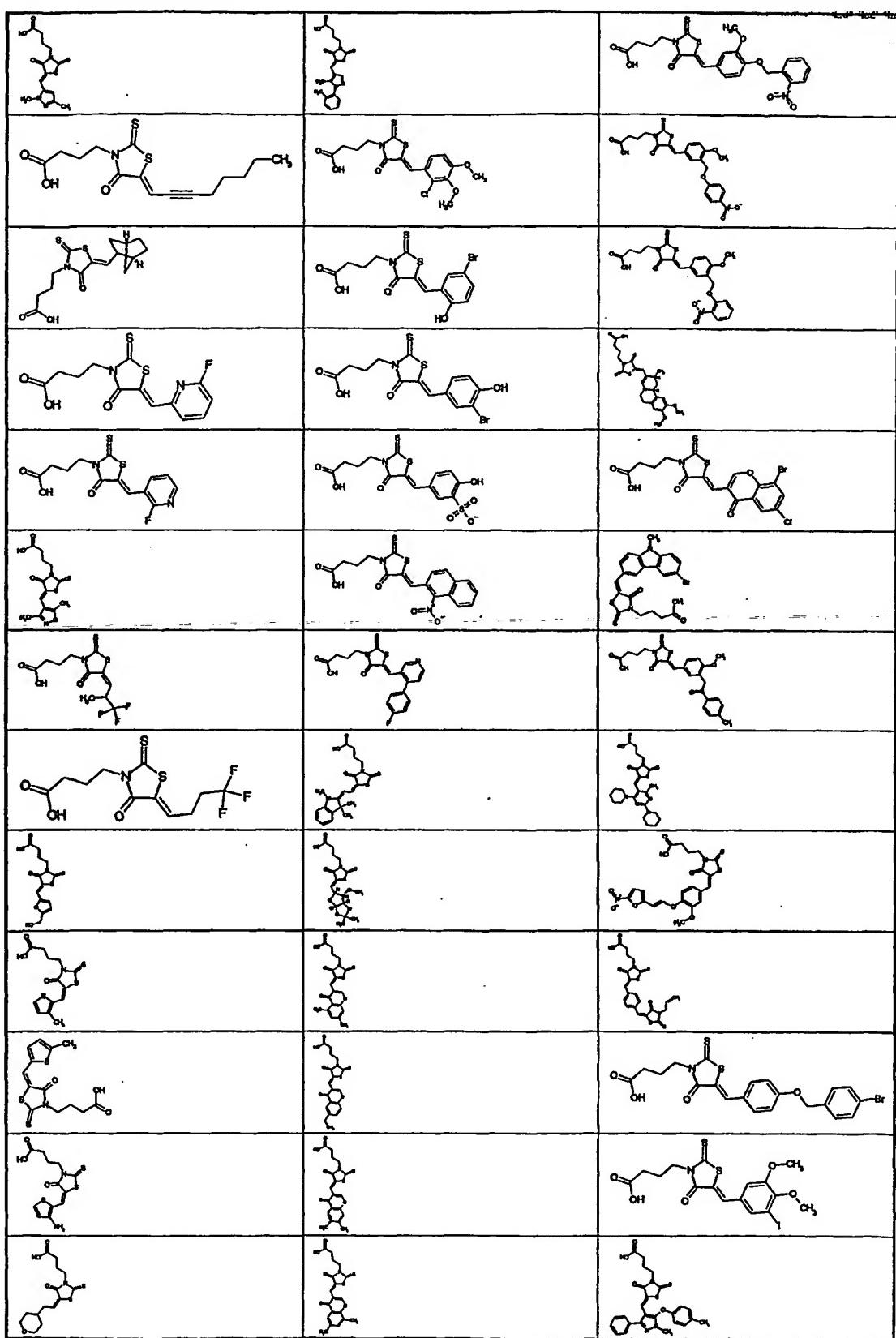


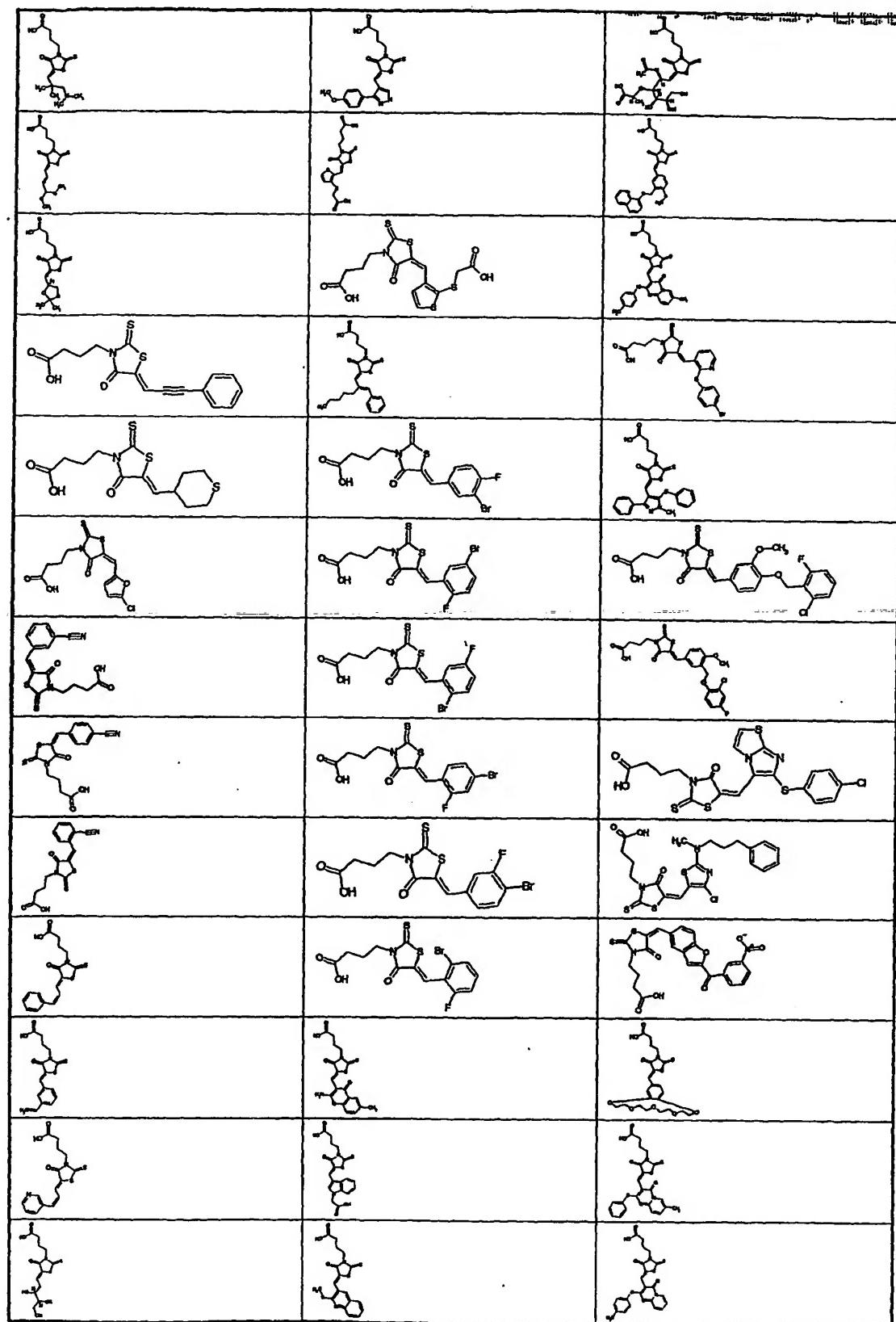


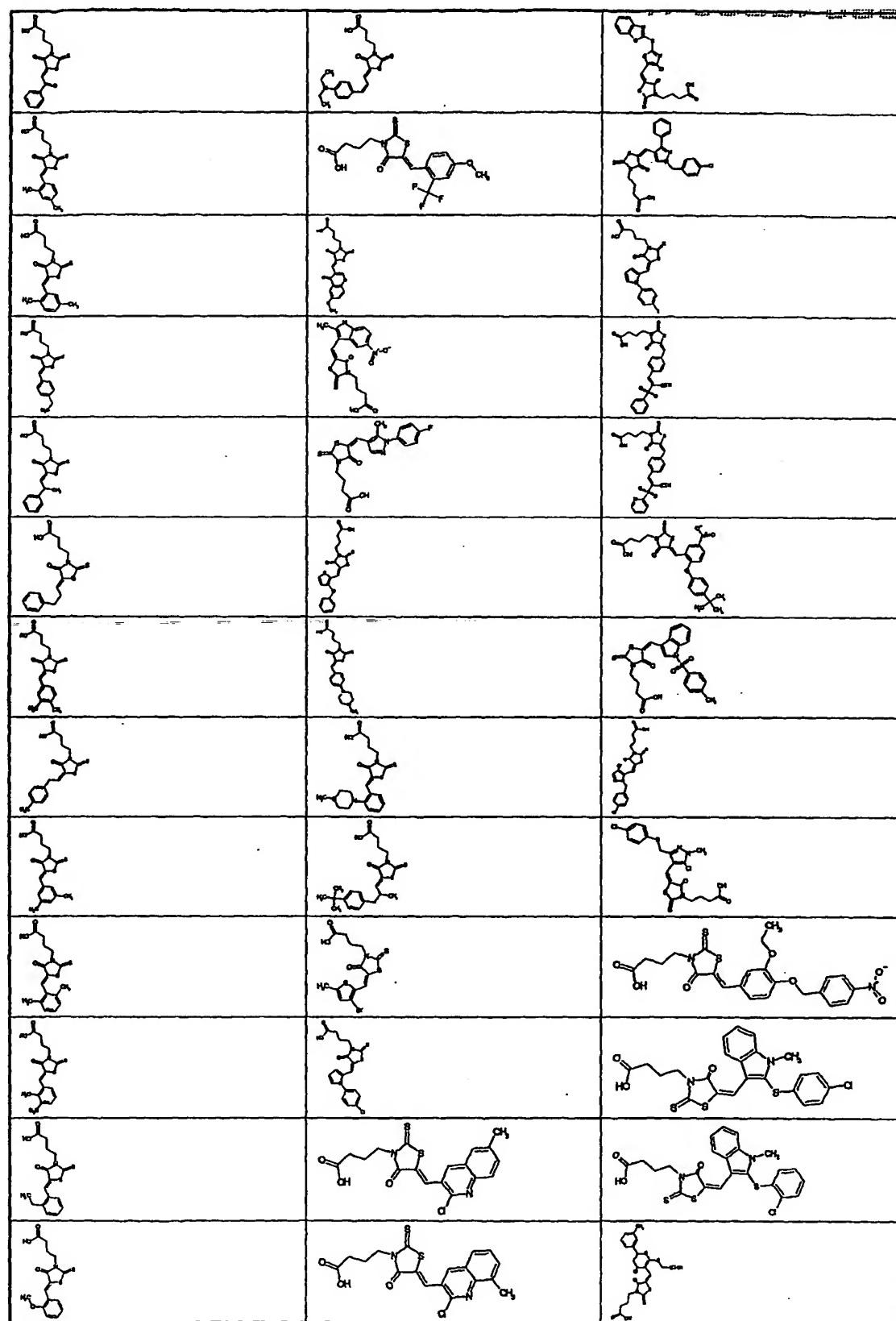


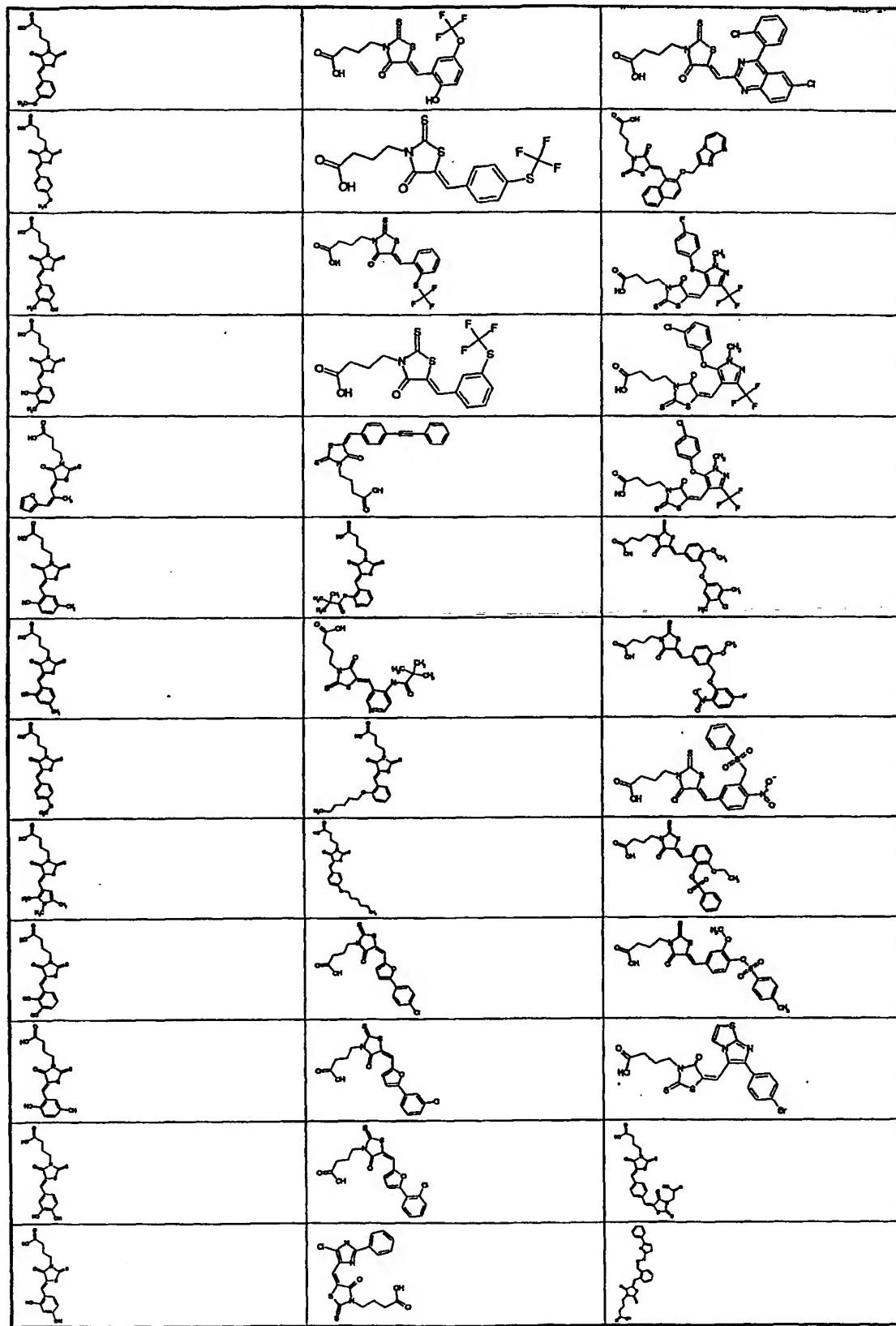


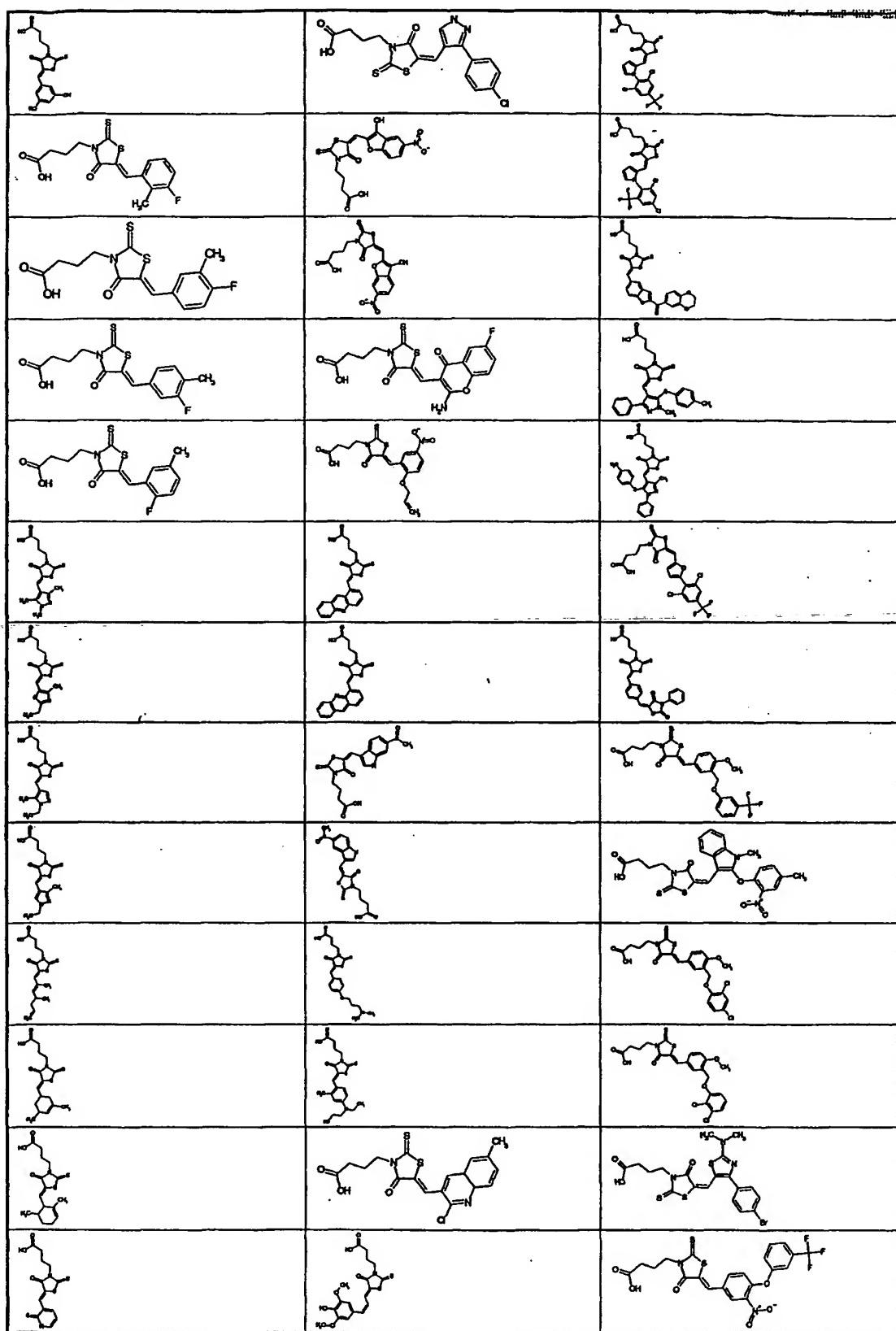


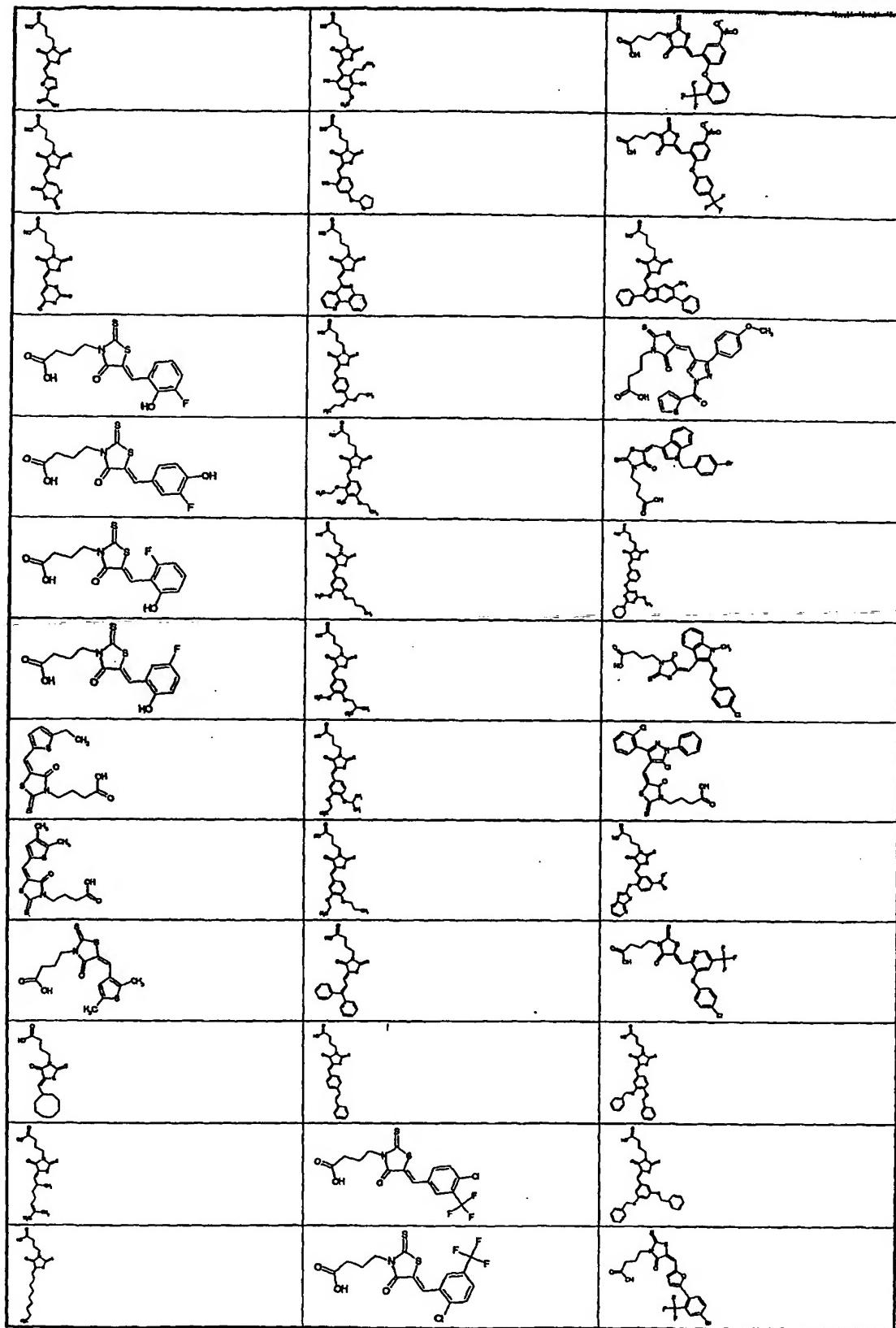


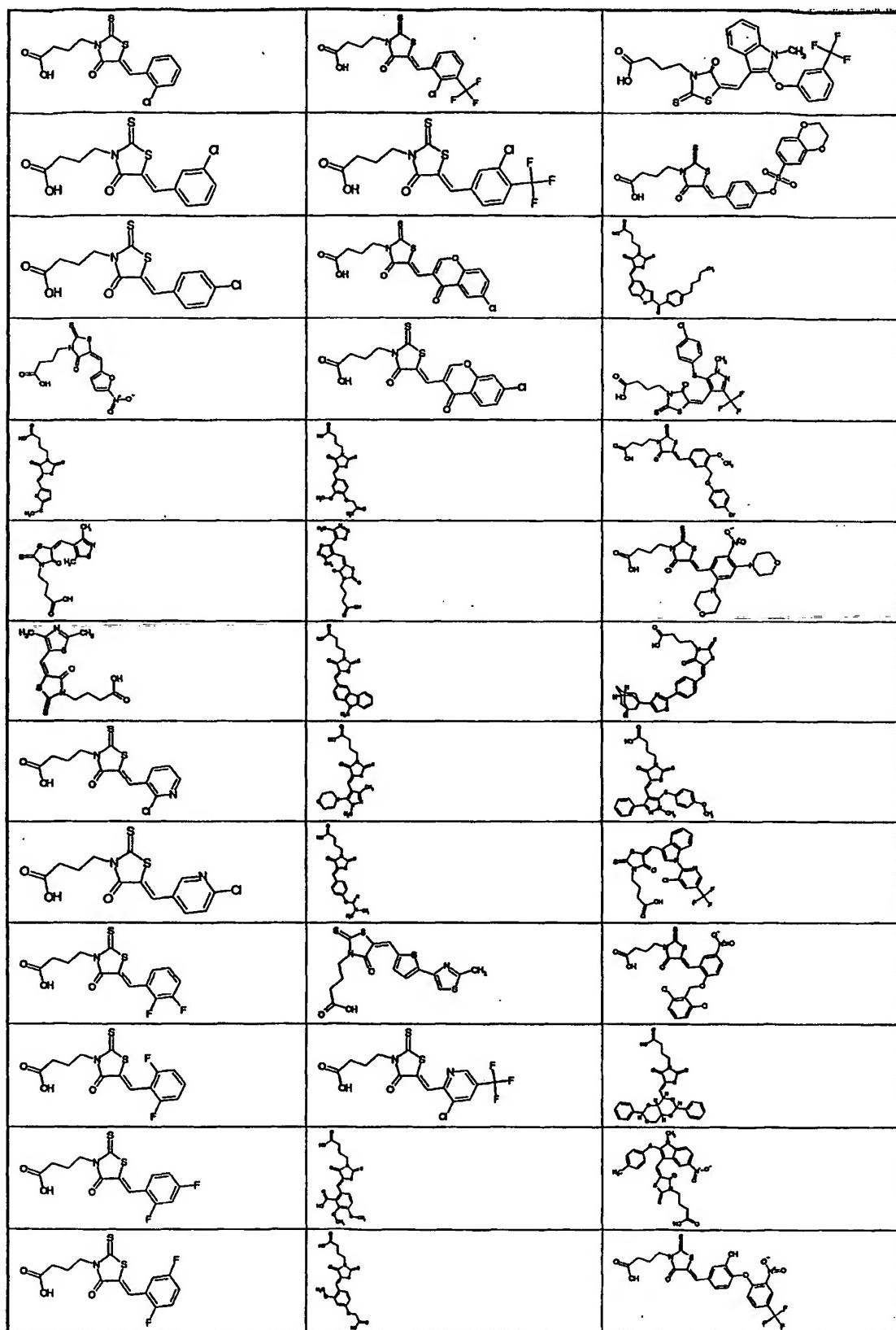


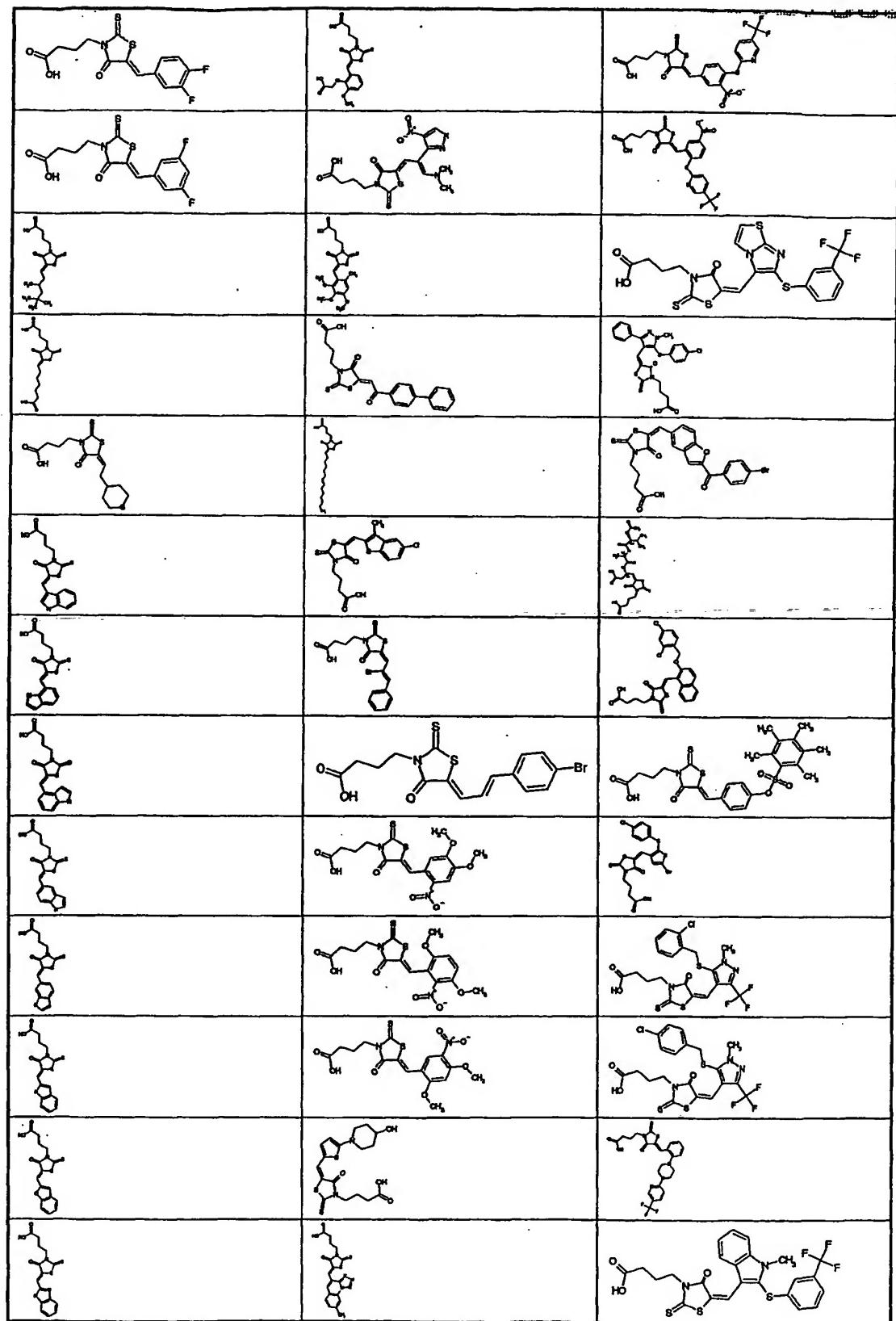


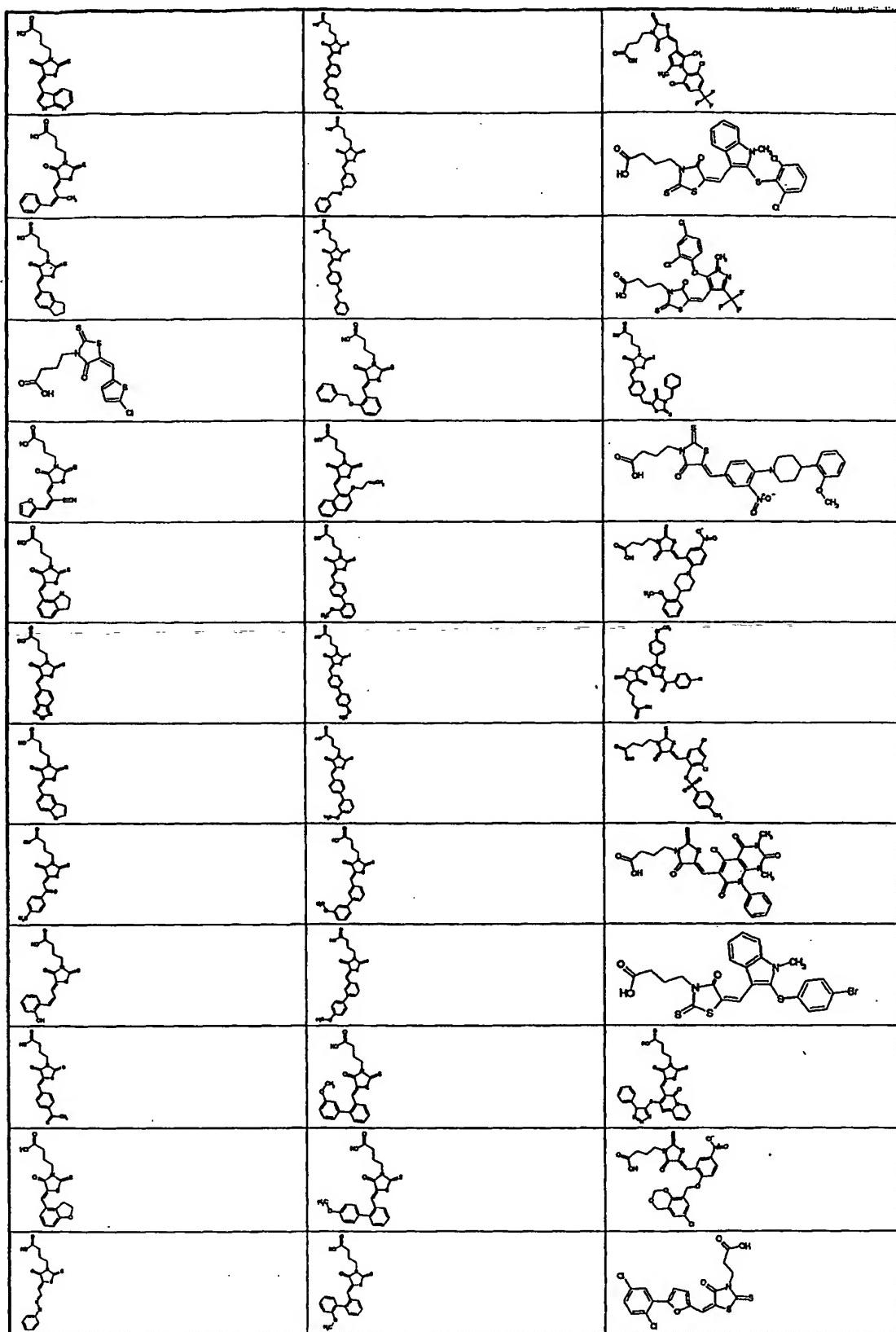


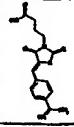
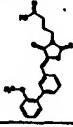
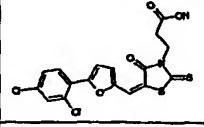
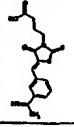
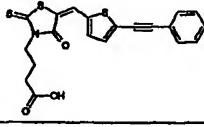
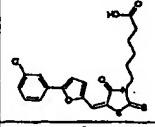
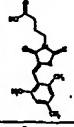
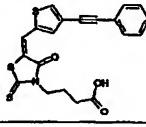
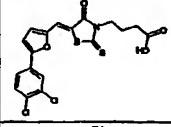
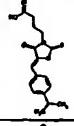
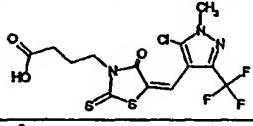
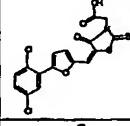
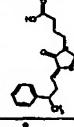
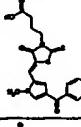
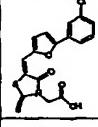
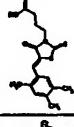
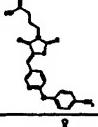
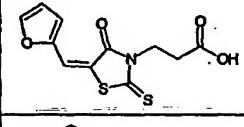
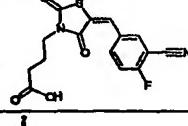
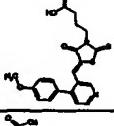
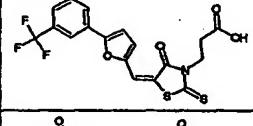
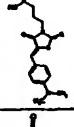
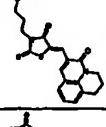
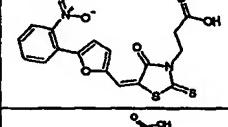
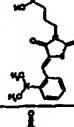
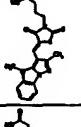
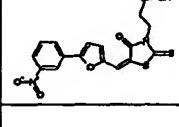
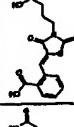
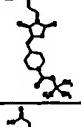
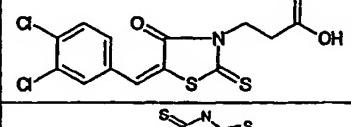
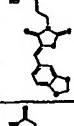
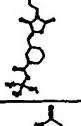
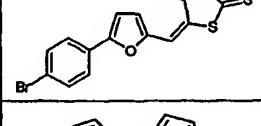
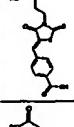
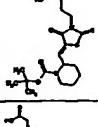
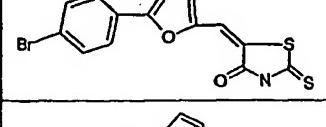
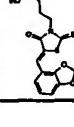
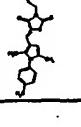
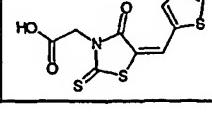


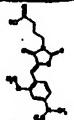
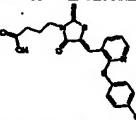
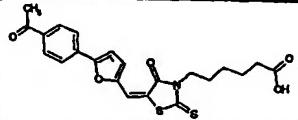
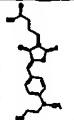
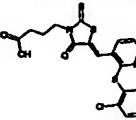
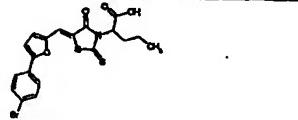
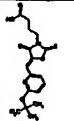
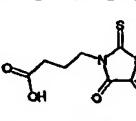
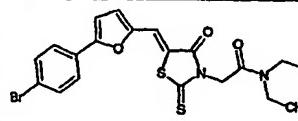
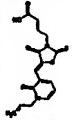
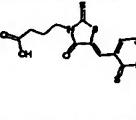
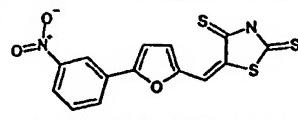
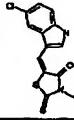
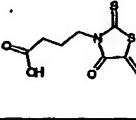
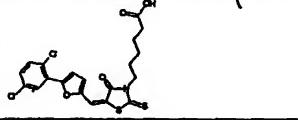
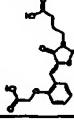
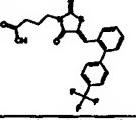
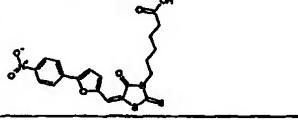
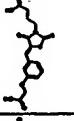
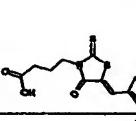
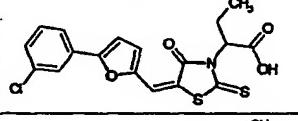
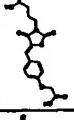
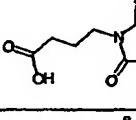
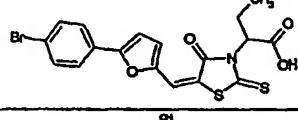
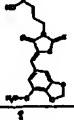
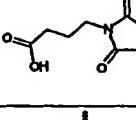
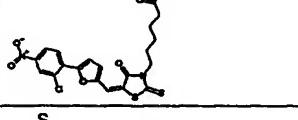
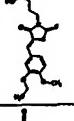
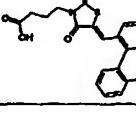
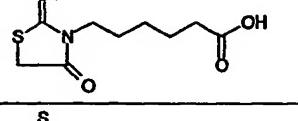
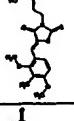
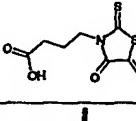
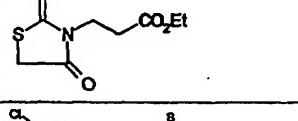
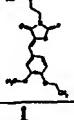
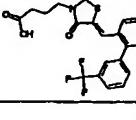
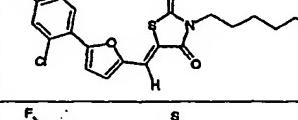
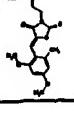
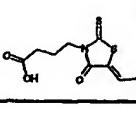
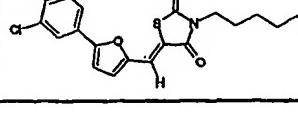


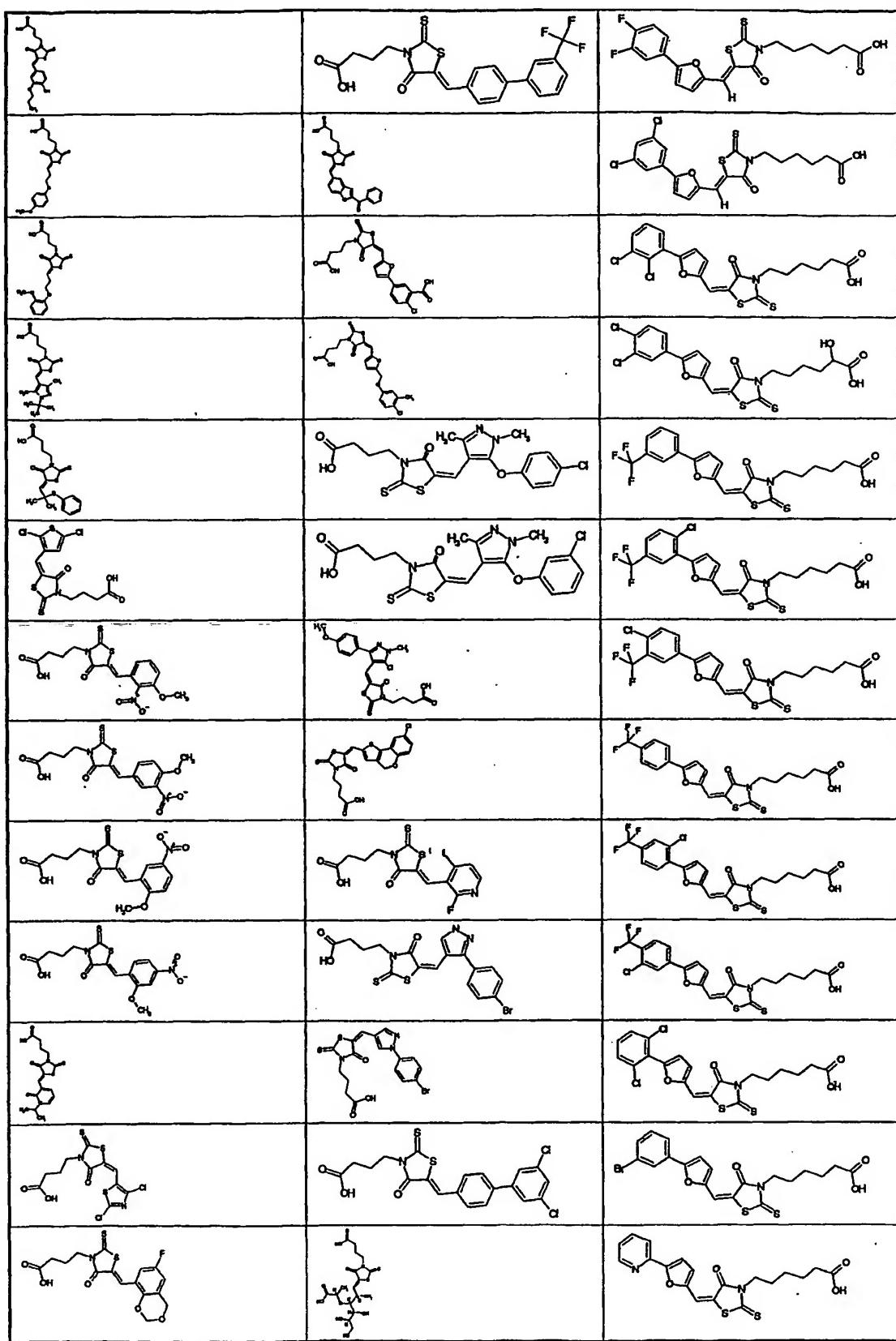


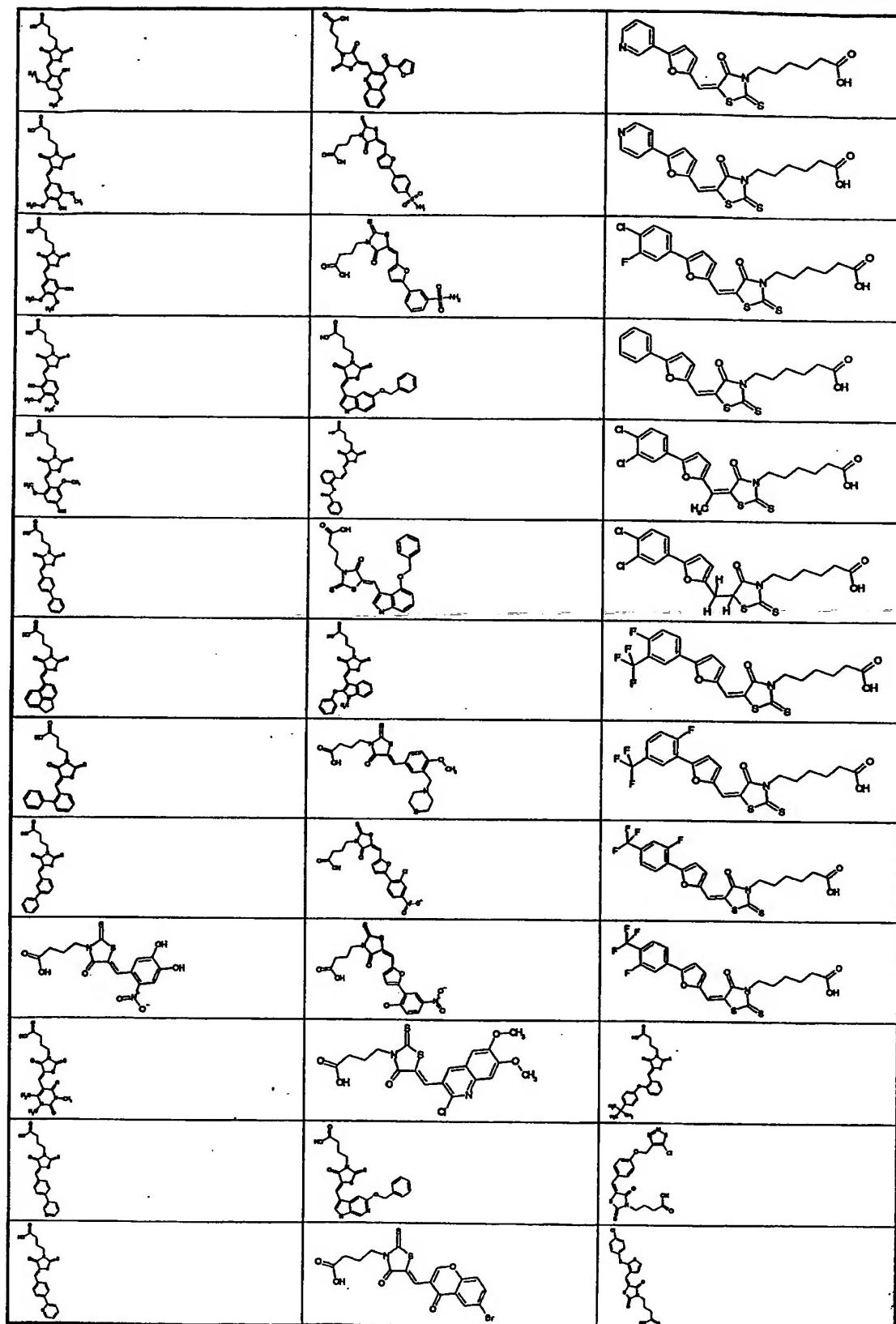


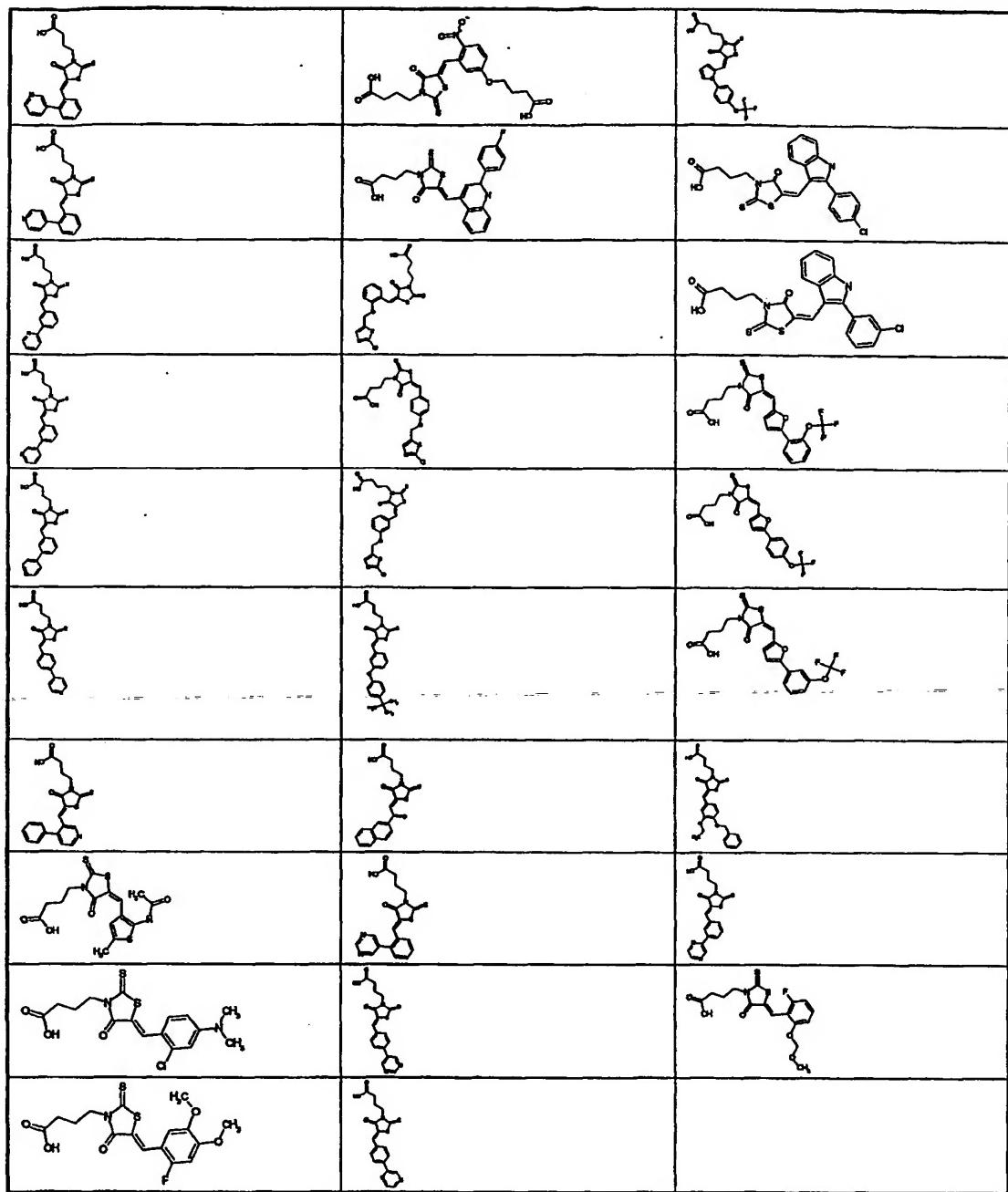








In a particular embodiment of the invention, the Pin1 modulating compound of formula (I) is any one of the compounds of Table 1, Table 2, Table 3, Table 4, Table 5, Table 6, or derivatives thereof.

5 In another embodiment, the invention pertains to the Pin1-modulating compounds of formula (I), formula (II), or formula (III) described herein. Particular embodiments of the invention pertain to the modulating compounds of Table 1, Table 2, Table 3, Table 4, Table 5, Table 6, or derivatives thereof.

10 In yet another embodiment, the invention pertains to pharmaceutical compositions comprising the Pin1-modulating compounds described herein and a pharmaceutical acceptable carrier.

The another embodiment, the invention is intended to include any novel compound described herein.

15 Additionally, the compounds described above are intended to include analogs containing art-recognized substituents that do not significantly effect the analog's ability to perform its intended function

20 In an additional embodiment, the invention pertains, at least in part, to a method for treating cyclin D1 overexpression in a subject. This method includes administering to the subject an effective amount of a Pin1-modulating compound of formula (I), formula (II), or formula (III), as described above, such that the cyclin D1 overexpression is treated. In certain embodiments, the overexpression of cyclin D1 is associated with the presence of breast cancer in the subject.

25 "Increased cyclin D1 expression" or "cyclin D1 overexpression" or "elevation in the expression of cyclin D1" includes cells having higher than normal levels of cyclin D1. Significant cyclin D1 overexpression includes both small and large increases in the levels of cyclin D1 compared with normal levels. Preferably, cyclin D1 overexpression is considered in the context of the phase of the cell cycle. In actively proliferating normal cells, cyclin D1 reaches a peak in mid G<sub>1</sub> phase, decreases during S-phase, and remains low throughout the rest of the cycle, however, in transformed cells the level of cyclin D1 is more variable. Therefore, cyclin D1 overexpression includes  
30 the expression of cyclin D1 at levels that are abnormally high for the particular cell cycle phase of the cell. Cyclin D1 overexpression can manifest itself as tumor growth or cancer. One skilled in the art would recognize the comparative studies that have been done measuring the level of cyclin D1 expression in normal cells in comparison with cells having a cancerous state.

35 Increased cyclin D1 expression has been found in a vast range of primary human tumors. Increased cyclin D1 expression has been detected in the form of gene amplification, increased cyclin D1 RNA expression, and increased cyclin D1 protein expression. Most clinical studies comparing cyclin D1 gene amplification with

expression of cyclin D1 have found that more cases show over-expression of both RNA and protein than show amplification of the gene. The presence of increased cyclin D1 RNA and/or protein expression without gene amplification suggests that other cellular genes such as pRb may affect the expression cyclin D1. Human tumors found to have increased cyclin D1 expression include: parathyroid adenomas, mantle cell lymphomas, breast cancers, head and neck squamous cell carcinomas (*i.e.* squamous carcinomas in the oral cavity, nasopharynx, pharynx, hypopharynx, and larynx), esophageal cancers, hepatocellular carcinomas, colorectal cancers, genitourinary cancers, lung cancers (*i.e.* squamous cell carcinomas of the lung), skins cancers (*i.e.* squamous cell carcinomas, melanomas, and malignant fibrous histiocytomas), sarcomas, and central nervous system malignancies (*i.e.* astrocytomas and glioblastomas), gastric adenocarcinomas, pancreatic adenocarcinomas, squamous carcinomas of the gall bladder (Donnellan, *et al.* 1998. *J. Clin. Pathol: Mol. Pathol.* 51:1-7). The cyclin D1 gene is amplified in approximately 20% of mammary carcinomas and the protein is overexpressed in approximately 50% of mammary carcinomas (Barnes, *et al.* 1998. *Breast Cancer Research and Treatment.* 52:1-15). Cyclin D1 overexpression in mantle cell lymphoma is discussed in Espinet, *et al.* 1999. *Cancer Genet Cytogenet.* 111(1):92-8 and Stamatopoulous, *et al.* 1999. *Br. J. Haematol.* 105(1):190-7. Cyclin D1 overexpression in breast cancer is discussed in Fredersdorf, *et al.* 1997. *PNAS* 94(12):6380-5. Cyclin D1 overexpression in head and neck cancers is discussed in Matthias, *et al.* 1999. *Cancer Epidemiol. Biomarkers Prev.* 8(9):815-23; Matthias, *et al.* 1998. *Clin. Cancer Res.* 4(10):2411-8; and Kyomoto, *et al.* 1997. *Int. J. Cancer.* 74(6):576-81. Cyclin D1 overexpression in laryngeal carcinoma is discussed in Bellacosa, *et al.* 1996. *Clin. Cancer Res.* 2(1):175-80. Cyclin D1 overexpression in multiple myeloma is discussed in Hoechtlen-Vollmar, *et al.* 2000. *Br. J. Haematol.* 109(1):30-8; Pruneri, *et al.* 2000. *Am. J. Pathol.* 156(5):1505-13; and Janssen, *et al.* 2000. *Blood* 95(8):2691-8. It is believed that in many tumors, cyclin D1 acts in co-operation with other oncogenes or tumor suppressor genes.

Cyclin D1 expression is regulated by many factors. Growth factors (*i.e.* CSF1, platelet-derived growth factor, insulin-like growth factor, steroid hormones, prolactin, and serum stimulation) promote the synthesis of cyclin D1 and removal of growth factors lead to a drop in cyclin D1 levels and arrest the cell in G<sub>1</sub>. Hosokawa, *et al.* 1996. *J. Lab. Clin. Med.* 127:246-52. While hypophosphorylated pRb stimulates cyclin D1 transcription, cyclin D1 activity is inhibited by transforming growth factor  $\beta$ -1, p53, and cyclin dependent kinase inhibitors (CKIs). High levels of CKIs bind to cdks and reduce the ability of cyclins to activate the cdks. The two classes of CKIs are the Kip/Cip family including p21, p27, and p57, capable of binding to and inhibiting most cyclin-cdk complexes, and the INK4 family including p15, p16, 18, and p19, which seem to be specific inhibitors of cyclin D1-cdk complexes. Donnellan, *et al.* 1998. *J.*

*Clin. Pathol: Mol. Pathol.* 51:1-7. In addition, CKI p16 is activated by pRb and E2F, while the levels of CKI p27 are increased by TGF- $\beta$ , cAMP, contact inhibition, and serum deprivation. Barnes, et al. 1998. *Breast Cancer Research and Treatment*. 52:1-15.

5 Cyclin D1 is believed to act through the phosphorylation of pRB, which is hypophosphorylated throughout the G<sub>1</sub> phase, phosphorylated just before the S phase, and remains phosphorylated until late mitosis. Hypophosphorylated pRB arrests cells in G<sub>1</sub> by forming a complex with the E2F family of DNA binding proteins that transcribe genes associated with DNA replication (the S phase of the cell cycle).

10 Cyclin D1 can form a complex with either cdk4 or cdk6 to form activated cdk4 or cdk6. Activated cdk4 or cdk6 induces the phosphorylation of pRb changing pRb from its hypophosphorylated form in which it binds to and inactivates E2F transcription factors to phosphorylated pRb that no longer binds to nor inactivates E2F transcription factors. In some mouse lymphoma cells overexpressing D cyclins, pRb is 15 hyperphosphorylated compared with pRb in cells not overexpressing D cyclins. It appears that cyclin D1 is required to initiate the phosphorylation of pRb that, in turn, drives the cell through the restriction point at which stage the cell is committed to divide.

20 “Neoplasia” or “neoplastic transformation” is the pathologic process that results in the formation and growth of a neoplasm, tissue mass, or tumor. Such process includes uncontrolled cell growth, including either benign or malignant tumors. Neoplasms include abnormal masses of tissue, the growth of which exceeds and is uncoordinated with that of the normal tissues and persists in the same excessive manner after cessation of the stimuli that evoked the change. Neoplasms may show a partial or 25 complete lack of structural organization and functional coordination with the normal tissue, and usually form a distinct mass of tissue. One cause of neoplasia is dysregulation of the cell cycle machinery.

30 Neoplasms tend to grow and function somewhat independently of the homeostatic mechanisms that control normal tissue growth and function. However, some neoplasms remain under the control of the homeostatic mechanisms that control normal tissue growth and function. For example, some neoplasms are estrogen sensitive and can be arrested by anti-estrogen therapy. Neoplasms can range in size from less than 1 cm to over 6 inches in diameter. A neoplasm even 1 cm in diameter can cause biliary obstructions and jaundice, if it arises in and obstructs the ampulla of Vater.

35 Neoplasms tend to morphologically and functionally resemble the tissue from which they originated. For example, neoplasms arising within the islet tissue of the pancreas resemble the islet tissue, contain secretory granules, and secrete insulin. Clinical features of a neoplasm may result from the function of the tissue from which it

originated. For example, excessive amounts of insulin can be produced by islet cell neoplasms resulting in hypoglycemia which, in turn, results in headaches and dizziness. However, some neoplasms show little morphological or functional resemblance to the tissue from which they originated. Some neoplasms result in such non-specific systemic effects as cachexia, increased susceptibility to infection, and fever.

By assessing the histology and other features of a neoplasm, it can be determined whether the neoplasm is benign or malignant. Invasion and metastasis (the spread of the neoplasm to distant sites) are definitive attributes of malignancy. Despite the fact that benign neoplasms may attain enormous size, they remain discrete and distinct from the adjacent non-neoplastic tissue. Benign tumors are generally well circumscribed and round, have a capsule, and have a grey or white color, and a uniform texture. In contrast, malignant tumors generally have fingerlike projections, irregular margins, are not circumscribed, and have a variable color and texture. Benign tumors grow by pushing on adjacent tissue as they grow. As the benign tumor enlarges it compresses adjacent tissue, sometimes causing atrophy. The junction between a benign tumor and surrounding tissue may be converted to a fibrous connective tissue capsule allowing for easy surgical removal of the benign tumor.

Conversely, malignant tumors are locally invasive and grow into the adjacent tissues usually giving rise to irregular margins that are not encapsulated making it necessary to remove a wide margin of normal tissue for the surgical removal of malignant tumors. Benign neoplasms tend to grow more slowly and tend to be less autonomous than malignant tumors. Benign neoplasms tend to closely histologically resemble the tissue from which they originated. More highly differentiated cancers, i.e., cancers that resemble the tissue from which they originated, tend to have a better prognosis than poorly differentiated cancers, while malignant tumors are more likely than benign tumors to have an aberrant function, e.g., the secretion of abnormal or excessive quantities of hormones.

The histological features of cancer are summarized by the term "anaplasia." Malignant neoplasms often contain numerous mitotic cells. These cells are typically abnormal. Such mitotic aberrations account for some of the karyotypic abnormalities found in most cancers. Bizarre multinucleated cells are also seen in some cancers, especially those that are highly anaplastic.

The term "anaplasia" includes histological features of cancer. These features include derangement of the normal tissue architecture, the crowding of cells, lack of cellular orientation termed dyspolarity, and cellular heterogeneity in size and shape termed "pleomorphism." The cytologic features of anaplasia include an increased nuclear-cytoplasmic ratio (nuclear-cytoplasmic ratio can be over 50% for malignant cells), nuclear pleomorphism, clumping of the nuclear chromatin along the nuclear

membrane, increased staining of the nuclear chromatin, simplified endoplasmic reticulum, increased free ribosomes, pleomorphism of mitochondria, decreased size and number of organelles, enlarged and increased numbers of nucleoli, and sometimes the presence of intermediate filaments.

5        The term "dysplasia" includes pre-malignant states in which a tissue demonstrates histologic and cytologic features intermediate between normal and anaplastic. Dysplasia is often reversible.

10      The term "cancer" includes malignancies characterized by deregulated or uncontrolled cell growth, for instance carcinomas, sarcomas, leukemias, and lymphomas. The term "cancer" includes primary malignant tumors, *e.g.*, those whose cells have not migrated to sites in the subject's body other than the site of the original tumor, and secondary malignant tumors, *e.g.*, those arising from metastasis, the migration of tumor cells to secondary sites that are different from the site of the original tumor.

15      The term "carcinoma" includes malignancies of epithelial or endocrine tissues, including respiratory system carcinomas, gastrointestinal system carcinomas, genitourinary system carcinomas, testicular carcinomas, breast carcinomas, prostate carcinomas, endocrine system carcinomas, melanomas, choriocarcinoma, and carcinomas of the cervix, lung, head and neck, colon, and ovary. The term "carcinoma" 20 also includes carcinosarcomas, which include malignant tumors composed of carcinomatous and sarcomatous tissues. The term "adenocarcinoma" includes carcinomas derived from glandular tissue or a tumor in which the tumor cells form recognizable glandular structures.

25      The term "sarcoma" includes malignant tumors of mesodermal connective tissue, *e.g.*, tumors of bone, fat, and cartilage.

30      The terms "leukemia" and "lymphoma" include malignancies of the hematopoietic cells of the bone marrow. Leukemias tend to proliferate as single cells, whereas lymphomas tend to proliferate as solid tumor masses. Examples of leukemias include acute myeloid leukemia (AML), acute promyelocytic leukemia, chronic myelogenous leukemia, mixed-lineage leukemia, acute monoblastic leukemia, acute lymphoblastic leukemia, acute non-lymphoblastic leukemia, blastic mantle cell leukemia, myelodysplastic syndrome, T cell leukemia, B cell leukemia, and chronic lymphocytic leukemia. Examples of lymphomas include Hodgkin's disease, non-Hodgkin's lymphoma, B cell lymphoma, epitheliotropic lymphoma, composite lymphoma, anaplastic large cell lymphoma, gastric and non-gastric mucosa-associated lymphoid tissue lymphoma, lymphoproliferative disease, T cell lymphoma, Burkitt's lymphoma, mantle cell lymphoma, diffuse large cell lymphoma, lymphoplasmacytoid lymphoma, and multiple myeloma.

For example, the therapeutic methods of the present invention can be applied to cancerous cells of mesenchymal origin, such as those producing sarcomas (e.g., fibrosarcoma, myxosarcoma, liosarcoma, chondrosarcoma, osteogenic sarcoma or chordosarcoma, angiosarcoma, endotheliomasarcoma, lymphangiosarcoma, 5 synoviosarcoma or mesotheliomasarcoma); leukemias and lymphomas such as granulocytic leukemia, monocytic leukemia, lymphocytic leukemia, malignant lymphoma, plasmacytoma, reticulum cell sarcoma, or Hodgkin's disease; sarcomas such as leiomyosarcoma or rhabdomyosarcoma, tumors of epithelial origin such as squamous cell carcinoma, basal cell carcinoma, sweat gland carcinoma, sebaceous gland carcinoma, adenocarcinoma, papillary carcinoma, papillary adenocarcinoma, 10 cystadenocarcinoma, medullary carcinoma, undifferentiated carcinoma, bronchogenic carcinoma, melanoma, renal cell carcinoma, hepatoma-liver cell carcinoma, bile duct carcinoma, cholangiocarcinoma, papillary carcinoma, transitional cell carcinoma, chorioaencinoma, semonoma, or embryonal carcinoma; and tumors of the nervous system including gioma, meningioma, medulloblastoma, schwannoma or epidymoma. 15 Additional cell types amenable to treatment according to the methods described herein include those giving rise to mammary carcinomas, gastrointestinal carcinoma, such as colonic carcinomas, bladder carcinoma, prostate carcinoma, and squamous cell carcinoma of the neck and head region. Examples of cancers amenable to treatment according to the methods described herein include vaginal, cervical, and breast cancers. 20

The language "inhibiting undesirable cell growth" is intended to include the inhibition of undesirable or inappropriate cell growth. The inhibition is intended to include inhibition of proliferation including rapid proliferation. For example, the cell growth can result in benign masses or the inhibition of cell growth resulting in malignant tumors. Examples of benign conditions which result from inappropriate cell growth or 25 angiogenesis are diabetic retinopathy, retroental fibrioplasia, neovascular glaucoma, psoriasis, angiofibromas, rheumatoid arthritis, hemangiomas, Kaposi's sarcoma, and other conditions or dysfunctions characterized by dysregulated endothelial cell division.

The language "inhibiting tumor growth" or "inhibiting neoplasia" 30 includes the prevention of the growth of a tumor in a subject or a reduction in the growth of a pre-existing tumor in a subject. The inhibition also can be the inhibition of the metastasis of a tumor from one site to another. In particular, the language "tumor" is intended to encompass both *in vitro* and *in vivo* tumors that form in any organ or body part of the subject. The tumors preferably are tumors sensitive to the Pin1-modulating 35 compounds of the present invention. Examples of the types of tumors intended to be encompassed by the present invention include those tumors associated with breast cancer, skin cancer, bone cancer, prostate cancer, liver cancer, lung cancer, brain cancer, cancer of the larynx, gallbladder, esophagus, pancreas, rectum, parathyroid, thyroid,

adrenal, neural tissue, head and neck, colon, stomach, bronchi, kidneys. Specifically, the tumors whose growth rate is inhibited by the present invention include basal cell carcinoma, squamous cell carcinoma of both ulcerating and papillary type, metastatic skin carcinoma, osteo sarcoma, Ewing's sarcoma, veticulum cell sarcoma, myeloma, 5 giant cell tumor, small-cell lung tumor, gallstones, islet cell tumor, primary brain tumor, acute and chronic lymphocytic and granulocytic tumors, hairy-cell tumor, adenoma, hyperplasia, medullary carcinoma, pheochromocytoma, mucosal neuromas, intestinal ganglioneuromas, hyperplastic corneal nerve tumor, marfanoid habitus tumor, Wilm's tumor, seminoma, ovarian tumor, leiomyomater tumor, cervical dysplasia and in situ 10 carcinoma, neuroblastoma, retinoblastoma, soft tissue sarcoma, malignant carcinoid, topical skin lesion, mycosis fungoide, rhabdomyosarcoma, Kaposi's sarcoma, osteogenic and other sarcoma, malignant hypercalcemia, renal cell tumor, polycythermia vera, adenocarcinoma, glioblastoma multiforma, leukemias, lymphomas (*i.e.* maglinant lymphomas, mantle cell lymphoma), malignant melanomas, multiple myeloma, 15 epidermoid carcinomas, and other carcinomas and sarcomas.

The Pin1 modulating compounds of the present invention may be used to treat, inhibit, and/or prevent undesirable cell growth, neoplasia, and/or cancer in any subject. The Pin1 modulating compounds of the present invention may be used to inhibit Pin1 activity in a subject. In one embodiment, the Pin1 modulating compounds 20 of the present invention may be used to inhibit cyclin D1 expression in a subject.

In one embodiment, the invention pertains, at least in part, to a method for treating a Pin1-associated state in a subject. The method includes administering to a subject an effective amount of a combination of a Pin1 modulating compound of the invention, *e.g.*, Pin1-modulating compounds of formula (I), formula (II), or formula 25 (III), as described above, and a hyperplastic inhibitory agent to treat the Pin1 associated states.

In another embodiment, the invention pertains, at least in part, to a method for treating cyclin D1 overexpression in a subject. The method includes administering to a subject an effective amount of a combination of a Pin1 modulating compound of the invention, *e.g.*, Pin1-modulating compounds of formula (I), formula (II), or formula 30 (III), as described above, and a hyperplastic inhibitory agent to treat the cyclin D1 overexpression.

In yet another embodiment, the invention pertains, at least in part, to a method for treating cancer in a subject. The method includes administering to a subject an effective amount of a combination of a Pin1 modulating compound of the invention, *e.g.*, Pin1-modulating compounds of formula (I), formula (II), or formula (III), as 35 described above, and a hyperplastic inhibitory agent to treat the cancer.

The language "hyperplastic inhibitory agent" includes agents that inhibit the growth of proliferating cells or tissue wherein the growth of such cells or tissues is undesirable. For example, the inhibition can be of the growth of malignant cells, such as in neoplasms or benign cells, e.g., in tissues where the growth is inappropriate.

- 5 Examples of the types of agents that can be used include chemotherapeutic agents, radiation therapy treatments, including therapeutically effective ranges of light, e.g., laser light and/or immunofluorescent compounds, and associated radioactive compounds and methods, immunotoxins, and combinations thereof.

The language "chemotherapeutic agent" includes chemical reagents that inhibit the growth of proliferating cells or tissues wherein the growth of such cells or tissues is undesirable. Chemotherapeutic agents are well known in the art (see e.g., Gilman A.G., et al., *The Pharmacological Basis of Therapeutics*, 8th Ed., Sec 12:1202-1263 (1990)), and are typically used to treat neoplastic diseases. The chemotherapeutic agents generally employed in chemotherapy treatments are listed below in Table 7.

10 15 Other similar examples of chemotherapeutic agents include: bleomycin, docetaxel (Taxotere), doxorubicin, edatrexate, etoposide, finasteride (Proscar), flutamide (Eulexin), gemcitabine (Gemzar), goserelin acetate (Zoladex), granisetron (Kytril), irinotecan (Campto/Camptosar), ondansetron (Zofran), paclitaxel (Taxol), pegaspargase (Oncaspar), pilocarpine hydrochloride (Salagen), porfimer sodium (Photofrin),  
20 interleukin-2 (Proleukin), rituximab (Rituxan), topotecan (Hycamtin), trastuzumab (Herceptin), tretinoin (Retin-A), Triapine, vincristine, and vinorelbine tartrate (Navelbine).

TABLE 7

CLASS	TYPE OF AGENT	NONPROPRIETARY NAMES (OTHER NAMES)
Alkylating	Nitrogen Mustards	Mechlorethamine (HN <sub>2</sub> ) Cyclophosphamide Ifosfamide Melphalan (L-sarcolysin) Chlorambucil
	Ethylenimines And Methylmelamines	Hexamethylmelamine Thiotepa
	Alkyl Sulfonates	Busulfan
	Nitrosoureas	Carmustine (BCNU) Lomustine (CCNU) Semustine (methyl-CCNU) Streptozocin (streptozotocin)
	Triazenes	Decarbazine (DTIC; dimethyltriazenoimidazolecarboxamide)
	Alkylator	cis-diamminedichloroplatinum II (CDDP)
Antimetabolites	Folic Acid Analogs	Methotrexate (amethopterin)
	Pyrimidine Analogs	Fluorouracil ('5-fluorouracil; 5-FU); Floxuridine (fluorodeoxyuridine); Fudr Cytarabine (cytosine arabinoside)
	Purine Analogs and Related Inhibitors	Mercaptopurine (6-mercaptopurine; 6-MP) Thioguanine (6-thioguanine; TG) Pentostatin (2' - deoxycoformycin)

CLASS	TYPE OF AGENT	NONPROPRIETARY NAMES (OTHER NAMES)
Natural Products	Vinca Alkaloids	Vinblastin (VLB) Vincristine
	Topoisomerase Inhibitors	Etoposide Teniposide Camptothecin
		Topotecan 9-amino-camptothecin CPT-11
	Antibiotics	Dactinomycin (actinomycin D) Adriamycin Daunorubicin (daunomycin; rubindomycin) Doxorubicin Bleomycin Plicamycin (mithramycin) Mitomycin (mitomycin C) Taxol Taxotere
		L-Asparaginase
		Interferon alfa Interleukin 2
Miscellaneous Agents	Platinum Coordination Complexes	cis-diamminedichloroplatinum II (CDDP) Carboplatin
	Anthracendione	Mitoxantrone
	Substituted Urea	Hydroxyurea
	Methyl Hydrazine Derivative	Procarbazine (N-methylhydrazine, (MH))
	Adrenocortical Suppressant	Mitotane ( <i>o,p'</i> -DDD) Aminoglutethimide

CLASS	TYPE OF AGENT	NONPROPRIETARY NAMES (OTHER NAMES)
Hormones and Antagonists	Adrenocorticosteroids	Prednisone
	Progesterins	Hydroxyprogesterone caproate Medroxyprogesterone acetate Megestrol acetate
	Estrogens	Diethylstilbestrol Ethinyl estradiol
	Antiestrogen	Tamoxifen
	Androgens	Testosterone propionate Fluoxymesterone
	Antiandrogen	Flutamide
	Gonadotropin-releasing Hormone analog	Leuprorelin

- The language "radiation therapy" includes the application of a genetically and somatically safe level of electrons, protons, or photons, both localized and non-localized, to a subject to inhibit, reduce, or prevent symptoms or conditions associated with undesirable cell growth. The term X-rays is also intended to include machine-generated radiation, clinically acceptable radioactive elements, and isotopes thereof, as well as the radioactive emissions therefrom. Examples of the types of emissions include alpha rays, beta rays including hard betas, high-energy electrons, and gamma rays.
- Radiation therapy is well known in the art (see e.g., Fishbach, F., *Laboratory Diagnostic Tests*, 3rd Ed., Ch. 10: 581-644 (1988)), and is typically used to treat neoplastic diseases.
- The term "immunotoxins" includes immunotherapeutic agents that employ cytotoxic T cells and/or antibodies, e.g., monoclonal, polyclonal, phage antibodies, or fragments thereof, which are utilized in the selective destruction of undesirable rapidly proliferating cells. For example, immunotoxins can include antibody-toxin conjugates (e.g., Ab-ricin and Ab-diphtheria toxin), antibody-radiolabels (e.g., Ab-I<sup>135</sup>) and antibody activation of the complement at the tumor cell. The use of immunotoxins to inhibit, reduce, or prevent symptoms or conditions associated with neoplastic diseases are well known in the art (see, e.g., Harlow, E. and Lane, D., *Antibodies*, (1988)).

In one embodiment, the invention includes a packaged Pin1-associated state treatment. The packaged treatment includes a Pin1 modulating compound of the invention, e.g., Pin1-modulating compounds of formula (I), formula (II), or formula (III), as described above, packaged with instructions for using an effective amount of the

Pin1 modulating compound.

In another embodiment, the invention includes a packaged cyclin D1 overexpression treatment. This packaged treatment include a Pin1 modulating compound of the invention, e.g., Pin1-modulating compounds of formula (I), formula 5 (II), or formula (III), as described above, packaged with instructions for using an effective amount of the Pin1 modulating compound to treat cyclin D1 overexpression.

In yet another embodiment, the invention also pertains, at least in part to a packaged cancer treatment, which includes a Pin1-modulating compound of the invention, e.g., Pin1-modulating compounds of formula (I), formula (II), or formula 10 (III), as described above, packaged with instructions for using an effective amount of the Pin1-modulating compound to treat cancer.

The invention also pertains, at least in part, to pharmaceutical compositions of comprising Pin1-modulating compounds of the invention, e.g., Pin1-modulating compounds of formula (I), formula (II), or formula (III), as described above, 15 and, optionally, a pharmaceutically acceptable carrier.

The language "effective amount" of the compound is that amount necessary or sufficient to treat or prevent a Pin1 associated state, e.g. prevent the various morphological and somatic symptoms of a Pin1 associated state. In an example, an effective amount of the Pin1-modulating compound is the amount sufficient to inhibit 20 undesirable cell growth in a subject. In another example, an effective amount of the Pin1-modulating compound is the amount sufficient to reduce the size of a pre-existing benign cell mass or malignant tumor in a subject. The effective amount can vary depending on such factors as the size and weight of the subject, the type of illness, or the particular Pin1 binding compound. For example, the choice of the Pin1 binding 25 compound can affect what constitutes an "effective amount". One of ordinary skill in the art would be able to study the factors contained herein and make the determination regarding the effective amount of the Pin1 binding compound without undue experimentation. In one possible assay, an effective amount of a Pin1-modulating compound can be determined by assaying for the expression of cyclin D1 and 30 determining the amount of the Pin1-modulating compound sufficient to reduce the levels of cyclin D1 to that associated with a non-cancerous state.

The regimen of administration can affect what constitutes an effective amount. The Pin1 binding compound can be administered to the subject either prior to or after the onset of a Pin1 associated state. Further, several divided dosages, as well as 35 staggered dosages, can be administered daily or sequentially, or the dose can be continuously infused, or can be a bolus injection. Further, the dosages of the Pin1 binding compound(s) can be proportionally increased or decreased as indicated by the exigencies of the therapeutic or prophylactic situation.

The language "pharmaceutical composition" includes preparations suitable for administration to mammals, e.g., humans. When the compounds of the present invention are administered as pharmaceuticals to mammals, e.g., humans, they can be given per se or as a pharmaceutical composition containing, for example, 0.1 to 5 99.5% (more preferably, 0.5 to 90%) of active ingredient in combination with a pharmaceutically acceptable carrier.

The phrase "pharmaceutically acceptable carrier" is art recognized and includes a pharmaceutically acceptable material, composition or vehicle, suitable for administering compounds of the present invention to mammals. The carriers include 10 liquid or solid filler, diluent, excipient, solvent or encapsulating material, involved in carrying or transporting the subject agent from one organ, or portion of the body, to another organ, or portion of the body. Each carrier must be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not injurious to the patient. Some examples of materials which can serve as pharmaceutically acceptable 15 carriers include: sugars, such as lactose, glucose and sucrose; starches, such as corn starch and potato starch; cellulose, and its derivatives, such as sodium carboxymethyl cellulose, ethyl cellulose and cellulose acetate; powdered tragacanth; malt; gelatin; talc; excipients, such as cocoa butter and suppository waxes; oils, such as peanut oil, cottonseed oil, safflower oil, sesame oil, olive oil, corn oil and soybean oil; glycols, such 20 as propylene glycol; polyols, such as glycerin, sorbitol, mannitol and polyethylene glycol; esters, such as ethyl oleate and ethyl laurate; agar; buffering agents, such as magnesium hydroxide and aluminum hydroxide; alginic acid; pyrogen-free water; isotonic saline; Ringer's solution; ethyl alcohol; phosphate buffer solutions; and other non-toxic compatible substances employed in pharmaceutical formulations.

25 Wetting agents, emulsifiers and lubricants, such as sodium lauryl sulfate and magnesium stearate, as well as coloring agents, release agents, coating agents, sweetening, flavoring and perfuming agents, preservatives and antioxidants can also be present in the compositions.

Examples of pharmaceutically acceptable antioxidants include: water 30 soluble antioxidants, such as ascorbic acid, cysteine hydrochloride, sodium bisulfite, sodium metabisulfite, sodium sulfite and the like; oil-soluble antioxidants, such as ascorbyl palmitate, butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT), lecithin, propyl gallate,  $\alpha$ -tocopherol, and the like; and metal chelating agents, such as citric acid, ethylenediamine tetraacetic acid (EDTA), sorbitol, tartaric acid, phosphoric 35 acid, and the like.

Formulations of the present invention include those suitable for oral, nasal, topical, transdermal, buccal, sublingual, rectal, vaginal and/or parenteral administration. The formulations may conveniently be presented in unit dosage form and

may be prepared by any methods well known in the art of pharmacy. The amount of active ingredient that can be combined with a carrier material to produce a single dosage form will generally be that amount of the compound that produces a therapeutic effect. Generally, out of one hundred per cent, this amount will range from about 1 per cent to 5 about ninety-nine percent of active ingredient, preferably from about 5 per cent to about 70 per cent, most preferably from about 10 per cent to about 30 per cent.

Methods of preparing these formulations or compositions include the step of bringing into association a compound of the present invention with the carrier and, optionally, one or more accessory ingredients. In general, the formulations are prepared 10 by uniformly and intimately bringing into association a compound of the present invention with liquid carriers, or finely divided solid carriers, or both, and then, if necessary, shaping the product.

Formulations of the invention suitable for oral administration may be in the form of capsules, cachets, pills, tablets, lozenges (using a flavored basis, usually 15 sucrose and acacia or tragacanth), powders, granules, or as a solution or a suspension in an aqueous or non-aqueous liquid, or as an oil-in-water or water-in-oil liquid emulsion, or as an elixir or syrup, or as pastilles (using an inert base, such as gelatin and glycerin, or sucrose and acacia) and/or as mouth washes and the like, each containing a predetermined amount of a compound of the present invention as an active ingredient. A 20 compound of the present invention may also be administered as a bolus, electuary or paste.

In solid dosage forms of the invention for oral administration (capsules, tablets, pills, dragees, powders, granules and the like), the active ingredient is mixed with one or more pharmaceutically acceptable carriers, such as sodium citrate or 25 dicalcium phosphate, and/or any of the following: fillers or extenders, such as starches, lactose, sucrose, glucose, mannitol, and/or silicic acid; binders, such as, for example, carboxymethylcellulose, alginates, gelatin, polyvinyl pyrrolidone, sucrose and/or acacia; humectants, such as glycerol; disintegrating agents, such as agar-agar, calcium carbonate, potato or tapioca starch, alginic acid, certain silicates, and sodium carbonate; 30 solution retarding agents, such as paraffin; absorption accelerators, such as quaternary ammonium compounds; wetting agents, such as, for example, cetyl alcohol and glycerol monostearate; absorbents, such as kaolin and bentonite clay; lubricants, such as talc, calcium stearate, magnesium stearate, solid polyethylene glycols, sodium lauryl sulfate, and mixtures thereof; and coloring agents. In the case of capsules, tablets and pills, the 35 pharmaceutical compositions may also comprise buffering agents. Solid compositions of a similar type may also be employed as fillers in soft and hard-filled gelatin capsules using such excipients as lactose or milk sugars, as well as high molecular weight polyethylene glycols and the like.

A tablet may be made by compression or molding, optionally with one or more accessory ingredients. Compressed tablets may be prepared using binder (for example, gelatin or hydroxypropylmethyl cellulose), lubricant, inert diluent, preservative, disintegrant (for example, sodium starch glycolate or cross-linked sodium carboxymethyl cellulose), surface-active or dispersing agent. Molded tablets may be made by molding in a suitable machine a mixture of the powdered compound moistened with an inert liquid diluent.

The tablets, and other solid dosage forms of the pharmaceutical compositions of the present invention, such as dragees, capsules, pills and granules, may 10 optionally be scored or prepared with coatings and shells, such as enteric coatings and other coatings well known in the pharmaceutical-formulating art. They may also be formulated so as to provide slow or controlled release of the active ingredient therein using, for example, hydroxypropylmethyl cellulose in varying proportions to provide the desired release profile, other polymer matrices, liposomes and/or microspheres. They 15 may be sterilized by, for example, filtration through a bacteria-retaining filter, or by incorporating sterilizing agents in the form of sterile solid compositions that can be dissolved in sterile water, or some other sterile injectable medium immediately before use. These compositions may also optionally contain opacifying agents and may be of a composition that they release the active ingredient(s) only, or preferentially, in a certain 20 portion of the gastrointestinal tract, optionally, in a delayed manner. Examples of embedding compositions that can be used include polymeric substances and waxes. The active ingredient can also be in micro-encapsulated form, if appropriate, with one or more of the above-described excipients.

Liquid dosage forms for oral administration of the compounds of the 25 invention include pharmaceutically acceptable emulsions, microemulsions, solutions, suspensions, syrups and elixirs. In addition to the active ingredient, the liquid dosage forms may contain inert diluent commonly used in the art, such as, for example, water or other solvents, solubilizing agents and emulsifiers, such as ethyl alcohol, isopropyl alcohol, ethyl carbonate, ethyl acetate, benzyl alcohol, benzyl benzoate, propylene 30 glycol, 1,3-butylene glycol, oils (in particular, cottonseed, groundnut, corn, germ, olive, castor and sesame oils), glycerol, tetrahydrofuryl alcohol, polyethylene glycols and fatty acid esters of sorbitan, and mixtures thereof.

Besides inert dilutents, the oral compositions can also include adjuvants such as wetting agents, emulsifying and suspending agents, sweetening, flavoring, 35 coloring, perfuming and preservative agents.

Suspensions, in addition to the active compounds, may contain suspending agents as, for example, ethoxylated isostearyl alcohols, polyoxyethylene sorbitol and sorbitan esters, microcrystalline cellulose, aluminum metahydroxide,

bentonite, agar-agar and tragacanth, and mixtures thereof.

Formulations of the pharmaceutical compositions of the invention for rectal or vaginal administration may be presented as a suppository, which may be prepared by mixing one or more compounds of the invention with one or more suitable nonirritating excipients or carriers comprising, for example, cocoa butter, polyethylene glycol, a suppository wax or a salicylate, and which is solid at room temperature, but liquid at body temperature and, therefore, will melt in the rectum or vaginal cavity and release the active compound.

Formulations of the present invention which are suitable for vaginal administration also include pessaries, tampons, creams, gels, pastes, foams or spray formulations containing such carriers as are known in the art to be appropriate.

Dosage forms for the topical or transdermal administration of a compound of this invention include powders, sprays, ointments, pastes, creams, lotions, gels, solutions, patches and inhalants. The active compound may be mixed under sterile conditions with a pharmaceutically acceptable carrier, and with any preservatives, buffers, or propellants that may be required.

The ointments, pastes, creams and gels may contain, in addition to an active compound of this invention, excipients, such as animal and vegetable fats, oils, waxes, paraffins, starch, tragacanth, cellulose derivatives, polyethylene glycols, silicones, bentonites, silicic acid, talc and zinc oxide, or mixtures thereof.

Powders and sprays can contain, in addition to a compound of this invention, excipients such as lactose, talc, silicic acid, aluminum hydroxide, calcium silicates and polyamide powder, or mixtures of these substances. Sprays can additionally contain customary propellants, such as chlorofluorohydrocarbons and volatile unsubstituted hydrocarbons, such as butane and propane.

Transdermal patches have the added advantage of providing controlled delivery of a compound of the present invention to the body. Such dosage forms can be made by dissolving or dispersing the compound in the proper medium. Absorption enhancers can also be used to increase the flux of the compound across the skin. The rate of such flux can be controlled by either providing a rate controlling membrane or dispersing the active compound in a polymer matrix or gel.

Ophthalmic formulations, eye ointments, powders, solutions and the like, are also contemplated as being within the scope of this invention.

Pharmaceutical compositions of this invention suitable for parenteral administration comprise one or more compounds of the invention in combination with one or more pharmaceutically acceptable sterile isotonic aqueous or nonaqueous solutions, dispersions, suspensions or emulsions, or sterile powders which may be reconstituted into sterile injectable solutions or dispersions just prior to use, which may

contain antioxidants, buffers, bacteriostats, solutes which render the formulation isotonic with the blood of the intended recipient or suspending or thickening agents.

Examples of suitable aqueous and nonaqueous carriers that may be employed in the pharmaceutical compositions of the invention include water, ethanol, 5 polyols (such as glycerol, propylene glycol, polyethylene glycol, and the like), and suitable mixtures thereof, vegetable oils, such as olive oil, and injectable organic esters, such as ethyl oleate. Proper fluidity can be maintained, for example, by the use of coating materials, such as lecithin, by the maintenance of the required particle size in the case of dispersions, and by the use of surfactants.

10 These compositions may also contain adjuvants such as preservatives, wetting agents, emulsifying agents and dispersing agents. Prevention of the action of microorganisms may be ensured by the inclusion of various antibacterial and antifungal agents, for example, paraben, chlorobutanol, phenol sorbic acid, and the like. It may also be desirable to include isotonic agents, such as sugars, sodium chloride, and the like into 15 the compositions. In addition, prolonged absorption of the injectable pharmaceutical form may be brought about by the inclusion of agents that delay absorption such as aluminum monostearate and gelatin.

In some cases, in order to prolong the effect of a drug, it is desirable to slow the absorption of the drug from subcutaneous or intramuscular injection. This may 20 be accomplished by the use of a liquid suspension of crystalline or amorphous material having poor water solubility. The rate of absorption of the drug then depends upon its rate of dissolution which, in turn, may depend upon crystal size and crystalline form. Alternatively, delayed absorption of a parenterally-administered drug form is 25 accomplished by dissolving or suspending the drug in an oil vehicle.

Injectable depot forms are made by forming microencapsule matrices of the subject compounds in biodegradable polymers such as polylactide-polyglycolide. Depending on the ratio of drug to polymer, and the nature of the particular polymer employed, the rate of drug release can be controlled. Examples of other biodegradable 30 polymers include poly(orthoesters) and poly(anhydrides). Depot injectable formulations are also prepared by entrapping the drug in liposomes or microemulsions that are compatible with body tissue.

The preparations of the present invention may be given orally, parenterally, topically, or rectally. They are of course given by forms suitable for each administration route. For example, they are administered in tablets or capsule form, by 35 injection, inhalation, eye lotion, ointment, suppository, etc. administration by injection, infusion or inhalation; topical by lotion or ointment; and rectal by suppositories. Oral administration is preferred.

The phrases "parenteral administration" and "administered parenterally" as used herein means modes of administration other than enteral and topical administration, usually by injection, and includes, without limitation, intravenous, intramuscular, intraarterial, intrathecal, intracapsular, intraorbital, intracardiac, 5 intradermal, intraperitoneal, transtracheal, subcutaneous, subcuticular, intraarticular, subcapsular, subarachnoid, intraspinal and intrasternal injection and infusion.

The phrases "systemic administration," "administered systemically," "peripheral administration" and "administered peripherally" as used herein mean the administration of a compound, drug or other material other than directly into the central 10 nervous system, such that it enters the patient's system and, thus, is subject to metabolism and other like processes, for example, subcutaneous administration.

These compounds may be administered to humans and other animals for therapy by any suitable route of administration, including orally, nasally, as by, for example, a spray, rectally, intravaginally, parenterally, intracisternally and topically, as 15 by powders, ointments or drops, including buccally and sublingually.

Regardless of the route of administration selected, the compounds of the present invention, which may be used in a suitable hydrated form, and/or the pharmaceutical compositions of the present invention, are formulated into 20 pharmaceutically acceptable dosage forms by conventional methods known to those of skill in the art.

Actual dosage levels of the active ingredients in the pharmaceutical compositions of this invention may be varied so as to obtain an amount of the active ingredient which is effective to achieve the desired therapeutic response for a particular patient, composition, and mode of administration, without being toxic to the patient.

The selected dosage level will depend upon a variety of factors including the activity of the particular compound of the present invention employed, or the ester, salt or amide thereof, the route of administration, the time of administration, the rate of excretion of the particular compound being employed, the duration of the treatment, other drugs, compounds and/or materials used in combination with the particular 30 compound employed, the age, sex, weight, condition, general health and prior medical history of the patient being treated, and like factors well known in the medical arts.

A physician or veterinarian having ordinary skill in the art can readily determine and prescribe the effective amount of the pharmaceutical composition required. For example, the physician or veterinarian could start doses of the compounds 35 of the invention employed in the pharmaceutical composition at levels lower than that required in order to achieve the desired therapeutic effect and gradually increase the dosage until the desired effect is achieved.

In general, a suitable daily dose of a compound of the invention will be that amount of the compound that is the lowest dose effective to produce a therapeutic effect. Such an effective dose will generally depend upon the factors described above. Generally, intravenous and subcutaneous doses of the compounds of this invention for a patient, when used for the indicated analgesic effects, will range from about 0.0001 to about 100 mg per kilogram of body weight per day, more preferably from about 0.01 to about 50 mg per kg per day, and still more preferably from about 1.0 to about 100 mg per kg per day. An effective amount is that amount treats an Pin1 associated state.

If desired, the effective daily dose of the active compound may be administered as two, three, four, five, six or more sub-doses administered separately at appropriate intervals throughout the day, optionally, in unit dosage forms.

While it is possible for a compound of the present invention to be administered alone, it is preferable to administer the compound as a pharmaceutical composition.

15

#### EXEMPLIFICATION OF THE INVENTION:

The invention is further illustrated by the following examples, which should not be construed as further limiting. The animal models used throughout the Examples are accepted animal models and the demonstration of efficacy in these animal models is predictive of efficacy in humans.

##### Tumor Inhibition Assays

Pin1-modulating compounds are potent antitumor agents. The anti-tumor activity of Pin1-modulating compounds against glioblastoma cells is comparable to 1,3-bis(2-chloroethyl)-1-nitrosourea (BCNU), one of the most potent clinical useful antitumor agents. Misra, *et al.* 1982. *J. Am. Chem. Soc.* 104: 4478-4479

*In vitro* anti-tumor activity of Pin1-modulating compounds can be assayed by measuring the ability of Pin1-modulating compounds to kill tumor cells. Examples of appropriate cells lines include: human lung (A549); resistant human lung with low topo II activity (A549-VP); murine melanoma (B16); human colon tumor (HCT116); human colon tumor with elevated p170 levels (HCTVM); human colon tumor with low topo II activity (HCTVP); P388 murine lymph leukemia cells; and human colon carcinoma cell line (Moser) under standard conditions. After the cells are cultured for twenty-four hours and allowed to attach to a plate (*i.e.* a 96-well flat bottom plate), the cells are incubated for 72 hours with serially diluted concentrations of Pin1-modulating compounds. From this data, the concentration of the compound at which 50% of the cells are killed ( $IC_{50}$ ) is determined. Kelly, *et al.*, U.S. Patent No. 5,166,208 and Pandey, *et. al.* 1981. *J. Antibiot.* 34(11):1389-401.

*In vivo* anti-tumor activity of Pin1-modulating compounds can be assayed for by a reduction of tumor cells in mammals (*i.e.* mice) and a resulting increase in survival time compared to untreated tumor bearing mammals. For example, CDF<sub>1</sub> mice are injected interperitoneally with a suspension of P388 murine lymph leukemia cells, 5 Ehrlich carcinoma cells, B16 melanoma cells, or Meth-A fibrosarcoma cells or other appropriate tumor cell line. Some of the mice are treated intraperitoneally with a Pin1-modulating compounds. Other mice are treated with saline. The *in vivo* activity of the compound is determined in terms of the % T/C which is the ratio of the mean survival time of the treated group to the mean survival time of the saline treated group times 100. 10 Yokoi, *et al.* U.S. Patent No. 4,584,377; Kelly, *et al.*, U.S. Patent No. 5,166,208; Warnick-Pickle, *et al.* 1981. *J. Antibiot.* 34(11):1402-7; and Pandey, *et. al.* 1981. *J. Antibiot.* 34(11):1389-401

The *in vivo* anti-tumor activity of Pin1-modulating compounds can also be assayed as inhibitors against an ovarian tumor growing in a human tumor cloning system. Tebbe, *et al.* 1971 *J. Am. Chem. Soc.* 93:3793-3795. 15

The invention is further illustrated by the following examples, which should not be construed as further limiting.

#### Example 1

##### 20 *Cell Based Cytotoxicity Assay (CBCA) of Pin1 Modulating Compounds*

Mammalian cells were seeded in 96 well flat bottom microtiter plates at a density of 5,000 6000 cells per well on day 0 in 0.1 mL of an appropriate growth media. On Day 1, the wells were aspirated and 0.1 mL of fresh media was added. The cells 25 were then treated with 0.01 mL of 10x drug dilutions in 10% DMSO in media and incubated at 37° C in a humidified, 5% CO<sub>2</sub> atmosphere. The assay contained eight drug concentrations in triplicate as well as a triplicate control where cells were treated with 0.01 mL of 10% DMSO in media. On Day 4, the cells were incubated with 0.02 mL of a colorimetric cell-viability assay solution (MTS) prepared from 20 parts (3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium Promega) at 2.0 mg/mL in PBS and 1 part phenazine methosulfate (Sigma) at 0.92 mg/mL in PBS for 2-3 hours at 37 °C. Background wells were prepared by incubating 0.02 mL of the colorimetric cell-viability assay solution with 0.1 mL of media in parallel with the cell containing wells. The absorbance at 490 nm was then measured with an 30 ELISA plate reader and the absorbance recorded for the background wells was averaged and the mean value was subtracted from the cell containing wells. Percent cell 35 viabilities at each drug concentration were calculated by dividing the mean absorbance at 490 nm of the treated wells by the mean absorbance at 490 nm of the untreated wells.

ED<sub>50</sub> values (the effective dose required to for 50% viability) were calculated by plotting drug concentrations versus percent cell viability.

To count cells, suspended cells (0.02 mL) were diluted into 0.18 mL of 0.2% trypan blue solution in PBS. Approximately 0.015 mL of the suspension was added to a chamber of a Levy counting hemacytometer. The viable cells were counted in each of the four sets of 16 squares that are at the corners of the closely ruled lines. The total number of viable cells from the 64 squares were then multiplied by 0.025 to obtain the concentration of cells in the stock suspension. (Number of cells in the 64 wells) x (0.025) = 1x10<sup>6</sup> cells/mL (original stock).

10

### Example 2

#### *Specificity Assay for Inhibition of Proline Isomerase by Pin1 Modulating Compounds*

The proline isomerase activity assay is based on the method described by Fisher *et al.* (Biomed. Biochim. Acta, 1984, 43: 1101-1111). Specifically, the enzyme (112 ng) was preincubated with 72 mM substrate at 4 °C for 30 minutes in an 80 µL reaction volume containing 0.02 mg/µL BSA, 0.8 mM DTT, and 35 mM HEPES (pH 7.8). Proteolysis of the substrate was initiated by the addition of 80 µL of trypsin at 0.4 mg/mL in 35 mM HEPES (pH 7.8) and the release of p-nitroaniline was monitored every 10 seconds at 390 nm using a microplate reader (MRD/8V/DIAS, Dynex Technologies). Inhibition studies were preformed by adding 5 µL of inhibitors added in the pre-incubation mix. Inhibitors were at 0.4 mg/mL in 10% DMSO.

Multiple activity-based assays at multiple dilutions, performed as described above, were used to generate the curve from which the IC<sub>50</sub> was determined. Several IC<sub>50</sub> results were obtained for compounds in Table 2 using this experimental protocol.

### Example 3

#### *Specificity Assay for Inhibition of Pin1 by Pin1 Modulating Compounds*

30

The specificity of the Pin1 inhibitor compounds of the invention can be determined by the protease-coupled PPIase assay developed by Fischer *et al.* (Biomed. Biochim. Acta, 1984, 43: 1101-1111). For example, the enzyme activity of Pin1 can be compared to members of the other known classes of PPIases, cyclophilins (*e.g.*, hCyp18, hCyP-A, hCyP-B, hCyP-C, and NKCA) and FKBP<sub>s</sub> (*e.g.*, hFKBP12, hFKBP-12, hFKBP-13, and hFKBP-25) in the presence and absence of the compound.

In one assay, hPin1 activity measurements are determined using bovine trypsin (final concentration 0.21 mg/mL, Sigma) as an isomer specific protease and

Ac-Ala-Ala-Ser(P)-Pro-Arg-pNA (Jerini, Germany) as a substrate. PPIase activity of hFKBP12 (Sigma) and hCyp18 (Sigma) is determined with the peptide substrate Suc-Ala-Phe-Pro-Phe-pNA (Bachem) and the protease  $\alpha$ -chymotrypsin (final concentration 0.41 mg/mL, Sigma). The test can be performed by observing the released 4-nitroanilide at 390 nm with a Hewlett-Packard 8453 UV-vis spectrophotometer at 10°C. The total reaction volume is adjusted to 1.23 mL by mixing appropriate volumes of 35 mM HEPES (pH 7.8) with enzyme and effector solutions. The Pin1 inhibitor compound is freshly diluted from a 1 mg/mL stock solution in DMSO, and pre-incubated at varying concentrations with the enzyme for 5 min (10°C).

5 Prior to the start of reaction by addition of the respective protease, 2  $\mu$ L of the peptide substrate stock solution (10 mg/mL in DMSO) is added. The amount of organic solvent is kept constant within each experiment (< 0.1%). The pseudo-first-order rate constant  $k_{obs}$  for *cis/trans* isomerization in the presence of PPIase and the first-order rate constant  $k_0$  of the uncatalyzed *cis/trans* isomerization can be calculated using the Kinetics

10 Software of Hewlett-Packard as well as SigmaPlot2000 for Windows 6.0 (SPSS).

15 The  $K_i$  value for inhibition of Pin1 PPIase activity by a Pin1 inhibitor compound of the invention at constant concentrations of substrate ( $[S_0] \ll K_M$ ) can then be calculated by fitting the data according to the equation for a competitive "tight-binding" inhibitor using SigmaPlot2000

20

#### Example 4

##### *Cellular Screen Secondary Cell Based Activity Assay (Determination of ED<sub>50</sub>)*

A cell solution is added to a flask containing containing 13 ml of 10% FBS with EDTA. The cell suspension is centrifuged at 1500g for 5 minutes and resuspend in 10 mL media. The centrifuge procedure is repeated. The cells are resuspended in 2 mL of media. 20  $\mu$ l of cell suspension is added to 180  $\mu$ L 0.2% trypan blue. Approximately 2000 cells are added to each well of a micortitre plate in 100  $\mu$ L media.

25

After cells have grown for an appropriate time (~1-2 days depending on cell line) 10  $\mu$ L of a stock solution containing a test compound is added to each well. After further growth, the media is removed from the well and trypsin is added. After a short incubation, the trypsin is removed or inactivated and the cells are counted using a Guava Cell Analysis System (Hayward, CA).

**Example 5**  
***Method for Evaluating Pin1 Levels***

In one embodiment, the automated cellular imaging system (ACIS) was  
5 used to determine tissues with elevated Pin1 Levels. The data that is presented in  
Example 4 is from U.S. Patent Application No. 10/071,747, filed February 8, 2002, the  
entire contents of which are incorporated by reference.

Micro-histoarray sections were scanned and images were captured  
using the automated cellular imaging system (ChromaVision Medical Systems, Inc.,  
10 San Juan Capistrano, CA), which combines automated microscopy and computerized  
image processing to analyze multiple tissues on a single slide. ACIS was used to  
analyze microarray tissue sections on glass slides stained using a diaminodenzidine  
chromagen (DAB) and hematoxylin counterstain. Positive staining (brown color) as  
viewed by light microscope indicates the presence of the protein, and color intensity  
15 correlates directly with protein quantity (expression). The ACIS was able to  
recognize 255 levels of immnohistochemical staining intensity (0-255) and  
converted these to fractional scores for the selected individual areas. However, the  
base limit on the threshold for the Generic DAB is pre-set at 50 by the manufacturer  
because the system is very sensitive. Therefore, any intensity below 50 was treated  
20 as 0 in this study. Entire immunostained tissue sections were scanned using the 4 X  
objective and images were captured using the 10X objective.

Calculation of Pin protein expression in human cancers:

In this study, intensity scoring and the percent positive scoring (brown  
25 area was divided by total area) were used with the entire individual tissue dot  
selected. The immunohistochemical staining was quantitated without knowledge of a  
pathologist's score. All tissue samples were immunostained twice at one location,  
e.g., the University of Basel and confirmed at a second location, e.g., Pintex  
Pharmaceuticals, Inc., followed by an evaluation of the two data sets, e.g., at Pintex  
30 Pharmaceuticals, Inc. For example, the final score was obtained by using the  
average of the two data sets and was calculated by the formulation:

$$\text{score} = \text{intensity} + (\text{X percent positive staining}).$$

The % of total cases showing elevated levels (over-expression) of Pin 1 =  
[numbers of tumor samples with score larger than the score of the highest normal case]  
total number of tumor samples

multiplied by 100.

5

Results:

**Table 8**

*Pin1 protein over-expression in human tissues microarray*

Tumor type	Case number	% of Tumor Cases with Elevated Level of Pin1
Brain tumor (3)	111	
Oligodendrogloma	20	90
Astrocytoma	46	63
Glioblastomamultiforme	45	87
Genecological tumor (13)	372	
Cervical carcinoma	42	81
Endometrium, endometroid carcinoma	46	0
Endometrium, serous carcinoma	13	0
Ovary, endometroid cancer	45	24
Ovary, Brenner tumor	8	63
Ovary mucinous cancer	12	58
Ovary, serous cancer	47	43
Uterus, carcinosarcoma	6	100
Breast, lobular cancer	36	56
Breast, ductal cancer	47	47
Breast, medullary cancer	24	29
Breast, mucinous cancer	24	29
Breast tubular cancer	22	9

<b>Endocrine tumor (8)</b>	<b>213</b>	
Thyroid adenocarcinoma	42	29
Thyroid follicular cancer	49	41
Thyroid medullary cancer	8	100
Thyroid papillary car	36	22
Parathyroid, adenocarcinoma	28	21
Adrenal gland adenoma	15	0
Adrenal gland cancer	6	33
Pheochromocytoma	29	0
Digestive tract tumor (11)	411	
Colon adenoma mild displasia	47	21
Colon adenoma moderate displasia	47	17
Colon adenoma severe displasia	49	14
Colon adenocarcinoma	43	2
Esophagus adenocarcinoma	43	30
Hepatocelluar carcinoma	34	62
Mouth cancer	46	93
Gall bladder adenocarcinoma	28	14
Pancreatic adenocarcinoma	43	2
Small intestine adenocarcinoma	10	0
Stomach diffuse adenocarcinoma	21	0
Genitourinary tract tumor (9)	381	
Prostate (hormone-refract)	44	59
Prostate (untreated)	47	64
Kidney chromophobic Carcinoma	15	0
Kidney clear cell carcinoma	47	0

Kidney oncocytoma	8	0
Kidney papillary carcinoma	44	0
Testis, non-seminomatous cancer	43	2
Testis seminoma	47	2
Urinary bladder transitional carcinoma	86	2
Respiratory tract tumor (4)	184	
Lung, adenocarcinoma	44	27
Lung, large cell cancer	45	42
Lung, small cell cancer	47	57
Lung, squamous cell carcinoma	48	44
Hematological neoplasia (5)	146	
Hodgkin lymphoma	23	0
MALT lymphoma	47	4
NHL, diffuse large B	22	18
NHL, others	30	23
Thymoma	24	8
	178	
Skin tumor (5)		
Skin, malignant melanoma	44	73
Skin, basolioma	44	39
Skin, squamous cell cancer	39	13
Skin, merkel zell cancer	5	100
Skin benign nevus	46	52
	45	
Soft tissue tumor (2)		
Lipoma	25	20
Liposarcoma	20	75

**Example 6***Synthetic Methods of Preparation of Compounds of the Invention*

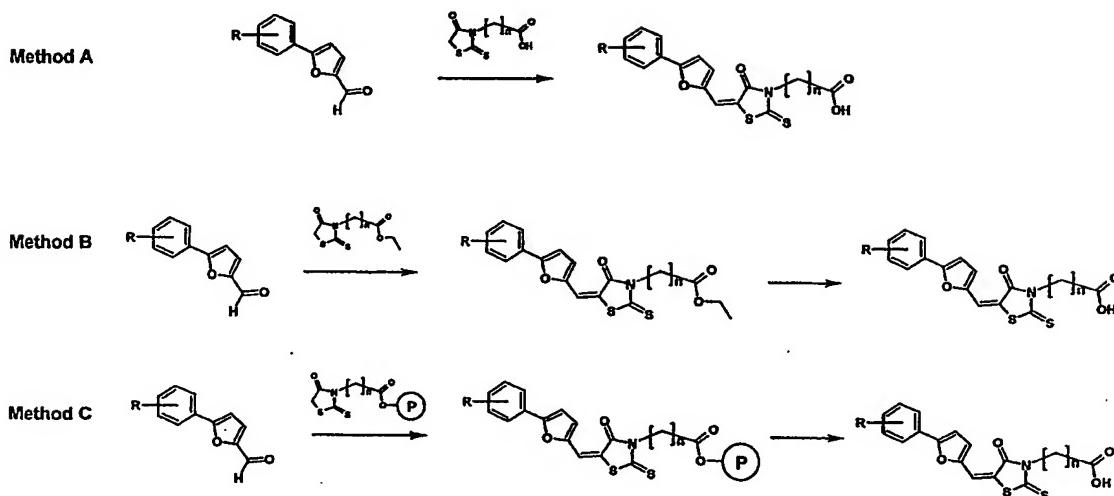
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**General Experimental Conditions**

Liquid chromatography data was obtained using an Hewlett-Packard (HP) 1100 Series Liquid Chromatograph coupled to a Diode Array Detector [ Zorbax Eclipse XDB-C8 column; particle size 5 $\mu$ m, 150 mm column length, 4.6m column diameter; flow rate of 1mL/min; Solvent program, from 95% H<sub>2</sub>O (w/ 0.1% TFA)/ 5% Acetonitrile ( w/0.1% TFA ) to 100% Acetonitrile in 18 minutes, then held constant for 2 minutes; detection wavelength 254 nm]. Mass spectrometric data was obtained using a HP 6980 Gas Chromatograph coupled to a 5973 Mass Selective Detector: Agilent HP1 column, 15 m column length, 0.25 mm column diameter, 0.1 $\mu$ m column film, 280°C injector temperature, initial oven temperature of 200°C for 3 minutes, changed to 325°C over 5 minutes, and held constant for 6 minutes. Thin-layer chromatography was performed on EM Science MK6F silica gel glass TLC plates and UV light was used for detecting compounds on the TLC plates. Reagents used in reactions were purchased from Aldrich Chemical Company (Milwaukee, MO), Sigma Chemical Company (Milwaukee, MO), Fluka Chemical Company (Milwaukee, MO), Fisher Scientific (Pittsburg, PA), TCI America (Portland, OR), Ryan Scientific (Isle of Palms, SC), Lancaster Synthesis (Windham, NH ), Asinex (Moscow, Russia), Chembridge Corporation (San Diego, CA), Matrix Scientific (Columbia, SC) or Oakwood Products Inc. (West Columbia, SC).

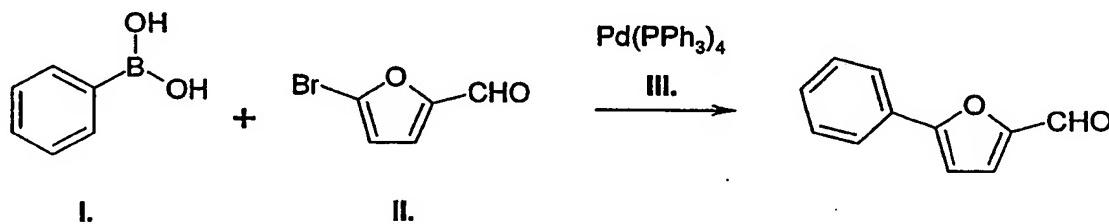
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Three synthetic routes have been used to prepare rhodanine analogs described in this invention.

General description of synthetic schemes

5

Method A condenses an aldehyde with the active methylene of an appropriately substituted rhodanine carboxylic acid under Aldol conditions. Method B condenses the aldehydes with the corresponding ester-protected carboxylic acid, followed by hydrolytic cleavage of the ester to provide the carboxylic acid. Method C demonstrates that the Aldol condensation can be carried out using polymer-bound rhodanine. The final product can subsequently be released from the polymer support under mild conditions to provide the analogs of the invention.

15 General Procedure for Suzuki Cross Coupling

20 0.805g (1.1 eq.; 6.6 mmol) Phenylboronic acid (I.)  
 1.05 g (1 eq.; 6 mmol) 5-Bromo-2-furaldehyde (II.)  
 0.2g Tetrakis(triphenylphosphine)palladium(0) (III.)  
 1.59 g (2.5 eq.; 15 mmol) Na<sub>2</sub>CO<sub>3</sub>  
 10 mL distilled water  
 25 mL Ethylene glycol dimethyl ether (Peroxide free!)

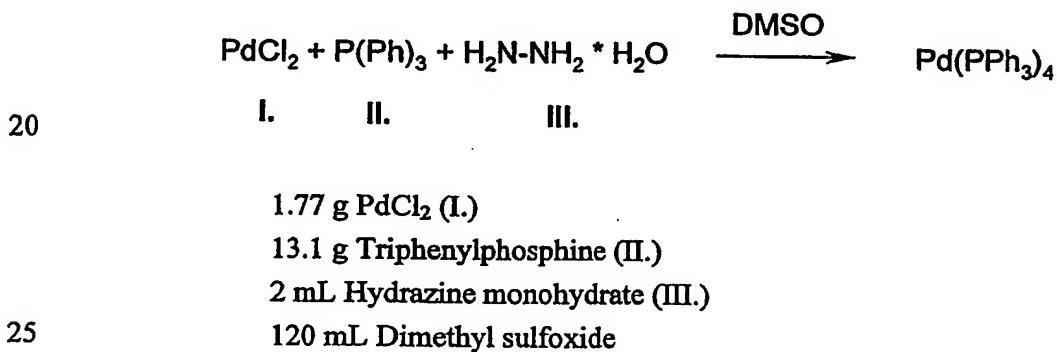
*Reaction:* 5-bromo-2-furaldehyde (II.) was dissolved in ethylene glycol dimethyl ether (25 mL) under nitrogen (inert atmosphere) then Pd(PPh<sub>3</sub>)<sub>4</sub> catalyst was added and the mixture was stirred for 10 minutes. Then phenylboronic acid (I.) and the 5 solution of Na<sub>2</sub>CO<sub>3</sub> in 10 mL distilled water was added and the reaction mixture refluxed for min. 4 hours. The reaction was monitored by TLC (on silica, eluent: hexane : ethylacetate = 3 :2).

10 *Work up:* 30 mL distilled water was added to the reaction mixture, then it was extracted with ethylacetate 3 times. The organic phase was dried over MgSO<sub>4</sub> then evaporated.

15 *Purification:* The crude product was purified by liquid chromatography (on silica, eluent: chloroform). After evaporation the crystalline product was washed with diethylether.

*Yield:* around 70 %. (Stored under inert atmosphere at 0-5 °C).

#### General Procedure for the Preparation of the Pd(0) catalyst



30 I. and II. were added to DMSO under nitrogen at room temperature. The mixture was heated using a hot oil bath at 140 °C and kept at this temperature until the mixture became a clear solution (around 15-30 minutes). Then III. was added dropwise (in 1 min.) to the vigorously stirred reaction mixture. After addition of III. to the reaction mixture, the reaction was cooled immediately to room temperature with a water bath. The precipitate was filtered off and washed quickly 3 times with 5 mL of cool ethanol then 4 times with 5 mL diethylether. (The reaction was kept under a blanket of nitrogen during the entire course of the above reaction.)

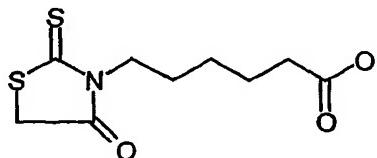
*Reference:* Coulson, Inorg. Synth. 1972 (13) 121

5    **Preparation of Rhodanine Derivatives:**

A.

(1) C-6 Carboxylic Acid Derivative (Intermediate for Method A)

10



The synthesis of this material followed established literature procedures.  
See JOC, USSR, Engl. Transl. 2, 1315 (1966). A representative example follows;

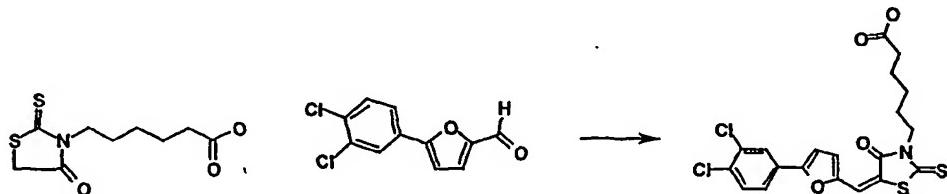
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To 10.0 g aminocapric acid (76.2 mmol) in 34.1 mL 22% KOH (~ 1.75 eq.) was added dropwise CS<sub>2</sub> (5.0 mL, ~ 1.1 eq.), making sure the temperature of the reaction did not exceed 25 °C. The yellow mixture was allowed to mix at room temperature for approximately 3 hours, at which time 10.6 g (1.0 eq.) of bromoacetic acid was added as a solid in small portions over about 20 minutes. The reaction was allowed to mix at room temperature for an additional 3 hours, during which time a precipitate formed. The reaction pH was adjusted to 3 – 4 using concentrated sulfuric acid, and allowed to stand overnight at room temperature. The yellow/orange material was filtered and washed with water. Recrystallization was accomplished using 1:1 EtOH:H<sub>2</sub>O. Isolated ~ 11.5 g of material (~ 61%)

20

25

(2) Synthesis of Final Product Using Method A



30

The synthesis of this material followed the procedure provided by Pintex with minor modifications, as follows;

In ~ 20 mL of toluene was added 1.0 g (~ 4 mmol) of the rhodanine-C-6 carboxylic acid and 970 mg (1 eq.) of the furaldehyde. A layer of molecular sieves was added and ~ 15mg of pyridinium tosylate and the reaction was brought to gentle reflux for about 3 – 4 hours. The reaction was monitored by TLC, to determine when consumption of starting materials was complete. The reaction was filtered hot and washed with hot toluene and hot ethanol. The mother liquor was evaporated to dryness.

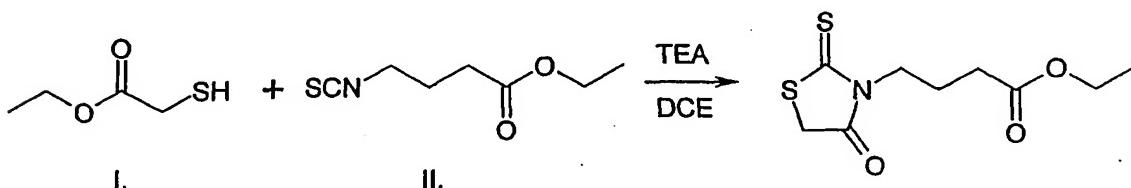
The crude product was dissolved in ~ 500 mL of acetone and warmed on a hot plate, filtered warm and washed with warm acetone. The clear yellow/orange solution was placed back on a hot plate and brought to a gentle reflux. After ~ 50 mL of acetone had evaporated, ~ 50 mL of water was added and the solution allowed to continue gentle refluxing until an additional ~ 50 mL of acetone had evaporated. An additional 50 mL of water was added and the solution was allowed to continue refluxing until it became cloudy, at which point it was removed from the hot plate, washed down the sides with acetone, allowed to cool to room temperature, and then placed overnight in a refrigerator.

The crystals were filtered, washed with cold acetone (~ 100 mL) and water. A bright orange material isolated, ~ 930 mg (~ 49%). LC suggested a trace contaminant and the material was re-recrystallized as above. Isolated ~ 840 mg from the second recrystallization.

To make the Na salt, ~ 435 mg of the free acid was dissolved in ~ 500 mL of acetone and 77 mg (~1 eq.) of NaHCO<sub>3</sub> in ~ 1 mL of water was added. The mixture was allowed to mix for about 5 min. and then concentrated on a rotary evaporator. The material was triturated with ether/acetone, filtered and washed with ether to yield ~ 350 mg of an orange material.

30 B.

### (1) C-4 Ester Derivative (Intermediate for Method B)



35 4.55 g (26.65 mmol) II.

2.73 mL (3.0g; 25 mmol) I.  
 875 microL (625 mg; 7.5 mmol) triethylamine  
 50 mL 1,2-dichloroethane (HPLC purity)

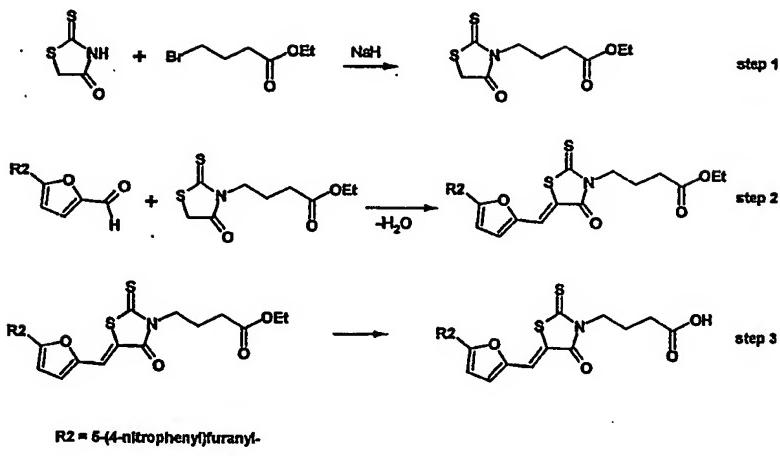
5        *Reaction:* I. and II. were dissolved in 1,2-dichloroethane and TEA was added. The reaction mixture was stirred for 1 hour at r.t.

10      *Work up:* The solvent was removed in vacuo, to the residue isopropanol was added (25 mL) and the mixture cooled to 0 oC, the precipitated product was filtered off and washed two times with 5 mL cooled isopropanol.

TLC: silica/dichloroethane : ethanol = 10 : 1.

15      *Product:* 5.5 g (Yield=89%)

## (2) Synthesis of Final Product Using Method B



- 20      1)     Alkylation of rhodanine  
       -     Rhodanine (MW=133.19) n=50 mmol, m=6.66 g  
       -     ethyl-4-bromobutyrate (MW=195.06) 1.1 eq, 55 mmol, V=7.9 mL  
       -     NaH                  1.5 eq, 75 mmol, m=2.9 g (60% suspension in  
 25      THF)

To the cooled (-10 0 °C) THF, NaH was added dropwise under argon. After 10 min. stirring, rhodanine was added, and after the another 15 min. ethyl-4-bromobutyrate was added dropwise. The mixture was stirred under reflux for about 1.5-

2 h (monitored by TLC), and left to cool down. The NH<sub>4</sub>Cl was added and THF was evaporated. The residue was dissolved in ethyl acetate and extracted with brine. After drying (MgSO<sub>4</sub>) the crude product was used to the further reaction.

- 5            2) Condensation of rhodanine with an aldehyde  
-       alkylated rhodanine (MW=247)      n=5 mmol, m=1.24 g  
-       5-(4-nitrophenyl)-furfural (MW=251.09)    1 eq, m=1.26g  
-       piperidine    0.03 eq, 0.15 mmol, V=0.015 mL  
-       AcOH    0.03 eq, 0.15 mmol, V=0.008 mL

10           A mixture of all the compounds in toluene (100 cm<sup>3</sup>) was heated under reflux with azeotropic removal of water for about 5 h. The mixture was cooled to 5 °C, filtration gave crude product which was used to the further synthesis.

15           3) Hydrolysis of ethyl ester

15           The product of the previous reaction (MW=480, n=3 mmol) was dissolved in MeOH, and 1N NaOH was added (1.1 eq). The mixture was stirred at room temperature for about 6 h. After that time the mixture was acidified with 1N HCl to the pH 6 and MeOH was evaporated. The residue was acidified to the pH 2 and extracted with ethyl acetate (4 times). After drying (MgSO<sub>4</sub>) the crude product was crystallised (MeOH/ Et<sub>2</sub>O).

C.

(1) Synthesis of Compounds on Solid Support.

25           1) Coupling of Fmoc-γ-Abu-OH with Wang resin (symmetrical anhydride coupling).

30           2) Synthesis of rhodanine on solid support

To 1 g Fmoc-γ-Abu-Wang resin were added 20% piperidine in DMF and the mixture was shaken for 30 min. The resin was washed (DMF, MeOH and CH<sub>2</sub>Cl<sub>2</sub>) and dried.

A mixture of resin, thiocarbonyldiimidazole (5 mol eq) and TEA (3 mol eq) in CH<sub>2</sub>Cl<sub>2</sub> was shaken for 1 h. The filtrate was drained away and the resin was swollen in CH<sub>2</sub>Cl<sub>2</sub> and methyl thioglycolate (5 mol eq) was added. The reaction mixture was further shaken for about 16 h. The resin was washed (DMF, MeOH and CH<sub>2</sub>Cl<sub>2</sub>) and dried.

3) Condensation of aldehydes with rhodanine (and subsequent cleavage of the product from the resin)

The loaded resin and an aldehyde (5 mol eq) was heated in toluene for about 6 h. The resin was washed (DMF, MeOH and CH<sub>2</sub>Cl<sub>2</sub>), resuspended in 20% TFA/CH<sub>2</sub>Cl<sub>2</sub> and shaken for 1 h. The filtrate was pooled and concentrated to yield an exact product (Yield = 80-90%).

**EQUIVALENTS**

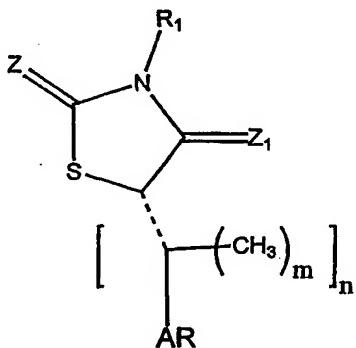
10 Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments and methods described herein. Such equivalents are intended to be encompassed by the scope of the following claims.

15 **INCORPORATION BY REFERENCE**

The entire contents of all patents, published patent applications and other references cited herein are hereby expressly incorporated herein in their entireties by reference.

CLAIMS

1. A method for treating a Pin1-associated state in a subject comprising administering to said subject an effective amount of a Pin1-modulating compound of  
 5 formula (I):



(I)

10

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of

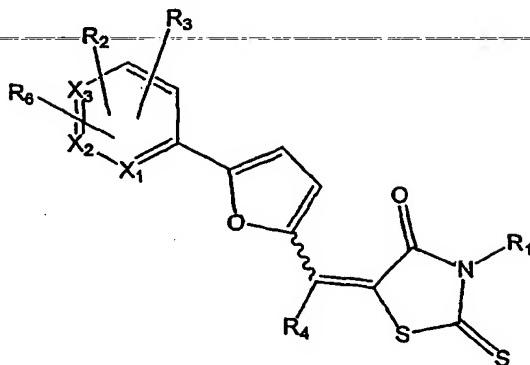
15 O or S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;

such that said Pin1-associated state is treated.

2. The method of claim 1, wherein Z is S.
3. The method of claim 1, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.
4. The method of claim 1, wherein n is selected from the group consisting of 0 through 5.
5. The method of claim 1, wherein  $Z_1$  is O.
6. The method of claim 1, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



(II)

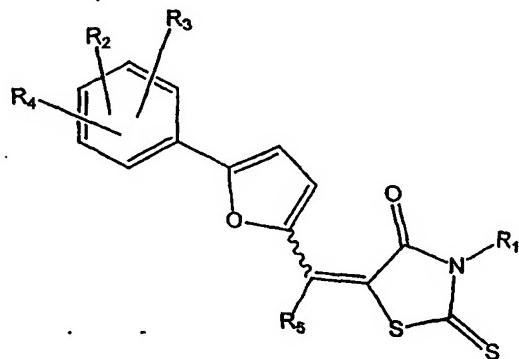
wherein

- 20  $R_2$ ,  $R_3$ , and  $R_6$  are independently selected from the group consisting of H,  $OCH_3$ ,  $SO_2CF_3$ ,  $-S(O_2)NH_2$ , OH, Cl,  $C(O)CH_3$ , -CN,  $NO_2$ , F,  $CF_3$ ,  $OCF_3$ ,  $CO_2H$ ,  $CO_2(CH_2)_qCH_3$ ,  $CH_3$ , and Br, wherein q is an integer ranging from about 0 to 4;
- 25  $R_4$  is H or lower alkyl, e.g.,  $CH_3$ ;
- $X_1$ ,  $X_2$  and  $X_3$  are independently selected from the group consisting of  $-CH$  and N;
- 30  $R_1$  is  $-(CH_2)_n-(X_4)_p-(CH_2)_m-CO_2R_5$ , wherein  $R_5$  is H or lower alkyl, e.g., t-butyl or  $CH_2CH_3$ ;  $X_4$  is selected from the group consisting of  $-C(O)N-$ ,  $-O-$ ,  $-C(O)-$ ,  $-CHCH-$ ; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each  $CH_2$  group may be

independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

7. The method of claim 1, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



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(III)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,

15

wherein q is an integer ranging from about 0 to 4;

R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or

20 CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

25

8. The method of claim 1, wherein said Pin1-modulating compound is a Pin1-inhibiting compound.

9. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

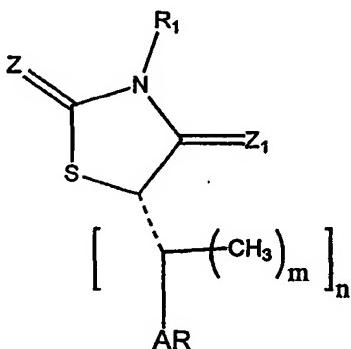
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10. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
- 5 11. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
12. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 10 13. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
14. The method of claim 1 or 8, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.
- 15 15. The method of claim 1 or 8, wherein said Pin1-associated state is a cyclin D1 elevated state.
16. The method of claim 1 or 8, wherein said Pin1-associated state is neoplastic transformation.
- 20 17. The method of claim 1 or 8, wherein said Pin1-associated state is cancer.
18. The method of claim 1 or 8, wherein said Pin1-associated state is tumor growth.
- 25 19. The method of claim 1 or 8, wherein said method of treating said Pin1-associated state comprises inhibiting tumor growth.
- 30 20. The method of claim 1 or 8, wherein said method of treating said Pin1-associated state comprises preventing the occurrence of tumor growth in the subject.
21. The method of claim 1 or 8, wherein said method of treating said Pin1-associated state comprises reducing the growth of a pre-existing tumor in the subject.
- 35 22. The method of claim 1 or 8, wherein said Pin1-associated state is colon cancer or breast cancer.

23. The method of claim 1 or 8, wherein said Pin1-associated state is sarcoma or a malignant lymphoma.
24. The method of claim 1 or 8, wherein said Pin1-associated state is  
5 esophageal cancer, oligodendrogloma, astrocytoma, glioblastomamultiforme, cervical carcinoma, ovary endometroid cancer, ovary Brenner tumor, ovary mucinous cancer, ovary serous cancer, uterus carcinosarcoma, breast lobular cancer, breast ductal cancer, breast medullary cancer, breast mucinous cancer, breast tubular cancer, thyroid adenocarcinoma, or thyroid follicular cancer.
- 10 25. The method of claim 1 or 8, wherein said Pin1-associated state is thyroid medullary cancer, thyroid papillary carcinoma, parathyroid adenocarcinoma, adrenal gland adenoma, adrenal gland cancer, pheochromocytoma, colon adenoma mild displasia, colon adenoma moderate displasia, colon adenoma severe displasia, or colon adenocarcinoma.
- 15 26. The method of claim 1 or 8, wherein said Pin1-associated state is esophagus adenocarcinoma, hepatocellular carcinoma, mouth cancer, gall bladder adenocarcinoma, pancreatic adenocarcinoma, prostate, prostate cancer, testis non-seminomatous cancer, testis seminoma, urinary bladder transitional carcinoma, lung adenocarcinoma, lung large cell cancer, lung small cell cancer, lung squamous cell carcinoma, MALT lymphoma, NHL diffuse large B, non-Hodgkin's lymphoma (NHL), thymoma, skin malignant melanoma, skin basolioma, skin squamous cell cancer, skin merkel zell cancer, skin benign nevus, lipoma, endometriod carcinoma, endometrium serous carcinoma, small intestine adenocarcinoma, stomach diffuse adenocarcinoma, kidney chromophobie carcinoma, kidney clear cell carcinoma, kidney oncocytoma, kidney papillary carcinoma, Hodgkin lymphoma or liposarcoma.
- 20 27. The method of claim 1, wherein said Pin1-associated state is associated with the overexpression of Pin1 and/or DNA damage.
- 25 28. The method of claim 1, wherein said Pin1-associated state is associated with an oncogenic protein.
- 30 29. The method of claim 1, wherein said Pin1-associated state is associated with Ha-Ras.

30. The method of claim 1, wherein said Pin1-modulating compound has a characteristic inhibition profile (CIP) and has a cytotoxicity effective to treat said Pin1-associated state.
- 5 31. The method of claim 30, wherein said Pin1-modulating compound has an IC<sub>50</sub> value of less than about 40.
32. The method of claim 31, wherein said IC<sub>50</sub> value of between about 10 and about 40.
- 10 33. The method of claim 31, wherein said IC<sub>50</sub> value of between about 1 and about 10.
34. The method of claim 31, wherein said IC<sub>50</sub> value of less than about 1.
- 15 35. The method of claim 30, wherein said Pin1-modulating compound has a cytotoxicity of about 3 μM or less as measured by the CBCA.
- 20 36. The method of claim 35, wherein said Pin1-modulating compound has a cytotoxicity of about 1.5 μM or less as measured by the CBCA.
37. The method of claim 36, wherein said Pin1-modulating compound has a cytotoxicity of about 1 μM or less as measured by the CBCA.

38. A method for treating cyclin D1 overexpression in a subject comprising administering to said subject an effective amount of a Pin1-modulating compound of formula (I):



5

(I)

wherein

- the dashed line indicates a single or a double bond;
- 10 n is selected from the group consisting of 0 through 10;
- m is 0 or 1;
- Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;
- 15 AR is H or is selected from one or a combination of aromatic groups which may be directly linked, or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;
- 20 R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;
- 25 such that said cyclin D1 overexpression is treated.

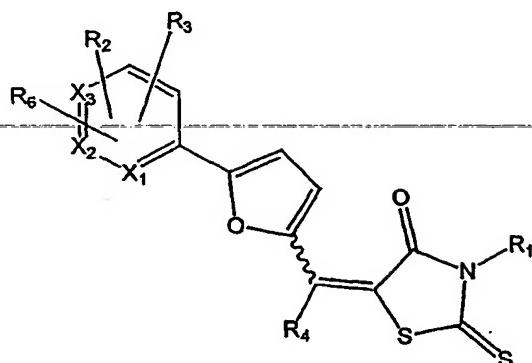
39. The method of claim 38, wherein Z is S.

40. The method of claim 38, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and  
5 Furazan 2-oxide.

41. The method of claim 38, wherein n is selected from the group consisting of 0 through 5.

10 42. The method of claim 38, wherein Z<sub>1</sub> is O.

43. The method of claim 38, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



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(II)

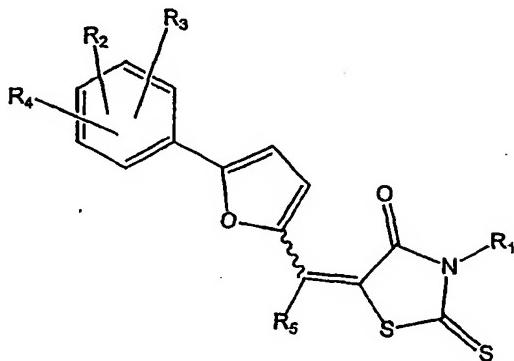
wherein

20 R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;  
R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;  
X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;  
25 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

30

44. The method of claim 38, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



(III)

wherein

- 10           R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;
- 15           R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;
- 15           X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;
- 20           R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

45. The method of claim 38, wherein the cyclin D1 overexpression results in neoplastic transformation.

- 25           46. The method of claim 38, wherein the cyclin D1 overexpression results in tumor growth.

- 30           47. The method of claim 38, wherein said method for treating cyclin D1 overexpression comprises inhibiting tumor growth.

48. The method of claim 38, wherein said method for treating cyclin D1 overexpression comprises preventing the occurrence of tumor growth in the subject.
- 5 49. The method of claim 38, wherein said method for treating cyclin D1 overexpression comprises reducing the growth of a pre-existing tumor in the subject.
50. The method of claim 38, wherein the cyclin D1 overexpression results in colon cancer or breast cancer.
- 10 51. The method of claim 38, wherein the cyclin D1 overexpression results in a sarcoma or a malignant lymphoma.
52. The method of claim 38, wherein the cyclin D1 overexpression results in 15 esophageal cancer, oligodendrogloma, astrocytoma, glioblastomamultiforme, cervical carcinoma, ovary endometroid cancer, ovary Brenner tumor, ovary mucinous cancer, ovary serous cancer, uterus carcinosarcoma, breast lobular cancer, breast ductal cancer, breast medullary cancer, breast mucinous cancer, breast tubular cancer, thyroid adenocarcinoma, or thyroid follicular cancer.
- 20 53. The method of claim 38, wherein the cyclin D1 overexpression results in thyroid medullary cancer, thyroid papillary carcinoma, parathyroid adenocarcinoma, adrenal gland adenoma, adrenal gland cancer, pheochromocytoma, colon adenoma mild displasia, colon adenoma moderate displasia, colon adenoma severe displasia, or colon adenocarcinoma.
- 25 54. The method of claim 38, wherein the cyclin D1 overexpression results in esophagus adenocarcinoma, hepatocelluar carcinoma, mouth cancer, gall bladder adenocarcinoma, pancreatic adenocarcinoma, prostate, prostate cancer, testis non-seminomatous cancer, testis seminoma, urinary bladder transitional carcinoma, lung adenocarcinoma, lung large cell cancer, lung small cell cancer, lung squamous cell carcinoma, MALT lymphoma, NHL diffuse large B, non-Hodgkin's lymphoma (NHL), thymoma, skin malignant melanoma, skin basolioma, skin squamous cell cancer, skin merkel zell cancer, skin benign nevus, lipoma, endometriod carcinoma, endometrium 30 serous carcenoma, small intestine adenocarcinoma, stomach diffuse adenocarcinoma, kidney chromophobic carcinoma, kidney clear cell carcinoma, kidney oncocytoma, kidney papillary carcinoma, Hodgkin lymphoma, or a liposarcoma.

55. The method of any one of claims 38-54, wherein the cyclin D1 overexpression is caused by overexpression of Pin1.

5 56. The method of any one of claims 38-54, wherein the cyclin D1 overexpression is caused by DNA damage.

57. The method of any one of claims 38-54, wherein the cyclin D1 overexpression is caused by an oncogenic protein.

10

58. The method of any one of claims 38-54, wherein cyclin D1 overexpression is caused by Ha-Ras.

15

59. The method of any one of claims 38-58, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

60. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

20

61. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.

25

62. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.

30

63. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.

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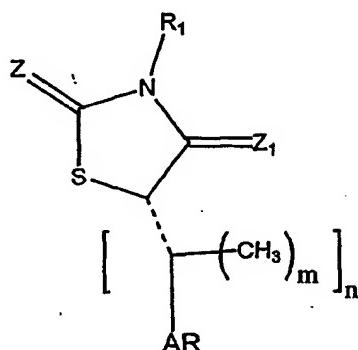
64. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.

65. The method of any one of claims 38-58, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives

thereof.

66. The method of claim 38, wherein said Pin1-modulating compound has a characteristic inhibition profile (CIP) and has a cytotoxicity effective to treat said Pin1-associated state.
- 5
67. The method of claim 66, wherein said Pin1-modulating compound has an IC<sub>50</sub> value of less than about 40.
- 10 68. The method of claim 67, wherein said IC<sub>50</sub> value of between about 10 and about 40.
69. The method of claim 67, wherein said IC<sub>50</sub> value of between about 1 and about 10.
- 15 70. The method of claim 67, wherein said IC<sub>50</sub> value of less than about 1.
71. The method of claim 66, wherein said Pin1-modulating compound has a cytotoxicity of about 3 μM or less as measured by the CBCA.
- 20 72. The method of claim 71, wherein said Pin1-modulating compound has a cytotoxicity of about 1.5 μM or less as measured by the CBCA.
73. The method of claim 72, wherein said Pin1-modulating compound has a cytotoxicity of about 1 μM or less as measured by the CBCA.
- 25

74. A packaged Pin1-associated state treatment, comprising a Pin1-modulating compound of formula (I):



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(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

10

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-; wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>; OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>,

20 wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide;

25 packaged with instructions for using an effective amount of the Pin1-modulating compound to treat a Pin1-associated state.

75. The packaged Pin1-associated state treatment of claim 74, wherein Z is S.

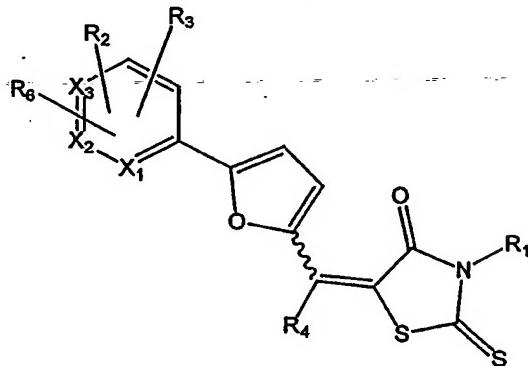
76. The packaged Pin1-associated state treatment of claim 74, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.

77. The packaged Pin1-associated state treatment of claim 74, wherein n is selected from the group consisting of 0 through 5.

10 78. The packaged Pin1-associated state treatment of claim 74, wherein  $Z_1$  is O.

79. The packaged Pin1-associated state treatment of claim 74, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):

15



(II)

wherein

20  $R_2$ ,  $R_3$ , and  $R_6$  are independently selected from the group consisting of H,  $OCH_3$ ,  $SO_2CF_3$ ,  $-S(O_2)NH_2$ , OH, Cl,  $C(O)CH_3$ , -CN,  $NO_2$ , F,  $CF_3$ ,  $OCF_3$ ,  $CO_2H$ ,  $CO_2(CH_2)_qCH_3$ ,  $CH_3$ , and Br, wherein q is an integer ranging from about 0 to 4;

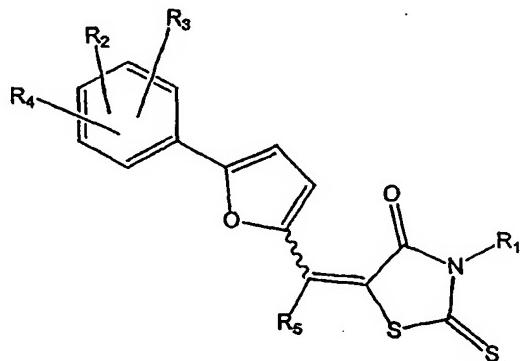
25  $R_4$  is H or lower alkyl, e.g.,  $CH_3$ ;

$X_1$ ,  $X_2$  and  $X_3$  are independently selected from the group consisting of  $-CH$  and N;

30  $R_1$  is  $-(CH_2)_n-(X_4)_p-(CH_2)_m-CO_2R_5$ , wherein  $R_5$  is H or lower alkyl, e.g., t-butyl or  $CH_2CH_3$ ;  $X_4$  is selected from the group consisting of  $-C(O)N-$ ,  $-O-$ ,  $-C(O)-$ ,  $-CHCH-$ ; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each  $CH_2$  group may be independently substituted with  $C_1-C_6$  alkyl, e.g.,  $CH_3$ ,  $CH_2CH_2SCH_3$ , or OH.

80. The packaged Pin1-associated state treatment of claim 74, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



(III)

10

wherein

$R_2$ ,  $R_3$ , and  $R_4$  are independently selected from the group consisting of H,  $OCH_3$ ,  $SO_2CF_3$ ,  $-S(O_2)NH_2$ , OH, Cl,  $C(O)CH_3$ , -CN,  $NO_2$ , F,  $CF_3$ ,  $OCF_3$ ,  $CO_2H$ ,  $CO_2(CH_2)_qCH_3$ ,  $CH_3$ , and Br, wherein q is an integer ranging from about 0 to 4;

15

 $R_5$  is H or lower alkyl, e.g.,  $CH_3$ ;

$X_1$ ,  $X_2$  and  $X_3$  are independently selected from the group consisting of  $-CH$  and N;

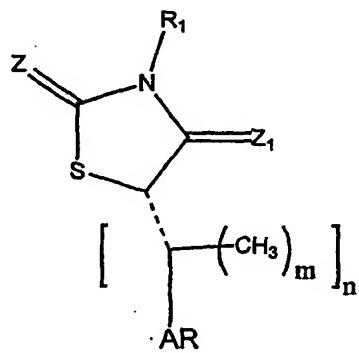
20  $R_1$  is  $-(CH_2)_n-(X_4)_p-(CH_2)_m-CO_2R_6$ , wherein  $R_6$  is H or lower alkyl, e.g., t-butyl or  $CH_2CH_3$ ;  $X_4$  is selected from the group consisting of  $-C(O)N-$ ,  $-O-$ ,  $-C(O)-$ ,  $-CHCH-$ ; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each  $CH_2$  group may be independently substituted with  $C_1-C_6$  alkyl, e.g.,  $CH_3$ , OH, or  $CH_2CH_2SCH_3$ .

25 81. The packaged Pin1-associated state treatment of claim 74, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

82. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

30

83. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
- 5 84. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
- 10 85. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 15 86. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
- 20 87. The packaged Pin1-associated state treatment of claim 74, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.
88. A packaged cyclin D1 overexpression treatment, comprising a Pin1-modulating compound of formula (I):



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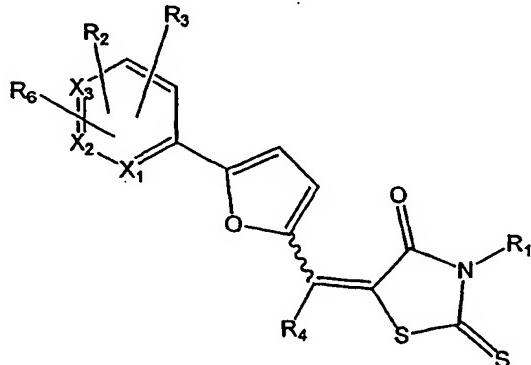
(I)

wherein

the dashed line indicates a single or a double bond;  
 n is selected from the group consisting of 0 through 10;

- m is 0 or 1;  
Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;
- AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;
- 10 R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methylbenzenesulfonamide; packaged with instructions for using an effective amount of the Pin1-modulating compound to treat cyclin D1 overexpression.
- 20 89. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein Z is S.
90. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.
- 25 91. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein n is selected from the group consisting of 0 through 5.
92. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein Z<sub>1</sub> is O.

93. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



5

(II)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>,

10 CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;

R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

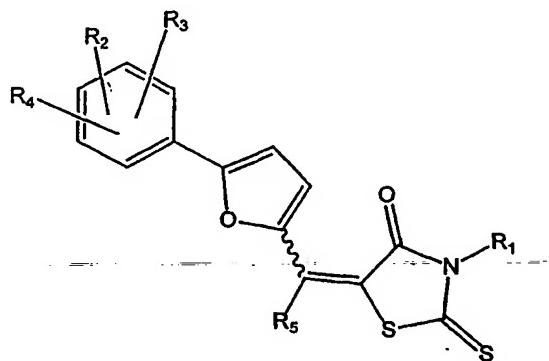
15 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

20

94. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

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10



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(III)

wherein

H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;

20

R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

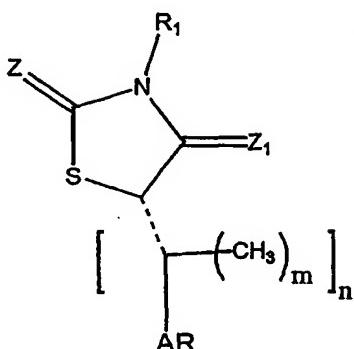
X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

25

R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

95. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.
- 5 96. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.
- 10 97. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
- 15 98. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
99. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 20 100. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
- 25 101. The packaged cyclin D1 overexpression treatment Pin1-associated state treatment of claim 88, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.

102. A packaged cancer treatment, comprising a Pin1-modulating compound of formula (I):



5

(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

10 m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-; wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>; OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>,

20 wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methylbenzenesulfonamide;

25 packaged with instructions for using an effective amount of the Pin1-modulating compound to treat cancer.

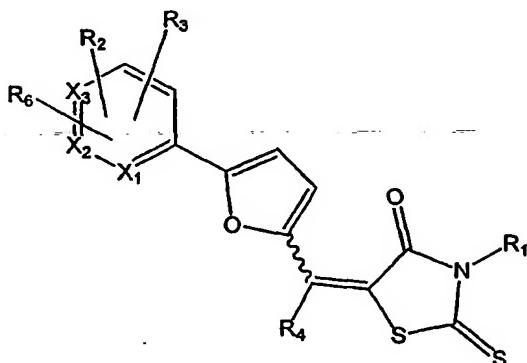
103. The packaged cancer treatment of claim 102, wherein Z is S.

104. The packaged cancer treatment of claim 102, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylen)-1-methyl-1,3-dihydro-indol-2-one, a 5 benzo[1,3]dioxole, and Furazan 2-oxide.

105. The packaged cancer treatment of claim 102, wherein n is selected from the group consisting of 0 through 5.

10 106. The packaged cancer treatment of claim 102, wherein  $Z_1$  is O.

107. The packaged cancer treatment of claim 102, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



15

(II)

wherein

20  $R_2$ ,  $R_3$ , and  $R_6$  are independently selected from the group consisting of H,  $OCH_3$ ,  $SO_2CF_3$ ,  $-S(O_2)NH_2$ , OH, Cl,  $C(O)CH_3$ , -CN,  $NO_2$ , F,  $CF_3$ ,  $OCF_3$ ,  $CO_2H$ ,  $CO_2(CH_2)_qCH_3$ ,  $CH_3$ , and Br, wherein q is an integer ranging from about 0 to 4;

25  $R_4$  is H or lower alkyl, e.g.,  $CH_3$ ;

$X_1$ ,  $X_2$  and  $X_3$  are independently selected from the group consisting of  $-CH$  and N;

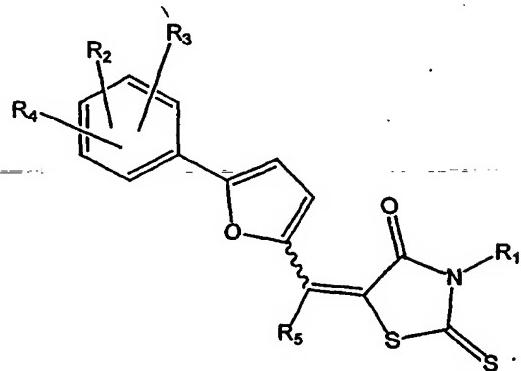
$R_1$  is  $-(CH_2)_n-(X_4)_p-(CH_2)_m-CO_2R_5$ , wherein  $R_5$  is H or lower alkyl, e.g., t-butyl or  $CH_2CH_3$ ;  $X_4$  is selected from the group consisting of  $-C(O)N-$ ,  $-O-$ ,  $-C(O)-$ ,  $-CHCH-$ ; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each  $CH_2$  group may be independently substituted with  $C_1-C_6$  alkyl, e.g.,  $CH_3$ ,  $CH_2CH_2SCH_3$ , or OH.

30

108. The packaged cancer treatment of claim 102, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

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10



15

(III)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,  
20 wherein q is an integer ranging from about 0 to 4;

R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

25 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

30

109. The packaged cancer treatment of claim 102, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

110. The packaged cancer treatment of claim 102, wherein said compound is  
5 selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

111. The packaged cancer treatment of claim 102, wherein said compound is  
selected from the group consisting of compounds listed in Table 2, and derivatives  
10 thereof.

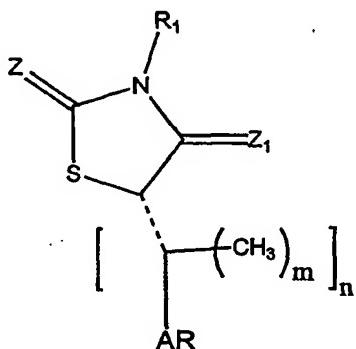
112. The packaged cancer treatment of claim 102, wherein said compound is  
selected from the group consisting of compounds listed in Table 3, and derivatives  
thereof.

15 113. The packaged cancer treatment of claim 102, wherein said compound is  
selected from the group consisting of compounds listed in Table 4, and derivatives  
thereof.

20 114. The packaged cancer treatment of claim 102, wherein said compound is  
selected from the group consisting of compounds listed in Table 5, and derivatives  
thereof.

25 115. The packaged cancer treatment of claim 102, wherein said compound is  
selected from the group consisting of compounds listed in Table 6, and derivatives  
thereof.

116. A method for treating a Pin1-associated state in a subject comprising administering to a subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



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(I)

wherein

- the dashed line indicates a single or a double bond;
  - 10 n is selected from the group consisting of 0 through 10;
  - m is 0 or 1;
  - Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;
  - 15 AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-, wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;
  - 20 R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-
  - 25 imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide; and
- a hyperplastic inhibitory agent such that the Pin1-associated state is treated.

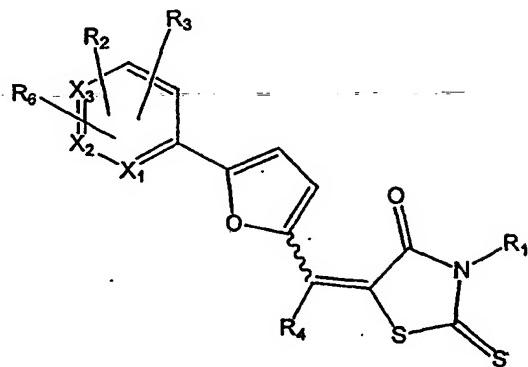
117. The method of claim 116, wherein Z is S.

118. The method of claim 116, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a 5 naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.

10 119. The method of claim 116, wherein n is selected from the group consisting of 0 through 5.

120. The method of claim 116, wherein Z<sub>1</sub> is O.

15 121. The method of claim 116, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



(II)

wherein

20 R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;

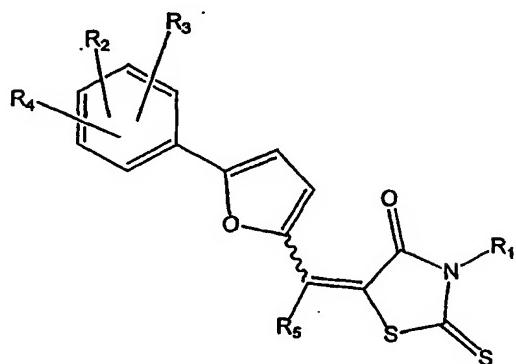
R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

25 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be 30 independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

122. The method of claim 116, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



(III)

10

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;

15

R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

20 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

25 123. The method of claim 116, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

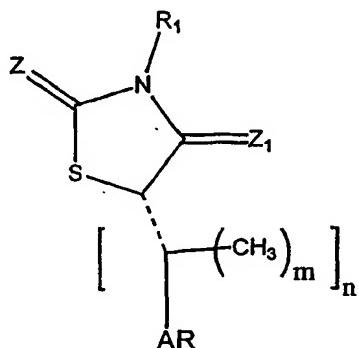
124. The method of claim 116, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

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125. The method of claim 116, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
126. The method of claim 116, wherein said compound is selected from the 5 group consisting of compounds listed in Table 3, and derivatives thereof.
127. The method of claim 116, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 10 128. The method of claim 116, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
129. The method of claim 116, wherein said compound is selected from the 15 group consisting of compounds listed in Table 6, and derivatives thereof.
130. The method of claim 116, wherein said Pin1-modulating compound has a characteristic inhibition profile (CIP) and has a cytotoxicity effective to treat said Pin1-associated state.
- 20 131. The method of claim 130, wherein said Pin1-modulating compound has an IC<sub>50</sub> value of less than about 40.
132. The method of claim 131, wherein said IC<sub>50</sub> value of between about 10 25 and about 40.
133. The method of claim 131, wherein said IC<sub>50</sub> value of between about 1 and about 10.
134. The method of claim 131, wherein said IC<sub>50</sub> value of less than about 1.
- 30 135. The method of claim 130, wherein said Pin1-modulating compound has a cytotoxicity of 3 μM or less as measured by the CBCA.
- 35 136. The method of claim 135, wherein said Pin1-modulating compound has a cytotoxicity of 1.5 μM or less as measured by the CBCA.

137. The method of claim 136, wherein said Pin1-modulating compound has a cytotoxicity of 1  $\mu$ M or less as measured by the CBCA.
138. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is tamoxifen.  
5
139. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is paclitaxel.
- 10 140. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is docetaxel.
141. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is interleukin-2.  
15
142. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is rituximab.
143. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is tretinoin.  
20
144. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is methotrexate.
- 25 145. The method of any one of claims 116-137, wherein the hyperplastic inhibitory agent is a radiation therapy treatment.

146. A method for treating cancer in a subject comprising administering to a subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



5

(I)

wherein

the dashed line indicates a single or a double bond;

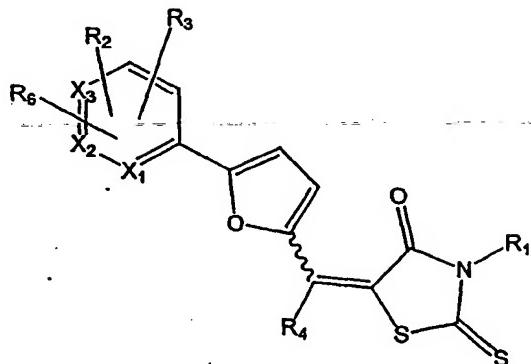
10 n is selected from the group consisting of 0 through 10;

m is 0 or 1;

Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;15 AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-; wherein the aromatic groups may be substituted with one or more substituents selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>; OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;20 R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methylbenzenesulfonamide; and  
25 a hyperplastic inhibitory agent such that the cancer is treated.

147. The method of claim 146, wherein Z is S.

148. The method of claim 146, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a 5 benzo[1,3]dioxole, and Furazan 2-oxide.
149. The method of claim 146, wherein n is selected from the group consisting of 0 through 5.
- 10 150. The method of claim 146, wherein Z<sub>1</sub> is O.
151. The method of claim 146, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



15

(II)

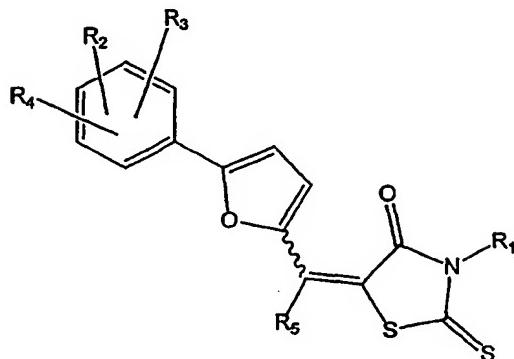
wherein

- R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,  
20 wherein q is an integer ranging from about 0 to 4;
- R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;
- X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;
- 25 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

30

152. The method of claim 146, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



(III)

wherein

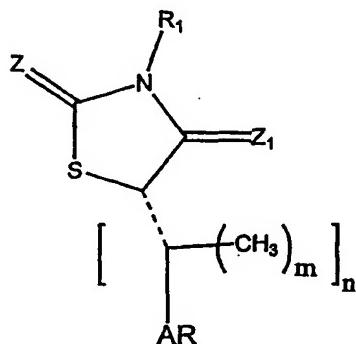
- 10           R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;
- R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;
- 15           X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;
- R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

153. The method of claim 146, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

- 25
154. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

- 30
155. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.

156. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
- 5 157. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
158. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
- 10 159. The method of claim 146, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.
- 15 160. A method for treating cyclin D1 overexpression in a subject comprising administering to a subject an effective amount of a combination of a Pin1-modulating compound of formula (I):



20

(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

25

Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>- , wherein the aromatic groups may be substituted with one or more substituents

selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

- R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>,  
 5 wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-10 benzenesulfonamide; and  
 a hyperplastic inhibitory agent such that the cyclin D1 overexpression is treated.

161. The method of claim 160, wherein Z is S.

15

162. The method of claim 160, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.

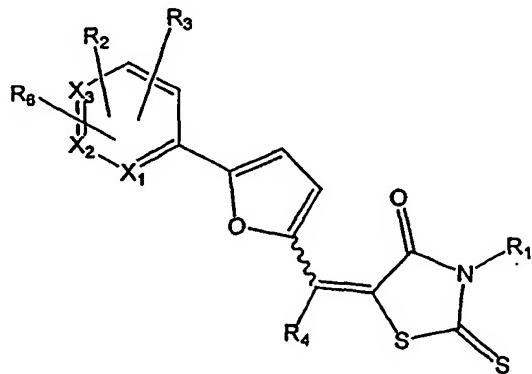
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163. The method of claim 160, wherein n is selected from the group consisting of 0 through 5.

164. The method of claim 160, wherein Z<sub>1</sub> is O.

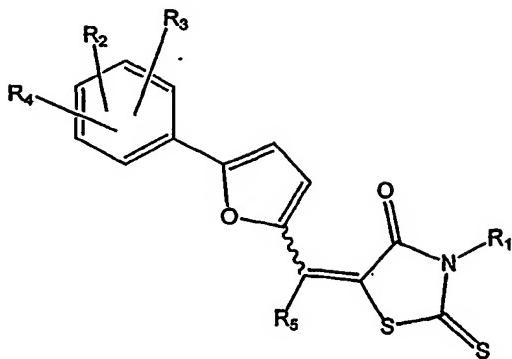
25

165. The method of claim 160, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



wherein

- R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,  
 5 wherein q is an integer ranging from about 0 to 4;  
 R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;  
 X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;  
 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower  
 10 alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.  
 15 166. The method of claim 160, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):



20

(III)

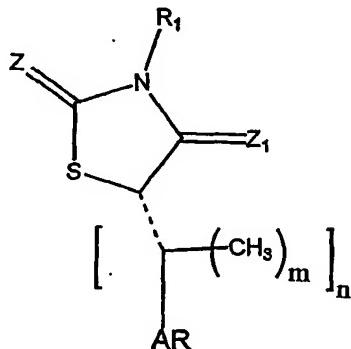
wherein

- R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O<sub>2</sub>)NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,  
 25 wherein q is an integer ranging from about 0 to 4;  
 R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;  
 X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;  
 30

R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with 5 C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

167. The method of claim 160, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.
- 10 168. The method of claim 160, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.
169. The method of claim 160, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
- 15 170. The method of claim 160, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
171. The method of claim 160, wherein said compound is selected from the 20 group consisting of compounds listed in Table 4, and derivatives thereof.
172. The method of claim 160, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
- 25 173. The method of claim 160, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.

174. A Pin1-modulator comprising formula (I):



5

(I)

wherein

the dashed line indicates a single or a double bond;

n is selected from the group consisting of 0 through 10;

m is 0 or 1;

10 Z and Z<sub>1</sub> are independently selected from the group consisting of O or S;

AR is H or is selected from one or a combination of aromatic groups which may be directly linked or indirectly linked by alkylene, -S(O)<sub>2</sub>O-, -S-, or -OCH<sub>2</sub>-; wherein the aromatic groups may be substituted with one or more substituents 15 selected from the group consisting of CH<sub>3</sub>, OEt, NO<sub>2</sub>, CO<sub>2</sub>H, Cl, OH, F, Br, OCH<sub>3</sub>, CF<sub>3</sub>, OCF<sub>3</sub>, and -SO<sub>2</sub>CF<sub>3</sub>, OAc, -O-iBu, -S(O)<sub>2</sub>NH<sub>2</sub>, -CHO, C(O)CH<sub>3</sub>, -CN, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, wherein q is an integer ranging from about 0 to 4, and any combination thereof;

R<sub>1</sub> is selected from the group consisting of H; -(X)<sub>p</sub>C(O)R<sub>2</sub>, wherein p is selected from the group consisting of 1 through 4, wherein X is CH<sub>2</sub> or NH and which may be substituted with benzyl, wherein R<sub>2</sub> is selected from the group 20 consisting of OH, NR<sub>3</sub> and phenyl, and wherein R<sub>3</sub> is N-thiazol-2-yl-benzenesulfonamide; CH<sub>3</sub>; a carbocycle substituted or unsubstituted with OH or OEt; 3-imino-1,3-dihydro-indol-2-one; (2,6-Dichloro-benzylidene)-imine; and 4-methyl-benzenesulfonamide.

25

175. The Pin1-modulator of claim 174, wherein Z is S.

176. The Pin1-modulator of claim 174, wherein the aromatic group is selected from the group consisting of a pyridine, a phenyl, a furan, a thiophene, a pyrrole, a naphthalene, a pyrazole, a 3-(methylene)-1-methyl-1,3-dihydro-indol-2-one, a benzo[1,3]dioxole, and Furazan 2-oxide.

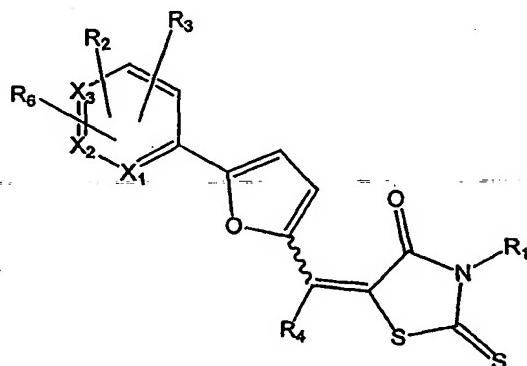
5

177. The Pin1-modulator of claim 174, wherein n is selected from the group consisting of 0 through 5.

178. The Pin1-modulator of claim 174, wherein Z<sub>1</sub> is O.

10

179. The Pin1-modulator of claim 174, wherein the Pin1-modulating compound of formula (I) is a compound of formula (II):



15

(II)

wherein

R<sub>2</sub>, R<sub>3</sub>, and R<sub>6</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br,

20

wherein q is an integer ranging from about 0 to 4;

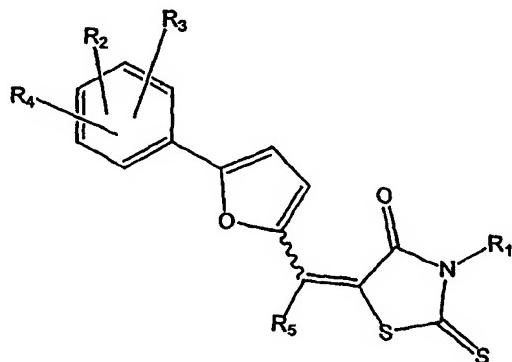
R<sub>4</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;

25 R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>5</sub>, wherein R<sub>5</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>, or OH.

180. The Pin1-modulator of claim 174, wherein the Pin1-modulating compound of formula (I) is a compound of formula (III):

5



(III)

wherein

- 10           R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently selected from the group consisting of H, OCH<sub>3</sub>, SO<sub>2</sub>CF<sub>3</sub>, -S(O)<sub>2</sub>NH<sub>2</sub>, OH, Cl, C(O)CH<sub>3</sub>, -CN, NO<sub>2</sub>, F, CF<sub>3</sub>, OCF<sub>3</sub>, CO<sub>2</sub>H, CO<sub>2</sub>(CH<sub>2</sub>)<sub>q</sub>CH<sub>3</sub>, CH<sub>3</sub>, and Br, wherein q is an integer ranging from about 0 to 4;
- 15           R<sub>5</sub> is H or lower alkyl, e.g., CH<sub>3</sub>;
- 15           X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently selected from the group consisting of -CH and N;
- 20           R<sub>1</sub> is -(CH<sub>2</sub>)<sub>n</sub>-(X<sub>4</sub>)<sub>p</sub>-(CH<sub>2</sub>)<sub>m</sub>-CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or lower alkyl, e.g., t-butyl or CH<sub>2</sub>CH<sub>3</sub>; X<sub>4</sub> is selected from the group consisting of -C(O)N-, -O-, -C(O)-, -CHCH-; n is an integer number ranging from about 1 to 4; m is an integer number ranging from about 1 to 4; p is 0 or 1; wherein each CH<sub>2</sub> group may be independently substituted with C<sub>1</sub>-C<sub>6</sub> alkyl, e.g., CH<sub>3</sub>, OH, or CH<sub>2</sub>CH<sub>2</sub>SCH<sub>3</sub>.

181. The Pin1-modulator of claim 174, wherein said Pin1 modulating compound is a Pin1 inhibiting compound.

25

182. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.

30

183. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.

184. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
- 5 185. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 10 186. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.
187. The Pin1-modulator of claim 174, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.
- 15 188. A pharmaceutical composition comprising a Pin1-modulating compound of claim 1, 38, 116, 146, 160, or 174, and a pharmaceutically acceptable carrier.
189. The pharmaceutical composition of claim 112, wherein said compound is selected from the group consisting of compounds listed in Table 1, and derivatives thereof.
- 20 190. The pharmaceutical composition of claim 188, wherein said compound is selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
- 25 191. The pharmaceutical composition of claim 188, wherein said compound is selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
- 30 192. The pharmaceutical composition of claim 188, wherein said compound is selected from the group consisting of compounds listed in Table 4, and derivatives thereof.
- 35 193. The pharmaceutical composition of claim 188, wherein said compound is selected from the group consisting of compounds listed in Table 5, and derivatives thereof.

194. The pharmaceutical composition of claim 188, wherein said compound is selected from the group consisting of compounds listed in Table 6, and derivatives thereof.
- 5 195. A compound selected from the group consisting of compounds listed in Table 2, and derivatives thereof.
196. A compound selected from the group consisting of compounds listed in Table 3, and derivatives thereof.
- 10 197. A compound selected from the group consisting of compounds listed in Table 4, and derivatives thereof.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US03/06675

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A61K 31/41, 31/425  
US CL : 514/369, 359, 365

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 514/369, 359, 365

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
CAS ONLINE, MEDLINE search terms: structural search, thiazole, cancer, Pin 1, cyclin D1.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 01/57006 A1 (F. HOFFMANN-LA ROCHE et al) 09 AUGUST 2001 (09.08.2001), the entire document, especially the abstract, the claims.	1-57, 66-197
Y	WO 01/02377 A1 (GERON CORPORATION) 11 JANUARY 2001 (11.01.2001), The entire document, especially, the abstract, and the claims.	1-57, 66-197

<input type="checkbox"/> Further documents are listed in the continuation of Box C.	<input type="checkbox"/> See patent family annex.
* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	"E" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
16 September 2003 (16.09.2003)	15 OCT 2003
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703)305-3230	Authorized officer Shengjun Wang Telephone No. (703) 305-1235

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US03/06675

**Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)**

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claim Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claim Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claim Nos.: 59-65  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.